

Form PTO-1595  
Page 1 of 2

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

## RECORDATION FORM COVER SHEET

## PATENTS ONLY

CASE 24294 US2

To the Director of the United States Patent and Trademark Office: Please record  
the attached original documents or copies thereof.

1. Name of conveying party (ies):

- 1) David BARTKOVITZ
- 2) Jianping CAI
- 3) Xin-Jie CHU
- 4) Hongju LI
- 5) Allen John LOVEY
- 6) Binh VU
- 7) Chunlin ZHAO

2. Name and address of receiving party (ies):

Name: HOFFMANN-LA ROCHE, INC.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying  
party(ies) attached? \_\_\_ Yes X No

3. Nature of conveyance:

X Assignment \_\_\_ Merger  
\_\_\_ Security Agreement \_\_\_ Change of Name  
\_\_\_ Other \_\_\_\_\_

Street Address: 340 Kingsland StreetCity: NUTLEY State: NJ Zip: 07110Execution Date: October 9, 2008

Additional name(s) &amp; address(es) attached:

\_\_\_ Yes X No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of  
the application is:

A. Patent Application No.(s)

B. Patent No.(s)

USSN: 12/248,095

FILED: October 9, 2008

Additional numbers attached? \_\_\_ Yes X No

700392031

PATENT  
REEL: 021879 FRAME: 0059

CH \$40.00 082626 12248095

Page 2 of 2

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE W. JOHNSTON

Internal Address:

HOFFMANN-LA ROCHE INC.

PATENT LAW DEPARTMENT

Street address: 340 KINGSLAND STREET

City: NUTLEY State: NJ Zip: 07110

6. Total number of applications and patents involved: ONE

7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Authorized to be charged to deposit account

☒ Authorized any deficiencies to be charged to deposit account

8. Deposit account number:

08-2525

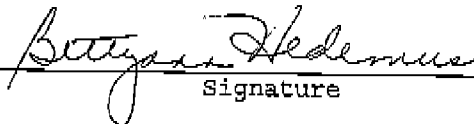
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

BETTYANN HEDEMUS

Name of Person Signing



Signature

November 24, 2008

Date

CONTACT: Kathleen Sweeney  
TELEPHONE: (973) 235-2573  
FAX: (973) 235-2363

Total number of pages including cover sheet, attachments and document: 4

399766

JOINT INVENTION

Case Docket No. 24294 US2

ASSIGNMENT

WHEREAS, WE, **David Bartkovitz, Jianping Cai, Xin-Jie Chu, Hongju Li, Allen John Lovey, Binh Vu, Chunlin Zhao**

(Assignors) have invented certain new and useful improvements which are described and claimed in application for United States Patent and identified as Case Docket No **24294 US2**, entitled

**CHIRAL CIS-IMIDAZOLINES**


WHEREAS, HOFFMANN-LA ROCHE INC., a corporation organized and existing under and by virtue of the laws of the State of New Jersey, and having its principal place of business at Nutley, New Jersey, is desirous of acquiring the entire interest in and to said invention, said application and the Patent to be obtained therefor;

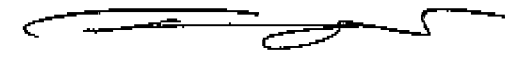
NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration to us in hand paid, the receipt and sufficiency whereof are hereby acknowledged, we have sold, assigned, and set over, and by these presents do hereby sell, assign, and set over unto the said HOFFMANN-LA ROCHE INC. (Assignee), and said Assignee's legal representatives, successors, the entire right, title and interest, for the whole world, in and to said invention and said application, as well as any subsequent application which claims priority based upon the filing date of said application identified as Case Docket No **24294 US2**, and the patents, both domestic and foreign, that may or shall result therefrom including the right to claim in respect of any subsequent United States and foreign patent applications and patents, the priority date of said application under any United States statute and international convention or treaty; and we do hereby authorize and request the issuance of said patents, domestic and foreign, conformably to the terms of this Agreement.

UPON SAID CONSIDERATION, we do hereby covenant and agree with the said Assignee that we will not execute any writing or do any act whatsoever conflicting with these presents, and that we will at any time upon request, without further or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining provisional, original, continuation, continuation-in-part, divisional, reissued, re-examined or extended Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, including but not limited to, giving testimony in any proceedings or transactions involving such applications or patents, and executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of all parties hereto.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals this 9th day of October, 2008.

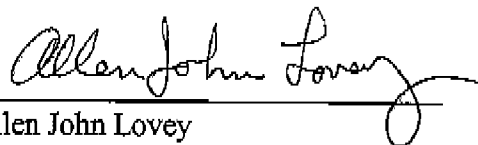
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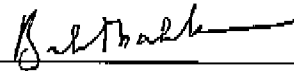
  
David Bartkovitz

  
Jianping Cai

  
Xin-Jie Chu

  
Hongju Li

  
Allen John Lovey

  
Binh Vu

  
Chunlin Zhao

ACKNOWLEDGMENT

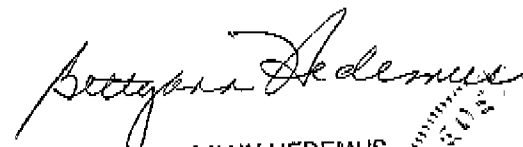
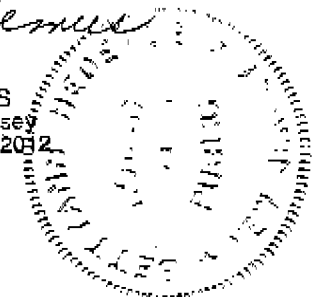
United States of America)  
State of New Jersey )  
County of Essex )

On October 9, 2008, before me personally appeared

**David Bartkovitz, Jianping Cai, Xin-Jie Chu, Hongju Li, Allen John Lovey, Binh Vu, Chunlin Zhao**

to me known to be the persons described in the foregoing Assignment, who acknowledged that they executed the foregoing Assignment of their own free will for the purposes therein set forth.

387400

  
BETTYANN HEDEMUS  
Notary Public of New Jersey  
Commission Expires 9/25/2012  


# Fax Cover Sheet

Roche

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To: USPTO -- ASSIGNMENT  
RECORDATION SERVICES

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From: KATHLEEN SWEENEY

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Fax 973-235-2363

Date: NOV. 24, '08

No. of pages: 5 (incl. cover sheet)

COMMENTS:

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