

Form PTO-1595 (Rev. 09/04)  
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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**

ZHENPING ZHU

Execution Date(s): September 13, 2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of Conveyance:**

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

**2. Name and address of receiving party(ies)**

Name: IMCLONE SYSTEMS INCORPORATED

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

180 VARICK STREET, 6TH FLOOR

City: NEW YORK

State: NEW YORK

Country: United States of America Zip: 10014

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

A. Patent Application No.(s)  
12/234,203

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Erika J. Senska  
LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.:

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: (908) 654-5000

Fax Number: (908) 654-0415

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:**

1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$** 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

- a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_  
b. Deposit Account Number 12-1095  
Authorized User Name Erika J. Senska

**9. Signature:**



Erika J. Senska - 53,312

Name of Person Signing

December 11, 2008

Date

Total number of pages including cover sheet, attachments, and documents:

5

CH \$40.00 121095 12234203

[11245/47802]

ASSIGNMENT

WHEREAS, I,

**Zhenping ZHU**  
**9 Boulder Run**  
**Oakland, New Jersey 07436**  
**US**  
**Citizenship: Peoples Republic of China**

have made inventions and discoveries in **HUMAN ANTIBODIES SPECIFIC TO KDR AND USES THEREOF**, for which an application for Letters Patent was filed as PCT International Application No. PCT/US03/06459 on March 4, 2003; and

**WHEREAS IMCLONE SYSTEMS INCORPORATED**, having a place of business at 180 Varick Street, New York, New York 10014, U.S.A., and who, together with its successors and assigns, is hereinafter called Assignee, is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited,

NOW, THEREFORE, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservations:

1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries, including all divisional, renewal, substitute, and continuation applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under an International Convention or otherwise.

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.


4. Warrant that I have not conveyed to others any right, title, or interest in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; that I have good right to assign the same to Assignee without encumbrance; and that I am aware of no claim to the contrary.

5. Bind my heirs, legal representatives, and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonably serving to assure that said inventions and discoveries, said patent applications, and said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in my control or in the control of my heirs, legal representatives, or assigns which may be useful for establishing the facts of my conception, disclosure, and reduction to practice of said inventions and discoveries; and to testify to the same in any interference, arbitration, or litigation.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 13<sup>th</sup> day of  
September, 2004.

  
Zhenping ZHU

WITNESSED BY:

  
Thomas C. Gallagher  
Name

VICE PRESIDENT

IMCLONE SYSTEMS  
180 VARCK ST. New York  
Address



600 SOUTH AVENUE WEST • WESTFIELD, NEW JERSEY 07090  
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## Facsimile Transmittal

To:	Fax Number	From
USPTO Assignment Division	571-273-0140	Phyllis Kelly 908-518-6456

Date: December 11, 2008

No. Pages: 5

File Name.: IMCLON 3.3-015 DIV

Client/Matter No: IMCLON.533

Attorney/Secretary: EJS/tdo

### MESSAGE:

Please record the attached assignment and fax the Notice of Recordation to Phyllis Kelly at 908-654-7866.

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