


RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. Department of Commerce Patent and Trademark Office Attorney Docket No. 08350.8748-00	
To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.		Mail Stop Assignment Recordation Services	
1. Name of conveying party(ies): 1) Michael R. ERRERA; and 2) Kenton D. GILLS		2. Name and address of receiving party(ies): Name: Caterpillar Inc.	
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Internal Address:	
3. Nature of conveyance:		Street Address: 100 N.E. Adams Street	
<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger	City: Peoria	
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name	State: Illinois	Zip Code: 61629-9510
<input type="checkbox"/> Joint Research Agreement	<input type="checkbox"/> Government Interest Assignment	Additional name(s) & Address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<input type="checkbox"/> Executive Order 9494, Confirmatory License	<input type="checkbox"/> Other:		
Execution Date: 1) October 17, 2008; and 2) October 9, 2008.			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application:			
A. Patent Application Number(s): 12/216,218		B. Patent Number(s):	
Additional numbers attached?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name and address of party to whom correspondence concerning document should be mailed:		6. Total number of applications and patents involved: 1	
Name: Christopher P. Isaac (202) 408-4000		7. Total fee (37 CFR 1.21(h) and 3.41): \$40 <input checked="" type="checkbox"/> Please charge deficiency or credit overpayment to deposit account 06-0916 <input checked="" type="checkbox"/> Authorized to be charged to deposit account	
Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.			
Street Address: 901 New York Avenue, N.W.			
City: Washington, D.C.			
State: Zip: 20001-4413			
		8. Deposit Account No.: 06-0916	
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
Signed: 		October 27, 2008	
Christopher T. Kent, Registration No. 48,216		Date	
Total number of pages including cover sheet, attachments and documents: 5			

8/2007

CH \$40.00 060916 12216218

SOLE/JOINT INVENTION
(Worldwide Rights)
Attorney Docket No. 08350.8748-00
Client Ref. No. 08-748
Customer Number 58,982

ASSIGNMENT

WHEREAS WE, the below named inventors, [hereinafter referred to as Assignors], have made an invention entitled:

ROOF-MOUNTED MUFFLER FOR SYSTEM FOR GENERATING ELECTRIC POWER

for which We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on July 1, 2008 (Application No. 12/216,218); and

WHEREAS, Caterpillar Inc., a corporation of Delaware, whose post office address is Intellectual Property Department, 100 N.E. Adams Street, Peoria, IL 61629-9510 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, provisional Application No. 61/129,417, filed June 25, 2008, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY covenant that We have the full right to convey the interest assigned by this Assignment, and We have not executed and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, We have hereunto set our hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR Michael R. ERRERA	ASSIGNOR'S SIGNATURE <i>Michael R. Errera</i>	DATE 10-17-08
ADDRESS 447 Trice Road, Milner, GA 30257		CITIZENSHIP United States

Oct 17 2008 5:34PM CATERPILLAR

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SOLE/JOINT INVENTION
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 Attorney Docket No. 08350.8748-00
 Client Ref. No. 08-748
 Customer Number 58,982

1. WITNESS NAME <i>CHARLES McDowell</i>	WITNESS SIGNATURE <i>Charles McDowell</i>	DATE <i>10/17/08</i>
ADDRESS <i>213 CARRINGTON PARK, JONESBORO, GA 30236</i>		CITIZENSHIP <i>UNITED STATES</i>

2. WITNESS NAME <i>STEPHEN HAHN</i>	WITNESS SIGNATURE <i>[Signature]</i>	DATE <i>10/17/08</i>
ADDRESS <i>6016 RHODES LN, GRIFFIN, GA 30224</i>		CITIZENSHIP <i>UNITED STATES</i>

2. FULL NAME OF SECOND ASSIGNOR <i>Kenton D. GILLS</i>	ASSIGNOR'S SIGNATURE	DATE
ADDRESS <i>704 Wynfield Way, Jonesboro, GA 30238</i>		CITIZENSHIP <i>United States</i>

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

Oct 09 2008 1:11PM CATERPILLAR

p. 4

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AND, WE HEREBY covenant that We have the full right to convey the interest assigned by this Assignment, and We have not executed and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

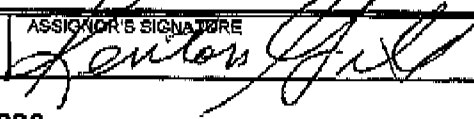
IN TESTIMONY WHEREOF, We have hereunto set our hands.


1. FULL NAME OF SOLE OR FIRST ASSIGNOR Michael R. ERRERA	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 447 Trice Road, Milner, GA 30257		CITIZENSHIP United States


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2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. FULL NAME OF SECOND ASSIGNOR Kenton D. GILLS	ASSIGNOR'S SIGNATURE 	DATE 10/9/08
ADDRESS 704 Wynfield Way, Jonesboro, GA 30238		CITIZENSHIP United States

1. WITNESS NAME STEPHEN E HAHN	WITNESS SIGNATURE 	DATE 10/9/08
ADDRESS 606 RHODES LN, GRIFFIN, GA 30224		CITIZENSHIP UNITED STATES

2. WITNESS NAME CHARLES MCDOWELL	WITNESS SIGNATURE 	DATE 10/9/08
ADDRESS 213 CARRINGTON PARK, JONESBORO, GA 30236		CITIZENSHIP UNITED STATES

FINNEGANFINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, LLP
WWW.FINNEGAN.COMTelephone
404-653-6400**FACSIMILE TRANSMITTAL**Facsimile
404-653-6444**DATE** October 27, 2008**TO**Firm: **U.S. Patent and Trademark Office** Fax No.: 571-273-0140
Assignment Branch**FROM**Name: Christopher T. Kent Phone No.: 404-653-6559
Fax # Verified by: S. R. Horton # Pages (incl. this): 6**Confirmation Copy to Follow: No****SUBJECT**In re Application of: Michael R. ERRERA et al.
Application No.: 12/216,218
Filed: July 1, 2008
For: ROOF-MOUNTED MUFFLER FOR SYSTEM FOR GENERATING
ELECTRIC POWER
Attorney Docket No.: 08350.8748-00**MESSAGE****CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. § 1.8**I hereby certify that this correspondence is being transmitted by facsimile to the U.S.
Patent and Trademark Office on October 27, 2008.By: Christopher T. Kent
Reg. No. 48,216

Attached:

1. Recordation Form Cover Sheet (1 page).
2. Executed Assignment for Michael R. Errera (2 pages); and
3. Executed Assignment for Kenton D. Gills (2 pages).

If there is a problem with this transmission, notify fax room at (404) 653-6462 or the sender at the number above.

This facsimile is intended only for the individual to whom it is addressed and may contain information that is privileged, confidential, or exempt from disclosure under applicable law. If you have received this facsimile in error, please notify the sender immediately by telephone (collect), and return the original message by first-class mail to the above address.

3500 SUNTRUST PLAZA | 303 PEACHTREE STREET, NE | ATLANTA, GA 30308-3263
PHONE: 404.653.6400 | FAX: 404.653.6444**PATENT**

RECORDED: 10/27/2008

REEL: 021745 FRAME: 0268