

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

| SUBMISSION TYPE:  | NEW ASSIGNMENT              |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
|---|-----------------------------|---------------|-----------------------------|---------------------|---------------------------|-------------------|------------|-------------|------------|----------------|----------|--------------|-------|
| NATURE OF CONVEYANCE:   | ASSIGNMENT                  |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| CONVEYING PARTY DATA  |                             |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| <table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Brian SCHMID</td><td>12/10/2008</td></tr><tr><td>Gregg E. BERMAN</td><td>12/10/2008</td></tr><tr><td>Pete BENSON</td><td>12/10/2008</td></tr></tbody></table>  |                             | Name          | Execution Date              | Brian SCHMID        | 12/10/2008                | Gregg E. BERMAN   | 12/10/2008 | Pete BENSON | 12/10/2008 |                |          |              |       |
| Name  | Execution Date              |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| Brian SCHMID  | 12/10/2008                  |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| Gregg E. BERMAN   | 12/10/2008                  |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| Pete BENSON   | 12/10/2008                  |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| RECEIVING PARTY DATA  |                             |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| <table border="1"><tr><td>Name:</td><td>RiskMetrics Solutions, Inc.</td></tr><tr><td>Street Address:</td><td>One Chase Manhattan Plaza</td></tr><tr><td>Internal Address:</td><td>44th Floor</td></tr><tr><td>City:</td><td>New York</td></tr><tr><td>State/Country:</td><td>NEW YORK</td></tr><tr><td>Postal Code:</td><td>10005</td></tr></table> |                             | Name:         | RiskMetrics Solutions, Inc. | Street Address:     | One Chase Manhattan Plaza | Internal Address: | 44th Floor | City:       | New York   | State/Country: | NEW YORK | Postal Code: | 10005 |
| Name:   | RiskMetrics Solutions, Inc. |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| Street Address:   | One Chase Manhattan Plaza   |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| Internal Address:   | 44th Floor                  |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| City:   | New York                    |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| State/Country:  | NEW YORK                    |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| Postal Code:  | 10005                       |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| PROPERTY NUMBERS Total: 1   |                             |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| <table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>12331919</td></tr></tbody></table>  |                             | Property Type | Number                      | Application Number: | 12331919                  |                   |            |             |            |                |          |              |       |
| Property Type   | Number                      |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| Application Number:   | 12331919                    |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| CORRESPONDENCE DATA   |                             |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| Fax Number: (202)778-2201<br><i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>   |                             |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| Phone: 2024192055   |                             |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| Email: patdcdocket@hunton.com   |                             |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| Correspondent Name: HUNTON & WILLIAMS LLP INTELLECTUAL PROPE  |                             |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| Address Line 1: 1900 K STREET, N.W.   |                             |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| Address Line 2: SUITE 1200  |                             |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| Address Line 4: WASHINGTON, DISTRICT OF COLUMBIA 20006-1109   |                             |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| ATTORNEY DOCKET NUMBER:   | 67655.001001                |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| NAME OF SUBMITTER:  | Jeffrey S. Leaning          |               |                             |                     |                           |                   |            |             |            |                |          |              |       |
| Total Attachments: 4  |                             |               |                             |                     |                           |                   |            |             |            |                |          |              |       |

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source=Assignment1#page4.tif

## ASSIGNMENT

WHEREAS, WE, **Brian SCHMID**, residing at 110 Wakeman Place, Brooklyn, NY 11220, **Gregg E. BERMAN** residing at 94 Marion Drive, Plainsboro, NJ 08536 and **Pete BENSON**, residing at 1707 Shadford Road, Ann Arbor, MI 48104, have invented certain new and useful improvements in and to the subject matter of:

### **SYSTEM FOR AND METHOD OF PROVIDING PORTFOLIO RISK INFORMATION TO INVESTORS WITHOUT REVEALING POSITION INFORMATION**

described in an application for a United States Provisional Application filed on December 10, 2008 and accorded Provisional Application No. 12/331,919;

AND, WHEREAS, RiskMetrics Solutions, Inc., a corporation organized under the laws of the State of Delaware, having a place of business located at One Chase Manhattan Plaza, 44<sup>th</sup> Floor, New York, NY 10005 (hereinafter "ASSIGNEE"), is desirous of acquiring certain rights to the improvements and under the application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration, receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, our entire right, title and interest in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto in and to said improvements, said United States application, any other United States applications, including provisional, divisional, renewal, substitute, continuation, reexamination and reissue applications, based in whole or in part on said United States application or in whole or in part on said improvements, any foreign applications, including international and regional applications, based in whole or in part on any of the aforesaid United States applications or in whole or in part on said improvements, and in and to any and all letters patent, including extensions thereof, of any country which have been or may be granted on any of the aforesaid applications or on said improvements or any parts thereof;

AND WE hereby authorize and request our agents, Hunton & Williams LLP, whose address is 1900 K Street, Suite 1200, Washington, DC 20006-1109, to insert hereon any identification necessary or desirable for recordation of this document, including the filing date and application number of said application when known.

AND WE hereby agree for ourselves and our heirs, executors and administrators to execute without further consideration any further documents and instruments which may be necessary, lawful and proper in the prosecution of said above-referenced application or in the preparation or prosecution of any continuing, substitute, divisional, renewal, reexamination or reissue application or in any amendments, extensions or interference proceedings, or other applications for patents of any region or country, that may be necessary to secure to ASSIGNEE its interest and title in and to said improvements or any parts thereof, and in and to said several patents or any of them;

AND WE hereby covenant for ourselves and our legal representatives, and agree with said ASSIGNEE, its successors and assigns, that we have granted no right or license to

make, use, sell or offer to sell said improvements, to anyone except said ASSIGNEE, that prior to the execution of this deed, our right, title and interest in said improvements had not been otherwise encumbered, and that we have not and will not execute any instrument in conflict therewith;


AND WE do hereby authorize and request the Director of the United States Patent and Trademark Office to issue any and all letters patent which may be granted upon said United States applications, or upon said improvements or any parts thereof when granted, to said ASSIGNEE.

IN WITNESS WHEREOF, we have hereunto set our hands and seals.

12/10/08  
Date

  
Brian SCHMID

12/10/08.  
Date

  
Gregg E. BERMAN

\_\_\_\_\_  
Date

\_\_\_\_\_  
Pete BENSON

HUNTON & WILLIAMS LLP  
INTELLECTUAL PROPERTY DEPARTMENT  
1900 K STREET, N.W.  
SUITE 1200  
WASHINGTON, DC 20006-1109  
(202) 955-1500 (TELEPHONE)  
(202) 778-2201 (FACSIMILE)

ATTORNEY DOCKET NO.: 67655.001001

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AND WE hereby agree for ourselves and our heirs, executors and administrators to execute without further consideration any further documents and instruments which may be necessary, lawful and proper in the prosecution of said above-referenced application or in the preparation or prosecution of any continuing, substitute, divisional, renewal, reexamination or reissue application or in any amendments, extensions or interference proceedings, or other applications for patents of any region or country, that may be necessary to secure to ASSIGNEE its interest and title in and to said improvements or any parts thereof, and in and to said several patents or any of them;

AND WE hereby covenant for ourselves and our legal representatives, and agree with said ASSIGNEE, its successors and assigns, that we have granted no right or license to

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make, use, sell or offer to sell said improvements, to anyone except said ASSIGNEE, that prior to the execution of this deed, our right, title and interest in said improvements had not been otherwise encumbered, and that we have not and will not execute any instrument in conflict therewith;

AND WE do hereby authorize and request the Director of the United States Patent and Trademark Office to issue any and all letters patent which may be granted upon said United States applications, or upon said improvements or any parts thereof when granted, to said ASSIGNEE.

IN WITNESS WHEREOF, we have hereunto set our hands and seals.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Brian SCHMID

\_\_\_\_\_  
Date

\_\_\_\_\_  
Gregg E. BERMAN

Dec 10, 2008  
Date

  
Pete BENSON

HUNTON & WILLIAMS LLP  
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