

12-8-08

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌ ▼

To the Honorable Commissioner

12-10-2008



U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

103538837

ad original documents or copy thereof.

1. Name of conveying party(ies):

YANG CHEN
QIN JIANG
DAVID SHU

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other HRL169

Execution Date: 12/03/08

2. Name and address of receiving party(ies)

Name: HRL LABORATORIES, LLC

Internal Address: _____

Street Address: 3011 Malibu Canyon Road

City: Malibu State: CA Zip: 90265

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 12/156,447

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: TOPE MCKAY & ASSOCIATES

Internal Address: _____

Street Address: _____

23852 Pacific Coast Highway #311

City: Malibu State: CA Zip: 90265

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Signature.

CARY TOPE-MCKAY

Name of Person Signing

Signature

12/03/2008 DAYRNE 00000028 12156447
*01 FC:8821

12/03/2008

Date

Total number of pages including cover sheet, attachments, and documents: 1

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 021956 FRAME: 0641

ASSIGNMENT

WHEREAS, I, Yang Chen of 2132 Waterby Street, Westlake Village, CA 91361, United States of America (hereinafter "Assignor") have invented certain new and useful improvements in **VALUATION-BASED LEARNING SYSTEM** (hereinafter "Invention") for which an application for a United States Utility Patent was filed on May 31, 2008, application serial number 12/156,447, and/or for which Assignors are making or have made a foreign application for intellectual or industrial property protection under the appropriate body of law corresponding to that foreign jurisdiction.

AND


WHEREAS, HRL Laboratories, LLC, a limited liability company organized and existing under the laws of the State of Delaware, United States of America and having a place of business at 3011 Malibu Canyon Road, Malibu, California, United States of America, (hereinafter "Assignee"), is desirous of acquiring the entire right, title, and interest in the Invention within the United States of America and its territorial possessions, and in all foreign countries in which intellectual or industrial property protection may be granted therefor.

NOW, THEREFORE, for good and valuable consideration, the receipt whereof is hereby acknowledged, I, the Assignor desire to and do hereby assign, sell, and transfer unto Assignee the full and exclusive right to the Invention in the United States of America and its territorial possessions and in all foreign countries, as well as, the entire right, title and interest in and to any and all intellectual or industrial property rights including, but not limited to, Patents, designs, utility models, and inventor certificates which may be granted therefore in the United States of America and its territorial possessions and in all foreign countries, I hereby authorize and request the U.S. Patent Offices and the equivalent offices at foreign countries, to issue to the Assignee, any and all applicable intellectual or industrial property rights in the Invention, for the Assignee's sole use and benefit; and for the use and benefit of his legal representatives, to the full end of the term for which United States and foreign Patents or the like may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

I further covenant and agree with the Assignee that I have a full and unencumbered title to the invention hereby assigned, which title I warrant unto the Assignee, and I further agree that I will, without demanding any further consideration therefore, at the request and at the expense of the Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining, sustaining, extending, reissuing or reexamining United States and foreign Patents or the like for the Invention, and for maintaining and perfecting the Assignee's right to the Invention and any and all intellectual or industrial property rights in it, particularly in cases of interference, conflict, opposition and litigation.

IN TESTIMONY WHEREOF, I have hereunto set my hand.

Executed this 3rd day of December, 2008,
at Malibu (city).


(Signature)

ASSIGNMENT

WHEREAS, I, Qin Jiang of 21200 Kittridge Street, Apt #3203, Woodland Hills, CA 91303, United States of America (hereinafter "Assignor") have invented certain new and useful improvements in **VALUATION-BASED LEARNING SYSTEM** (hereinafter "Invention") for which an application for a United States Utility Patent was filed on May 31, 2008, application serial number 12/156,447, and/or for which Assignors are making or have made a foreign application for intellectual or industrial property protection under the appropriate body of law corresponding to that foreign jurisdiction.

AND

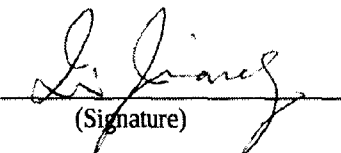
WHEREAS, HRL Laboratories, LLC, a limited liability company organized and existing under the laws of the State of Delaware, United States of America and having a place of business at 3011 Malibu Canyon Road, Malibu, California, United States of America, (hereinafter "Assignee"), is desirous of acquiring the entire right, title, and interest in the Invention within the United States of America and its territorial possessions, and in all foreign countries in which intellectual or industrial property protection may be granted therefor.

NOW, THEREFORE, for good and valuable consideration, the receipt whereof is hereby acknowledged, I, the Assignor desire to and do hereby assign, sell, and transfer unto Assignee the full and exclusive right to the Invention in the United States of America and its territorial possessions and in all foreign countries, as well as, the entire right, title and interest in and to any and all intellectual or industrial property rights including, but not limited to, Patents, designs, utility models, and inventor certificates which may be granted therefore in the United States of America and its territorial possessions and in all foreign countries, I hereby authorize and request the U.S. Patent Offices and the equivalent offices at foreign countries, to issue to the Assignee, any and all applicable intellectual or industrial property rights in the Invention, for the Assignee's sole use and benefit; and for the use and benefit of his legal representatives, to the full end of the term for which United States and foreign Patents or the like may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

I further covenant and agree with the Assignee that I have a full and unencumbered title to the invention hereby assigned, which title I warrant unto the Assignee, and I further agree that I will, without demanding any further consideration therefore, at the request and at the expense of the Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining, sustaining, extending, reissuing or reexamining United States and foreign Patents or the like for the Invention, and for maintaining and perfecting the Assignee's right to the Invention and any and all intellectual or industrial property rights in it, particularly in cases of interference, conflict, opposition and litigation.

IN TESTIMONY WHEREOF, I have hereunto set my hand.

Executed this 3 day of Dec., 2008,
at Malibu (city)


(Signature)

ASSIGNMENT

WHEREAS, I, David Shu of 8748 Henna Avenue, West Hills, CA 91304, United States of America (hereinafter "Assignor") have invented certain new and useful improvements in **VALUATION-BASED LEARNING SYSTEM** (hereinafter "Invention") for which an application for a United States Utility Patent was filed on May 31, 2008, application serial number 12/156,447, and/or for which Assignors are making or have made a foreign application for intellectual or industrial property protection under the appropriate body of law corresponding to that foreign jurisdiction.

AND


WHEREAS, HRL Laboratories, LLC, a limited liability company organized and existing under the laws of the State of Delaware, United States of America and having a place of business at 3011 Malibu Canyon Road, Malibu, California, United States of America, (hereinafter "Assignee"), is desirous of acquiring the entire right, title, and interest in the Invention within the United States of America and its territorial possessions, and in all foreign countries in which intellectual or industrial property protection may be granted therefor.

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I further covenant and agree with the Assignee that I have a full and unencumbered title to the invention hereby assigned, which title I warrant unto the Assignee, and I further agree that I will, without demanding any further consideration therefore, at the request and at the expense of the Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining, sustaining, extending, reissuing or reexamining United States and foreign Patents or the like for the Invention, and for maintaining and perfecting the Assignee's right to the Invention and any and all intellectual or industrial property rights in it, particularly in cases of interference, conflict, opposition and litigation.

IN TESTIMONY WHEREOF, I have hereunto set my hand.

Executed this third day of December, 2008,
at Malibu (city).


(Signature)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	12/156,447
Filing Date	5/31/2008
First Named Inventor	CHEN
Art Unit	
Examiner Name	
Attorney Docket Number	HRL169


Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

- | | | |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Response to Missing Parts/Incomplete Application
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
<input type="checkbox"/> Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
ASSIGNMENTS |
|---|--|--|


Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	TOPE-MCKAY & ASSOCIATES
Signature	
Date	12/03/2008

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	CARY TOPE-MCKAY		
Signature		Date	12/03/2008

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT**RECORDED: 12/08/2008****REEL: 021956 FRAME: 0645**