01:25pm

RECORDATION FOR	M COVER SHEET U.S. DEPARTMENT OF COMMERCE
FD F Q=1000	U.C. detent and Trademark Office
(Rev. 03/01) PAIENTS OMB No. 0651-0027 (exp. 5/31/2002)	Atty Docket No.: 28190.0003
To the Director of the U.S. Patent and Trademark Office: Ple	
Name of conveying party(ies):	Name and address of receiving party(ies) Name: Novartis Vaccines and Diagnostics, Inc.
James C. Sutton	Internal Address: Mail Stop B XIOO B
Marion Wiesmann	Street Address: 4560 Horton Street
Weibo Wang	City: Emeryville State: California Zip: 94608-2916
Mika K. Lindvall	_
Additional name(s) of conveying party(ies) attached? Yes No. 1	
☐ Security Agreement ☐ Change of Name ☐ Change of Name	Additional name(s) & address(es) attached? ☐ Yes ☒ No
Execution Date: May 10, 2007, May 15, 2007, May 16, 2007, May 30, 2007, June 6, 2007, June 8, 2007, June 9, 2007, June 18, 2007, June 20, 2007, August 24, 2007, and September 5, 2007	
the state of the s	
Application number(s) of patent number(s). If this document is being filed together with a new application, it A. Patent Application No.	e execution date of the application is: B. Patent Registration No.(s)
11/737,069	
	attached ☐ Yes ⊠ No
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents Involved 1
Name: Novartis Vaccines and Diagnostics, Inc.	7, Total fee (37 CFR 3.41)\$40.00
Internal Address: Mail Stop Bas X - 100 B	☐ Enclosed
Street Address: 4560 Horton Street	 Authorized to be charged to deposit account (any deficiencies)
City: Emeryville State: CA Zip: 94608-2916	Deposit account number:
	· ·
	03-1664
	(Attach duplicate copy of this page if paying by deposit account)
DO NOT U	E THIS SPACE
copy of the original document. Mark Seka, Registration No. 44,330	nformation is true and correct and any attached copy is a true
Martie of Letthin pigning	
Total number of pages including cove	sheet, attachments, and document. 25

OMB No. 0651-0027 (exp. 5/31/2002)

510-923-3542

T-203 P 003/027 F-087

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

28190.0003

To the Honorable Commissioner of Patents and Trademarks: Flease record the attached original documents or copy thereof.

RECORDATION FORM COVER SHEET

PATENT\$ ONLY

(ADDENDUM)

1. Name of conveying party(ies) continued:

Jiong Lan

Form PTO-1595

(Rev. 03/01)

Savithri Ramurthy

Anu Sharma

Elizabeth J. Mieuli

Liana M. Klivansky

William P. Lenahan

Susan Kaufman

Hong Yang

Simon C. Ng

Keith Pfister

Allan Wagman

Victoria Sung

Martin Sendzik

Mail documents to be recorded with required cover sheet information to: Commissioner of Paten & Trademarks, Box Assignments Washington, D.C. 20231

Atty, Docket No. 28190.0003

PATENT

ASSI NMENT

WHEREAS, We, James C. Sutton, Mariot Wiesmann, Weibo Wang, Mika K. Lindvall, Jiong Lan, Savithri Ramurthy, Anu Sharma, Elizabeth J. Mieuli, Liana M. Klivansky, William P. Lenahan, Susan Kaufman, Hong Yang, Simon C. Ng, Keith Pfister, Allan Wagman, Victoria Sung, and Martin Sendzik, hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

6-O-SUBSTITUTED BENZAZOLE AND BENZOTHIAZOLE

COMPOUNDS AND METHODS OF INHIBITING CSF-1R SIGNALING

Date(s) of Execution: May 10, 2007, May 15, 2007, May 16, 2007,

Filing Date: April 18, 2007

May 30, 2007, June 6, 2007, June 20, 2007, and

September 5, 2007

Serial No:

11/737,069

WHEREAS, NOVARTIS VACCINES A ND DIAGNOSTICS, INC., 4560 Horton Street, Emeryville, California 94608-2916, a corporation of the State of Delaware, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in the above invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM I MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) hwful money paid to ASSIGNORS by ASSIGNEE, receipt of which is hereby acknowledged, ASSIGNORS have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE'S successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (including conversions claiming priority under 35 U.S.C. \$119(c)). reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNORS had this assignment and transfer not been made, to the full end and term of any Letters Patent.

Atty. Docket No. 28190,0002

ASSIGNORS also agree that the U.S. application number may be entered above by ASSIGNEE or its agents upon designation of the application number by the U.S. Patent and Trademark Office.

ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNO RS have hereunto signed their names to this assignment on the dates indicated below.

DATE _	05/16/07
DATE _	05/16/2=07
DATE	

James C. Sutton

Marion Wiesmann

DATE 06/06/2007DATE 6/06/2007DATE 6/20/06/2007DATE 6/20/06/2007

Mika K. Lindvall

Jiong Lan

Savithri Ramurthy

Anu Sharma

2

Weibo Wang

(،

Atty. Docket No. 2	28190.0002
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DATE	
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Elizabeth J. Mieuli

Witnes: Signature

DATE _____

Liana M. Klivansky

Witness Signature

DATE <u>05/10/2007</u>
DATE <u>5/30/2007</u>

DATE _____

Susan Kaufman

Hong Yang

Witness Signature

DATE 5-15-2007

DATE 5-10-07

DATE _____

Ng Cl We:

Keith Pfister

Allan Wagman

Witness Signature

DATE <u>9-5-07</u>

DATE 5-10-017

Victoria Sung

3

County of Alameda

On June 20, 2007 before me, Elissa M. Nash, personally appeared Savithri Ramurthy, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Elissa M. Nash

ELISSA M. NASH
Commission # 1553873
Notary Public - California \$
Alameda County
My Comm. Expires Feb 19, 2009

County of Alameda

On June 6, 2007 before mc, Elissa M Nash, personally appeared Mika K. Lindvall, Jiong Lan, and Anu Sharria, personally known to me to be the persons whose names are subscribed to the within in trument and acknowledged to me that they executed the same in their authorized capacities, and that by their signatures on the instrument the persons, or entity upon behalf of which the persons acted, executed the instrument.

WITNESS my hand and official seal.

Elissa M. Nash

ELISSA M. NASH Commission # 155:873 Notary Public - California Alameda County My Comm. Expires Feb. 9, 2009

County of Alameda

On May 15, 2007 before me, Elissa M. Nash, personally appeared Simon Ng personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Elissa M. Nash

ELIS A M. NASH
Commission # 1553873
Notary P blic - California
Alarreda County
My Comm. expires Feb 19, 2009

County of Alameda

On May 16, 2007 before me, Elissa M. Nash, personally appeared James C. Sutton and Marion Wiesmann, personally known to me to be the persons whose names are subscribed to the within instrumen and acknowledged to me that they executed the same in their authorized capacities, and that by their signatures on the instrument the persons, or entity upon behalf of which the persons acted, executed the instrument.

WITNESS my hand and official seal.

ELISSA M. NASH Commission # 1553873 Notary Public - California Alameda County My Comm. Expires Feb 19, 2009

County of Alameda

On May 30, 2007 before me, Elissa NI. Nash, personally appeared

Susan Kaufman personally known to me to be the person whose name is subscribed to
the within instrument and acknowledged to me that she executed the same in her
authorized capacity, and that by her signature on the instrument the person, or entity upon
behalf of which the person acted, executed the instrument.

WITNESS my hand and office al scal.

Elissa M. Nash

ELISSA M. NASH
Commission # 1553873
Notary Public - Colifornia
Alameda County
My Comm. Expires Feb 19, 2009

County of Alameda

On September 5, 2007 before me, Eli sa M. Nash, personally appeared Victoria Sung, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Elissa M. Nash

ELISSA M. NASH
Commission # 1553873
Notary Public - California
Alameda County
My Comm. Expires Feb 19, 2009

Atty. Docket No. 28190,0003

ASSI**Ġ**NMENT

WHEREAS, We, James C. Sutton, Marior Wiesmann, Weibo Wang, Mika K. Lindvall, Jiong Lan, Savithri Ramurthy, Anu Sharma, Elizabeth J. Mieuli, Liana M. Klivansky, William P. Lenahan, Susan Kaufman, Hong Yank, Simon C. Ng, Keith Pfister, Allan Wagman, Victoria Sung, and Martin Sendzik, hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

6-O-SUBSTITUTED BENZAZOLE AND BENZOTHIAZOLE

COMPOUNDS AND METHODS OF INHIBITING CSF-1R SIGNALING

Date(s) of Execution: June 9, 2007

Filing Date: April 18, 2007

Serial No:

11/737,069

WHEREAS, NOVARTIS VACCINES AND DIAGNOSTICS, INC., 4560 Horton Street, Emeryville, California 94608-2916, a corporation of the State of Delaware, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in the above invention and application and in any Letters Patent which may be granted on the same;

NOW. THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) I wful money paid to ASSIGNORS by ASSIGNEE, receipt of which is hereby acknowledged, ASSIGNORS have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE'S successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (including conversions claiming priority under 35 U.S.C. §119(e)), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNORS had this assignment and transfer not been made, to the full end and term of any Letters Patent.

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Atty. Docket No. 28190.0003

ASSIGNORS also agree that the U.S. application number may be entered above by ASSIGNEE or its agents upon designation of the application number by the U.S. Patent and Trademark Office.

ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed their names to this assignment on the dates indicated below.

DATE	James C. Sutton
DATE	Marion Wiesmann
DATE 619107 Jaskee Witnes	Weibo Wang Signature
DATE	Mika K. Lindvall
DATE	Jiong Lan
DATE	Savithri Ramurthy
DATE	Anu Sharma

Dec-10-08	01:27pm	From-Novartis V&D	IP Dept		510-923-3542	T-203	P.015/027	F-087
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						Atty. Doc	ket No. 28	190.0003
Ε	OATE				mar I al T National			
					Elizabeth J. Mieuli			
			<u> </u>	Witnes	Signature			
I	DATE		<u></u> , ,		Liana M. Klivansky		. <u> </u>	
				Witnes	Signature			
Ι	DATE	· · · · · · · · · · · · · · · · · · ·	≜irne:	 –	William P. Lenahan	<u> </u>		
Ι	DATE		-		Susan Kaufman			
I	DATE		 	- "	Hong Yang			·····
				Witnes	Signature			
I	DATE		<u> </u>		Simon C. Ng			· · ·
I	DATE	· -	<u></u> -	· 	Keith Pfister			
I	DATE		<u>-</u> n		Allan Wagman			.
				Witnes	s Signature			
]	DATE	187		- L.Born	Victoria Sung			
1	DATE	.n.s			Martin Sendzik			
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Atty. Docket No. 28190.0003

ASSIGNMENT

WHEREAS, We, James C. Sutton, Marior Wiesmann, Weibo Wang, Mika K. Lindvall, Jiong Lan, Savithri Ramurthy, Anu Sharma, Eliz beth J. Mieuli, Liana M. Klivansky, William P. Lenahan, Susan Kaufman, Hong Yang, Simon C. Ng, Keith Pfister, Allan Wagman, Victoria Sung, and Martin Sendzik, hereinafter of ferred to as ASSIGNORS, have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of invention:

6-O-SUBSTITUTED BENZAZOLE AND BENZOTHIAZOLE

COMPOUNDS AND METHODS OF INHIBITING CSF-1R SIGNALING

Date(s) of Execution:

June 8, 2007

Filing Date: April 18, 2007

Serial No:

11/737,069

WHEREAS, NOVARTIS VACCINES AND DIAGNOSTICS, INC., 4560 Horton Street, Emeryville, California 94608-2916, a corporation of the State of Delaware, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in the above invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) It wful money paid to ASSIGNORS by ASSIGNEE, receipt of which is hereby acknowledged, ASSIGNORS have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE'S successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (including conversions claiming priority under 35 U.S.C. §119(e)), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said ASSIGNORS had this assignment and transfer not been made, to the full end and term of any Letters Patent.

1

Atty. Docket No. 28190.0003

ASSIGNORS also agree that the U.S. application number may be entered above by ASSIGNEE or its agents upon designation of the application number by the U.S. Patent and Trademark Office.

ASSIGNORS further agree that they will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfelly may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and as igns.

IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed their names to this assignment on the dates indicated below.

DATE	
	James C. Sutton
DATE	Marion Wiesmann
DATE	Weibo Wang
	welloo walig
Witness	Signature
DATE	Mika K. Lindvall
DATE	
	Jiong Lan
DATE	Savithri Ramurthy
DATE	Anu Sharma

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Dec-10-08	01:28pm	From-Novartis V&D IP	Dept		510-923-3542	T-203	P.018/027	F-087
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						Atty. Doc	ket No. 28	190.0003
Ĺ	DATE				Elizabeth J. Mici	uli -		
		-		Witnes	Signature			
Ι	DATE				Liana M. Klivan	sky		
		-	<u>. </u>	Witnes	Signature			
Ι	DATE				William P. Lena	han	·	,
r	DATE		***		Susan Kaufman			
I	DATE				Hong Yang	ASSAULT RECTU		
			- 1111 1 2	Witnes	s Signature	_		
I	DATE		, L.		Simon C. Ng	AAMERI FE E	•	
J	DATE	1/2-/			Keith Pfister	·		
I	DATE	61810	7		Allan Wagman	ago	<u>دــ</u>	
			{ _C	Vica 9 Witnes	1. Kraynoch s Signadure			
J	DATE				Victoria Sung			
]	DATE				Martin Sendzik			

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PATENT

Atty. Docket No. 28190.0003

ASSIGNMENT

WHEREAS, We, James C. Sutton, Marior Wiesmann, Weibo Wang, Mika K. Lindvall, Jiong Lan, Savithri Ramurthy, Anu Sharma, Elizabeth J. Mieuli, Liana M. Klivansky, William P. Lenahan, Susan Kaufman, Hong Yang, Simon C. Ng, Keith Pfister, Allan Wagman, Victoria Sung, and Martin Sendzik, hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

6-O-SUBSTITUTED BENZAZOLE AND BENZOTHIAZOLE

COMPOUNDS AND METHODS OF INHIBITING CSF-1R SIGNALING

Date(s) of Execution:

June 6, 2007

Filing Date: April 18, 2007

Serial No:

11/737,069

WHEREAS, NOVARTIS VACCINES AND DIAGNOSTICS, INC., 4560 Horton Street, Emeryville, California 94608-2916, a corporation of the State of Delaware, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in the above invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNORS by ASSIGNEE, receipt of which is hereby acknowledged, ASSIGNORS have sold, assigned and transferred, and by these presents do sell, assign and transfer unto sa d ASSIGNEE, and ASSIGNEE'S successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (including conversions claiming priority under 35 U.S.C. \$119(e)), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNORS had this assignment and transfer not been made, to the full end and term of any Letters Patent.

1

Atty. Docket No. 28190.0003

ASSIGNORS also agree that the U.S. application number may be entered above by ASSIGNEE or its agents upon designation of the application number by the U.S. Patent and Trademark Office.

ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed their names to this assignment on the dates indicated below.

DATE	James C. Sutton
DATE	Marion Wiesmann
DATE	Weibo Wang
 Witnes	s Signature
DATE	Mika K. Lindvall
DATE	Jiong Lan
DATE	Savithri Ramurthy
DATE	Anu Sharma
•	

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Dec-10-08 01:29pm	From-Novartis V&D IP Dept		510-923-3542	T-203	P.021/027	F-087
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DATE		_	Elizabeth J. Mieuli		_	
ĎATE	6607 Sa	_	Signature Liana M Rlivansky)	<u>.</u>	
DATE		Witness —	Signature William P. Lenahan			
DATE	. <u></u>		Susan Kaufman	All Miles II		
DATE		_	Hong Yang			
		Witnes	Signature			
DATE			Simon C. Ng			
			Keith Pfister			
DATE			Allan Wagman	-		
	.		s Signature			
DATE			Victoria Sung	·	· · · · · · · · · · · · · · · · · · ·	
DATE			Martin Sendzik			

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Atty, Docket No. 28190.0003

ASSIGNMENT

WHEREAS, We, James C. Sutton, Marior Wiesmann, Weibo Wang, Mika K. Lindvall, Jiong Lan, Savithri Ramurthy, Anu Sharma, Elizabeth J. Mieuli, Liana M. Klivansky, William P. Lenahan, Susan Kaufman, Hong Yang, Simon C. Ng, Keith Pfister, Allan Wagman, Victoria Sung, and Martin Sendzik, hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

6-O-SUBSTITUTED BENZAZOLE AND BENZOTHIAZOLE

COMPOUNDS AND METHODS OF INHIBITING CSF-1R SIGNALING

Date(s) of Execution:

June 18, 2007

Filing Date: April 18, 2007

Serial No:

11/737,069

WHEREAS, NOVARTIS VACCINES AND DIAGNOSTICS, INC., 4560 Horton Street, Emeryville, California 94608-2916, a corporation of the State of Delaware, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in the above invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) I wful money paid to ASSIGNORS by ASSIGNEE, receipt of which is hereby acknowledged, ASSIGNORS have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE'S successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (including conversions claiming priority under 35 U.S.C. \$119(e)), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNORS had this assignment and transfer not been made, to the full end and term of any Letters Patent.

1

Atty. Docket No. 28190.0003

ASSIGNORS also agree that the U.S. application number may be entered above by ASSIGNEE or its agents upon designation of the application number by the U.S. Patent and Trademark Office.

ASSIGNORS further agree that they will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed their names to this assignment on the dates indicated below.

DATE	James C. Sutton
DATE	Marion Wiesmann
DATE	Weibo Wang
Witnes	Signature
DATE	Mika K. Lindvall
DATE	Jiong Lan
DATE	Savithri Ramurthy
DATE	Anu Sharma

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Dec-10-08	01:30pm	From-Novartis V&D IP	Dept		510-923-3542	T-203	P.024/027	F-087
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Ε	ATE			-	Elizabeth J. Mieu	1i	<u> </u>	
		-		Witness	Signature			
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Ε	DATE	<u> </u>		- :	Liana M. Klivans	kv		
					Elmiu Iva, Adai vano	 <i>)</i>		
		-		Witness	Signature	_		
				W Telles	Gignature .			
Ι	DATE	<u></u>	<u> </u>	-	William P. Lenah			
				:	William 1 - Denan	ICM I		
ľ	DATE				Susan Kaufman	· 	· -	
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				1 1	Hong Yang			
		-				_		
				Witnes	Signature			
I	DATE		- 10	_	Simon C. Ng			
					Simon C. Ng			
1	DATE							
					Keith Pfister			
j	DATE							
		···			Allan Wagman			
				Witnes	s Signature			
7	DATE					u . .		
-				_	Victoria Sung			
	DATÉ							
•		<u></u>			Martin Sendzik			
						,		

Atty. Docket No. 28190.0003

ASSI NMENT

WHEREAS, We, James C. Sutton, Marior Wiesmann, Weibo Wang, Mika K. Lindvall, Jiong Lan, Savithri Ramurthy, Anu Sharma, Eliz beth J. Mieuli, Liana M. Klivansky, William P. Lenahan, Susan Kaufman, Hong Yang, Simon C. Ng, Keith Pfister, Allan Wagman, Victoria Sung, and Martin Sendzik, hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

6-O-SUBSTITUTED BENZAZOLE AND BENZOTHIAZOLE

COMPOUNDS AND METHODS OF INHIBITING CSF-1R SIGNALING

Date(s) of Execution:

August 24, 2007

Filing Date: April 18, 2007

Serial No:

11/737,069

WHEREAS, NOVARTIS VACCINES AND DIAGNOSTICS, INC., 4560 Horton Street, Emeryville, California 94608-2916, a corporation of the State of Delaware, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in the above invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNORS by ASSIGNEE, receipt of which is hereby acknowledged, ASSIC NORS have sold, assigned and transferred, and by these presents do sell, assign and transfer unto sa d ASSIGNEE, and ASSIGNEE'S successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (including conversions claiming priority under 35 U.S.C. §119(e)), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said ASSIGNORS had this assignment and transfer not been made, to the full end and term of any Letters Patent.

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Atty. Docket No. 28190.0003

ASSIGNORS also agree that the U.S. application number may be entered above by ASSIGNEE or its agents upon designation of the application number by the U.S. Patent and Trademark Office.

ASSIGNORS further agree that they will without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed their names to this assignment on the dates indicated below.

DATE	James C. Sutton
DATE	Marion Wiesmann
DATE	Weibo Wang
Wit	tnes: Signature
DATE	Mika K. Lindvall
DATE	Jiong Lan
DATE	Savithri Ramurthy
DATE	Anu Sharma

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