

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Genichi Tsuzuki	11/29/2005
Matthew P. Hernandez	11/29/2005
Balam A. Willemssen	11/29/2005

RECEIVING PARTY DATA

Name:	Superconductor Technologies, Inc.
Street Address:	460 Ward Drive
City:	Santa Barbara
State/Country:	CALIFORNIA
Postal Code:	93111

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12330510

CORRESPONDENCE DATA

Fax Number: (919)933-9685

*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*

Phone: 919-933-9684

Email: KWOLFF@WOLFF-LAW-OFFICES.COM

Correspondent Name: WOLFF LAW OFFICE, PLLC

Address Line 1: P.O. BOX 9855

Address Line 4: CHAPEL HILL, NORTH CAROLINA 27515-9855

ATTORNEY DOCKET NUMBER:

STI-PAUS0010

NAME OF SUBMITTER:

Mary Mellerowicz

Total Attachments: 6

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PATENT

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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Genichi Tsuzuki, Matthew P. Hernandez, and Balam A. Willemssen

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) November 29, 2005

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: Superconductor Technologies, Inc.

Internal Address: \_\_\_\_\_

Street Address: 460 Ward Drive

City: Santa Barbara

State: California

Country: USA Zip: 93111

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Kevin Alan Wolff

Internal Address: Wolff Law Offices, PLLC

Street Address: P.O. Box 9855

City: Chapel Hill

State: North Carolina Zip: 27515-9855

Phone Number: 919-933-9684

Fax Number: 919-933-9685

Email Address: kwolff@wolff-law-offices.com

### 6. Total number of applications and patents involved: \_\_\_\_\_

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 540.00

- ☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

### 9. Signature:



Signature

December 8, 2008  
Date

Kevin Alan Wolff

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, we, Genichi Tsuzuki, citizen of Japan, Matthew P. Hernandez, and Balam A. Willemsen, citizens of the United States, (hereinafter referred to as "ASSIGNORS"), have invented a SYSTEMS AND METHODS FOR TUNING FILTERS for which application for Letters Patent of the United States of America has been executed on \_\_\_\_\_ (or even date herewith); and

WHEREAS, SUPERCONDUCTOR TECHNOLOGIES, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware and having its place of business at 460 Ward Drive, Santa Barbara, CA 93111 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

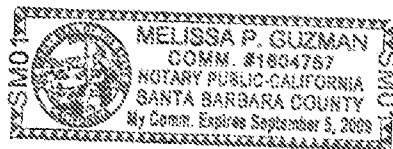
WITNESS my hand at (city and state) Santa Barbara, California,  
this 29 day of November, 2005

Genichi Tsuzuki  
Genichi Tsuzuki

STATE OF California  
COUNTY OF Santa Barbara ) ss

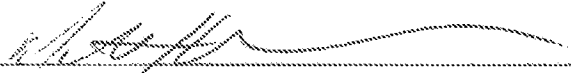
On December 29, 2005, before me, the undersigned Notary Public, personally appeared GENICHI TSUZUKI, ☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Melissa P. Guzman  
Notary Public in and for said County and State

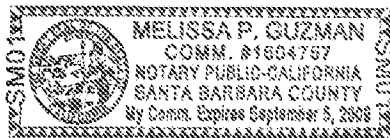
WITNESS my hand at (city and state) Santa Barbara, California  
this 29 day of November, 2005.

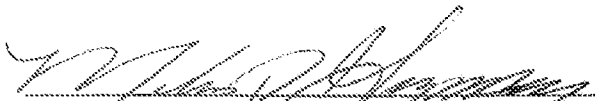
  
Matthew P. Hernandez

STATE OF California  
COUNTY OF Santa Barbara ) ss

On November 29, 2005, before me, the undersigned Notary Public, personally appeared MATTHEW P. HERNANDEZ, ☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



  
Notary Public in and for said County and State

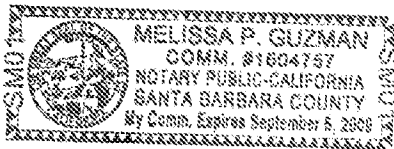
WITNESS my hand at [city and state] California, Santa Barbara  
this 29 day of November, 2005.

B. Willemssen  
Balam A. Willemssen

STATE OF California  
COUNTY OF Santa Barbara ) ss

On November 29, 2005, before me, the undersigned Notary Public, personally appeared BALAM A. WILLEMSSEN, ☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he is / she / they executed the same in his / her / their authorized capacity(ies), and that by his / her / their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



M. P. Guzman  
Notary Public in and for said County and State