

PATENT ASSIGNMENT

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SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
John Ameriks	11/10/2008
John Buhl	11/12/2008
Edward Delk	11/03/2008
Kathryn Hyatt	11/10/2008
Ranga Narayanan	11/11/2008
Shawn Travis	11/12/2008
Daniel Wallick	11/11/2008

RECEIVING PARTY DATA

Name:	The Vanguard Group, Inc.
Street Address:	P.O. Box 2600
City:	Valley Forge
State/Country:	PENNSYLVANIA
Postal Code:	19482

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12209603

CORRESPONDENCE DATA

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ATTORNEY DOCKET NUMBER: VGI-108US1

PATENT

500714544

REEL: 021888 FRAME: 0646

OP \$40.00 12209603

NAME OF SUBMITTER:

Peter K. Zacharias

Total Attachments: 3

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ASSIGNMENT - Patent ApplicationDocket Number: **VGI-108US1**

Whereas, the Assignor, comprising the following named inventors:

ASSIGNOR(s)/ INVENTOR(s)	1. John Ameriks	2. John Buhl
	3. Edward Delk	4. Kathryn Hyatt
	5. Ranga Narayanan	6. Shawn Travis
	7. Daniel Wallick	8.
	9.	10.
	11.	12.

made an invention entitled: **METHOD OF ADMINISTERING AN INVESTMENT FUND THAT SEEKS TO PROVIDE
REGULAR MONTHLY PAYMENTS WITHOUT CONSUMING PRINCIPAL**for which an application for Letters Patent of the United States:
(Select one of the following):

- ☐ is executed concurrently herewith
☒ was filed in the U.S. Patent and Trademark Office on September 12, 2008,
as U.S. Patent Application Serial No.: 12/209,603

ASSIGNEE
(Full Name and Address)**The Vanguard Group, Inc.
P.O. Box 2600
Valley Forge, PA 19482-2600**

is desirous of acquiring the entire interest in and to said invention and the Letters Patent to be obtained therefor,

Now, therefore, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor, intending to be legally bound effective September 11, 2008, hereby sells, assigns and transfers to Assignee, its successors and assigns, the full and exclusive right, title and interest in and to said invention, all applications for Letters Patent for said invention, including all divisions, continuations, and continuations-in-part thereof, all rights to claim priority based thereon, and all Letters Patent, including reissues, to be obtained therefor, including any and all foreign patent rights in this invention corresponding thereto.

Assignor hereby warrants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

Assignor agrees it shall be legally bound, upon request of the Assignee or its successors or assigns or a legal representative thereof, to supply all information and evidence of which the Assignor has knowledge or possession, relating to the making and practice of said invention, to testify in any legal proceeding relating thereto, to execute all instruments proper to patent the invention in the United States of America and foreign countries in the name of the Assignee, and to execute all instruments proper to carry out the intent of this instrument.

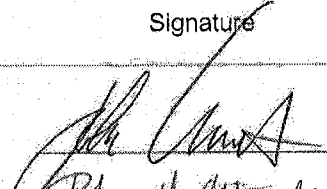

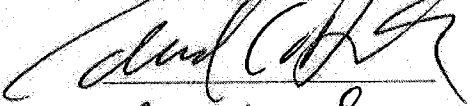
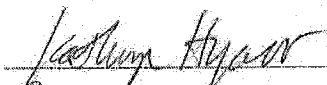
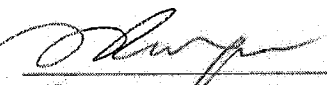
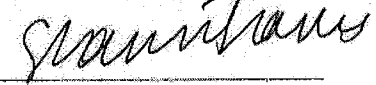
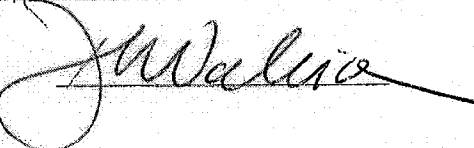
If the invention requires a biological deposit, Assignor also grants to Assignee such control over any deposit made by Assignor as may be necessary to the validity of the patent rights assigned herein.

ASSIGNMENT - Patent ApplicationDocket Number: **VGI-108US1**

Assignor authorizes Assignor's attorney to insert at the end hereof, the serial number and filing date of the aforesaid application for United States Letters Patent and/or the Attorney Docket or file designation for this application if not identified above.

If the Assignor includes more than one individual, these obligations shall apply to these individuals both individually and collectively.

In witness whereof, this Assignment is executed on the day indicated below.

Typed or Printed Name	Signature	Date
1. John Ameriks	 * Witness to Assignor #1	11/10/2008 11/10/08
2. John Buhl	 * Witness to Assignor #2	11-12-08 11/12/08
3. Edward Delk	 * Witness to Assignor #3	11/03/08 11/03/08
4. Kathryn Hyatt	 * Witness to Assignor #4	11/10/08 11/10/08
5. Ranga Narayanan	 * Witness to Assignor #5	11/11/08 11/11/08
6. Shawn Travis	 * Witness to Assignor #6	11/12/08 11/12/08
7. Daniel Wallick	 * Witness to Assignor #7	11/11/08

Application for United States Letters Patent:

Serial No.: 12/209,603

Filed: September 12, 2008

Attorney Docket Number: VGI-108US1

Total Number of Assignment Pages 3

* Witness signature recommended, mandatory for some countries