## PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

 SUBMISSION TYPE:
 NEW ASSIGNMENT

 NATURE OF CONVEYANCE:
 ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
Koji SHIMAZAWA, et al.	06/09/2008

### **RECEIVING PARTY DATA**

Name:	TDK Corporation		
Street Address:	1-13-1 Nihonbashi Chuo-ku		
City:	Tokyo		
State/Country:	JAPAN		
Postal Code:	103-8272		

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12140679

## **CORRESPONDENCE DATA**

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ATTORNEY DOCKET NUMBER: 335001-2090

NAME OF SUBMITTER: Ellen Marcie Emas

**Total Attachments: 3** 

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> PATENT REEL: 021749 FRAME: 0925

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Form **PTO-1595** (Rev. 09-08) OMB No. 0651-0027 (exp. 10/31/2008)

U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

	ORM COVER SHEET
	se record the attached documents or the new address(es) below.
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)
Koji SHIMAZAWA	Name: TDK Corporation
Tsutomu CHOU Yoshihiro TSUCHIYA	Internal Address:
Additional name(s) of conveying party(ies) attached? Yes X No	
3. Nature of conveyance/Execution Date(s):	Street Address: 1-13-1 Nihonbashi Chuo-ku
Execution Date(s) June 9, 2008	
X Assignment Merger	
Security Agreement Change of Name	City: Tokyo
Joint Research Agreement	State:
Government Interest Assignment	
Executive Order 9424, Confirmatory License	Country: Japan Zip: 103-8272
Other	Additional name(s) & address(es) attached? Yes X No
12/140,679 Additional numbers atta	ached? Yes XNo
5. Name and address to whom correspondence	6. Total number of applications and patents
concerning document should be mailed:	involved: 1 (one)
Name: Ellen Marcie Emas	7. Total fee (37 CFR 1.21(h) & 3.41) \$_40.00
Internal Address: Frommer Lawrence & Haug LLP	
	Authorized to be charged to deposit account
Street Address: 745 Fifth Avenue	
	None required (government interest not affecting title)
City: New York	8. Payment Information
State: New York Zip: 10151	
Phone Number: (212) 588-0800	_ " ,
Fax Number: (212) 588-0500	Deposit Account Number
Email Address: firm@flblaw.com	Authorized User Name
9. Signature:	October 28, 2008
Signature	Date
Ellen Marcie Emas Name of Person Signing	Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Frommer Lawrence & Haug LLP File No.:	
Serial No.:	
Filing Date:	

# **ASSIGNMENT**

## (JOINT)

THIS ASSIGNMENT, by, Koji SHIMAZAWA, Tsutomu CHOU and Yoshihiro TSUCHIYA residing at c/o TDK Corporation of 1-13-1, Nihonbashi, Chuo-ku, Tokyo 103-8272, Japan, c/o TDK Corporation of 1-13-1, Nihonbashi, Chuo-ku, Tokyo 103-8272, Japan and c/o TDK Corporation of 1-13-1, Nihonbashi, Chuo-ku, Tokyo 103-8272, Japan, (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in THIN-FILM MAGNETIC HEAD FOR MICROWAVE ASSIST AND MICROWAVE-ASSISTED MAGNETIC RECORDING METHOD

set forth in an	applic	ation	for Letters Patent of the United Sta	ates, which is a	
(1)		provi	sional application		
	(a)		bearing Application No.	, and filed on	;
	(b)		to be filed herewith; or	•	
(2)	$\boxtimes$	non-	provisional application		
	(a)		bearing Application No.	, and filed on	
	(b)	×	having an oath or declaration ex application;	cecuted on even date herewith price	or to filing of
	(c)		having an oath or declaration ex Assignment; and	ecuted on a different date than thi	s

WHEREAS, <u>TDK Corporation</u>, a corporation duly organized under and pursuant to the laws of <u>Japan</u> and having a principal place of business at <u>1-13-1</u>, <u>Nihonbashi</u>, <u>Chuo-ku</u>, <u>Tokyo 103-8272</u>, <u>Japan</u> (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefore and thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefore and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made:

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Application No. Attorney Docket No.

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of Frommer Lawrence & Haug LLP to insert in the spaces provided above the title of the invention, filing date, application number, and attorney's docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behoof of the Assignee, its successors, legal representatives, and assigns.

DATE	June 9, 2008	Koji Shimazawa
		Koji SHIMAZAWA
DATE	June 9, 2008	Toutomu Chou
		Tsutomu CHOU
DATE	June 9, 2008	Yoshihiro Tsuchiya
		Yoshihiro TSUCHIYA

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**RECORDED: 10/28/2008** 

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