

RECORDATION FORM COVER SHEET
PATENTS ONLY

Patent and Trademark Office

Atty Ref/Docket No.: 1565.268US1

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stephen R Carter, Tammy Anita Green and Scott Alan Isaacson

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ OtherExecution Date: October 24, 2008, October 27, 2008,
October 27, 2008

2. Name and address of receiving party(ies):

Name: Novell, Inc.

Street Address: 1800 South Novell Place

City: Provo State: UT Zip: 84606Additional name(s) & address(es) attached? ☐ Yes
☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 12/259,382, filed October 28, 2008

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph P. Mehrle

Address:

Schwegman, Lundberg & Woessner, P.A.
P.O. Box 2938
Minneapolis, MN 55402-09386. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account
19-07438. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph P. Mehrle/Reg. No. 45,535

Name of Person Signing

Signature

Date

Total number of pages including cover sheet: 9

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Mail Stop Assignment Recordation Services
P.O. Box 1450
Alexandria, VA 22313-1450

PATENT

REEL: 021953 FRAME: 0387

700393242

CH \$40.00 190743 12269382

ASSIGNMENT

WHEREAS, We, Stephen R Carter, residing at 428 South Nebo Drive, Spanish Fork, Utah 84660, and Tammy Anita Green, residing at 2198 West 480 North, Provo, UT 84601, and Scott Alan Isaacson, residing at 985 South Settlement Rd., Woodland Hills, UT 84653, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States entitled TRUSTED PLATFORM MODULE (TPM) ASSISTED DATA CENTER MANAGEMENT:

WHEREAS, We hereby authorize and request our attorneys, as listed on the Combined Declaration and Power of Attorney, at Schwegman, Lundberg & Woessner, P.A., located at 121 South Eighth Street, Suite 1600, Minneapolis, MN 55402, to insert here in parentheses (Ser. No. 12/259,382; Filing Date: October 28, 2008) the filing date and application number of said application when known.

AND WHEREAS, Novell, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 1800 South Novell Place, Provo, UT 84606 (hereinafter "Assignee"), is desirous of acquiring the entire right, priority right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted there from, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted there from; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

Docket No. 1565.268US1

Client Ref. No. HDR-1402

Title: TRUSTED PLATFORM MODULE (TPM) ASSISTED DATA CENTER MANAGEMENT

Page 2 of 4

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 24 day of

Oct, 2008.



Stephen R Carter

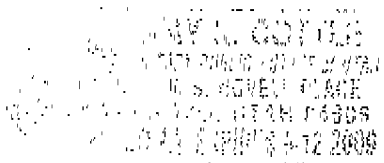
STATE OF Utah)

)ss

COUNTY OF Utah)

On this 24 day of October, 2008 before me personally appeared Stephen R Carter, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]



Notary Public


Docket No: 156526RUS1

Client Ref. No. 10R-1402

Title: TRUSTED PLATFORM MODULE (TPM) ASSISTED DATA CENTER MANAGEMENT

Page 3 of 4

IN TESTIMONY WHEREOF, I have hereunto set my hand this 27 day of
October, 2008.


Tammy Anita Green

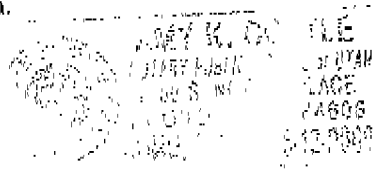
STATE OF Utah)


)ss

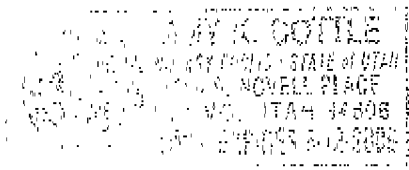
COUNTY OF Utah)

On this 27th day of October, 2008 before me personally appeared Tammy Anita Green, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]




Notary Public



Docket No. 1565.268US1

Client Ref. No. IDR-1402

Title: TRUSTED PLATFORM MODULE (TPM) ASSISTED DATA CENTER MANAGEMENT

Page 4 of 4

IN TESTIMONY WHEREOF, I have hereunto set my hand this ____ day of

_____, 2008.

Scott Alan Isaacson

STATE OF _____)

)ss

COUNTY OF _____)

On this _____ day of _____, 2008 before me personally appeared Scott Alan Isaacson to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

ASSIGNMENT.

WHEREAS, We, Stephen R Carter, residing at 428 South Nebo Drive, Spanish Fork, Utah 84660, and Tammy Anita Green, residing at 2198 West 480 North, Provo, UT 84601, and Scott Alan Isaacson, residing at 985 South Settlement Rd., Woodland Hills, UT 84653, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States entitled TRUSTED PLATFORM MODULE (TPM) ASSISTED DATA CENTER MANAGEMENT:

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AND WHEREAS, Novell, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 1800 South Novell Place, Provo, UT 84606 (hereinafter "Assignee"), is desirous of acquiring the entire right, priority right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted there from, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted there from; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

Docket No. 1565.268US1

Client Ref. No. IDR-1402

Title: TRUSTED PLATFORM MODULE (TPM) ASSISTED DATA CENTER MANAGEMENT

Page 2 of 4

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this ____ day of _____, 2008.

Stephen R Carter

STATE OF _____)
)ss
COUNTY OF _____)

On this ____ day of _____, 2008 before me personally appeared Stephen R Carter, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

Docket No: 1565.268US1

Client Ref. No. IDR-1402

Title: TRUSTED PLATFORM MODULE (TPM) ASSISTED DATA CENTER MANAGEMENT

Page 3 of 4

IN TESTIMONY WHEREOF, I have hereunto set my hand this ____ day of _____, 2008.

Tammy Anita Green

STATE OF _____)
)ss
COUNTY OF _____)


On this ____ day of _____, 2008 before me personally appeared Tammy Anita Green, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

Docket No: 1565268US1
 Client Ref. No. IDR-1402
 Title: TRUSTED PLATFORM MODULE (TPM) ASSISTED DATA CENTER MANAGEMENT
 Page 4 of 4

IN TESTIMONY WHEREOF, I have hereunto set my hand this 27 day of
October, 2008.



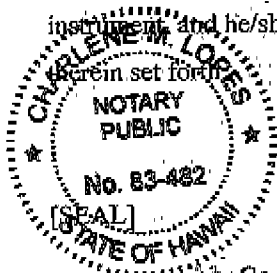
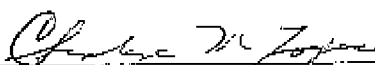
Scott Alan Isaacson

STATE OF Hawaii)

)ss

COUNTY OF Hawaii)

On this 27th day of Oct, 2008 before me personally appeared Scott Alan Isaacson, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes herein set forth.

Notary Public

CHARLENE M. LOPES

NOTARY PUBLIC, 3RD JUDICIAL
 CIRCUIT, STATE OF HAWAII

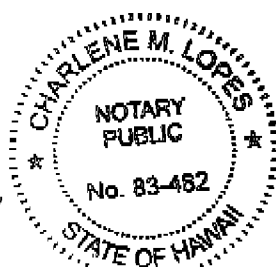
My Commission Expires: 12/15/2011

Document Date: 10/27/08 # Pages 2

Notary Name: CHARLENE M. LOPES Third Circuit

Doc. Description: Assignment

Charlene M. Lopes 10/27/08
 Notary Signature Date



SCHWEGMAN ■ LUNDBERG ■ WOESSNER
PATENT, TRADEMARK & COPYRIGHT ATTORNEYS
P.O. Box 2938
Minneapolis, MN 55402
Telephone (612) 373-6900 Facsimile (612) 339-3061

Date: December 9, 2008

Time: 9:29 AM
(Minneapolis, Minn.)

TO: Commissioner for Patents
Attn: Assignment Recordation Services
Patent Examining Corps
Facsimile Center
P.O. Box 1450
Alexandria, VA 22313-1450
FAX NUMBER 571-273-0140

FROM: Joseph P. Mehrle

OUR REF: 1565.268US1

Documents Transmitted:

Assignment (8 pages), Assignment Recordation Form Cover Sheet (1 page), authorization to charge Deposit Account No. 19-0743 in the amount of \$40.00 to cover the Assignment Recording Fee.

Total pages of this transmission, including cover letter: (10 pgs)

If you do NOT receive all of the pages described above, please telephone us at 612-373-6900 or fax us at 612-339-3061.

In re. Patent Application of: Stephen Carter et al.

Serial No.: 12/259,382

Filed: October 28, 2008

Title: TRUSTED PLATFORM MODULE (TPM) ASSISTED DATA CENTER
MANAGEMENT

Examiner:

Group Art Unit:

Docket No.: 1565.268US1

Please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

By: Joseph P. Mehrle
Name: Joseph P. Mehrle
Reg. No. 45,535

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Richard C. Beck
Richard C. Beck

12-9-08
Date