# PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
Lucent Technologies Inc.	01/30/2001

## **RECEIVING PARTY DATA**

Name:	Agere Systems Inc.
Street Address:	1110 American Parkway
City:	Allentown
State/Country:	PENNSYLVANIA
Postal Code:	18109

### PROPERTY NUMBERS Total: 1

Property Type	Number
Patent Number:	5694423

## **CORRESPONDENCE DATA**

Fax Number: (610)712-1454

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Email: ej.twu@lsi.com Correspondent Name: Yihjye Twu

Address Line 1: 1110 American Parkway

Address Line 4: Allentown, PENNSYLVANIA 18109

NAME OF SUBMITTER: Yihjye Twu

Total Attachments: 10

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# PATENT ASSIGNMENT

by and between

## LUCENT TECHNOLOGIES INC.

and

# AGERE SYSTEMS GUARDIAN CORP.

Dated as of January 30, 2001

#### PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (this "Assignment"), effective as of January 30, 2001 (the "Effective Date"), is by and between Lucent Technologies Inc., a Delaware corporation, with offices at 600 Mountain Avenue, Murray Hill, New Jersey 07974, United States of America, ("ASSIGNOR") and Agere Systems Guardian Corp., a Delaware corporation, with offices at 555 Union Boulevard, Allentown, PA 18109, United States of America ("Agere Systems Guardian").

#### RECITALS

- A. WHEREAS, the Board of Directors of ASSIGNOR has determined that it is in the best interests of ASSIGNOR and its stockholders to separate ASSIGNOR's existing businesses into two independent businesses;
- B. WHEREAS, ASSIGNOR presently owns or controls certain patents, patent applications, and invention submissions listed in the attached Appendices A and B (hereinafter "TRANSFERRED PATENTS") and;
- C. WHEREAS, in furtherance of the foregoing separation, ASSIGNOR desires to transfer, assign, convey, deliver and vest all of its interests and rights in TRANSFERRED PATENTS for all countries, jurisdictions and political entities of the world, to and in Agere Systems Guardian;

NOW, THEREFORE, in consideration of the premises and for other good and valid consideration, the receipt and sufficiency of which are hereby acknowledged, the parties, intending to be legally bound, agree as follows:

ASSIGNOR, subject to existing rights and licenses of third parties, does hereby assign, convey, transfer and deliver, and agrees to assign, convey, transfer and deliver to Agere Systems Guardian, its successors, assigns and legal representatives or nominees, ASSIGNOR's entire right, title and interest, for all countries, jurisdictions and political entities of the world, along with the right to sue for past infringement, to all TRANSFERRED PATENTS listed on Appendices A and B, and corresponding counterpart foreign patents and patent applications, with respect to which, and to the extent to which, ASSIGNOR now has or hereafter acquires the right to so assign, convey, transfer and deliver. Agere Systems Guardian recognizes that ASSIGNOR holds only bare legal title to the TRANSFERRED PATENTS listed in Appendix A (which lists the United States Patents and patent applications previously exclusively licensed to Lucent Technologies Microelectronics Guardian Corp.).

ASSIGNOR and ASSIGNEE recognize that the patents listed in Appendices A and B may inadvertently include patents that are owned by various subsidiaries of ASSIGNOR, including Agere, Inc., Ortel Corporation, Optimay Corporation, Herrmann Technology, Inc., and Enable Semiconductor, Inc. Ownership of such patents shall not be affected by this Patent

Assignment, and ASSIGNEE agrees that any such patents shall be deemed deleted from Appendices A and B.

ASSIGNOR agrees that, upon request it will, at any time without charge to Agere Systems Guardian, but at Agere Systems Guardian's expense, furnish all necessary documentation relating to or supporting chain of title, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for vesting title to TRANSFERRED PATENTS in Agere Systems Guardian, its successors, assigns and legal representatives or nominees; including but not limited to any acts which may be necessary, desirable or convenient for claiming said rights and for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in Agere Systems Guardian and its respective successors, assigns and legal representatives or nominees.

**Execution Copy** 

IN WITNESS WHEREOF, the parties have caused this PATENT ASSIGNMENT to be executed by their duly authorized representatives as of the Effective Date.

LUCENT TECHNOLOGIES INC.

By:

Daniel P. McCurdy

President, Intellectual Property Business

AGERE SYSTEMS GUARDIAN CORP.

By:

Fred M. Romano

President

PATENT

**REEL: 021976 FRAME: 0392** 

# **ACKNOWLEDGMENTS**

STATE OF NEW JERSEY )	
: ss: COUNTY OF SOMERSET)	
personally came before me and this person ack a.) this person signed, sealed and delive Intellectual Property Business of Lucent Techr	and made by Lucent Technologies Inc. as its
	Name TAMORA ANNE HANNA Notary Public Notary Public of New Jersey My Commission Expires March 25, 2002 [Notarial Seal]
STATE OF FLORIDA ) : ss: COUNTY OF ORANGE )	
<ul><li>a.) this person signed, sealed at Vice President of Agere System</li><li>b.) this Patent Assignment was</li></ul>	, 2001, Fred M. Romano thowledged under oath, to my satisfaction that: and delivered the attached Patent Assignment as stems Guardian Corp.; and signed and made by Agere Systems Guardian and deed by virtue of authority from its Board of
	Name Notary Public My Commission Expires: [Notarial Seal]

IN WITNESS WHEREOF, the parties have caused this PATENT ASSIGNMENT to be executed by their duly authorized representatives as of the Effective Date.

LUCENT TECHNOLOGIES INC.

Daniel P. McCurdy

President, Intellectual Property Business

AGERE SYSTEMS GUARDIAN CORP.

Fred M. Romano

President

PATENT

REEL: 021976 FRAME: 0394

### **Execution Copy**

### **ACKNOWLEDGMENTS**

STATE OF NEW JERSEY	)	
	:	SS
COUNTY OF SOMERSET	)	

\_, 2001, Daniel P. McCurdy I CERTIFY that on \_ anuan 30 personally came before me and this person acknowledged under oath, to my satisfaction that: a.) this person signed, sealed and delivered the attached Patent Assignment as President -Intellectual Property Business of Lucent Technologies Inc.; and

b.) this Patent Assignment was signed and made by Lucent Technologies Inc. as its voluntary act and deed by virtue of authority from its Board of Directors.

Notary Public

TAMORA ANNE HANNA Notary Public of New Jersey My Commission Expiresgistered in Hunterdon County
[Notarial Seal] My Commission Expires March 25, 2002

STATE OF FLORIDA)

: ss:

COUNTY OF ORANGE)

I CERTIFY that on ancian , 2001, Fred M. Romano personally came before me and this person acknowledged under oath, to my satisfaction that:

- a.) this person signed, sealed and delivered the attached Patent Assignment as Vice President of Agere Systems Guardian Corp.; and
- b.) this Patent Assignment was signed and made by Agere Systems Guardian Corp. as its voluntary act and deed by virtue of authority from its Board of Directors.

Name Notary Public

My Commission Expires:

[Notarial Seal]

**PATENT** 

REEL: 021976 FRAME: 0395

# Schedule 3.10-1 Patents licensed by Lucent Technologies Guardian I Corp. to Agere Guardian Corp.

Case Name	Filing D	ate Issue Date	Patent Number
Huang 5-1	8/31/1		4592019
Huang 6	11/10/1	994 01/02/96	5481540
Iyengar 1	8/25/1	995 09/02/97	5663955
Jacksier 2	8/29/1	983 01/28/86	4567604
Jarwala 4-4	10/9/1	990 10/13/92	5155732
Javitt 1-1	8/19/1	991 08/17/93	5237591
Jin 24-6	11/8/1	983 08/20/85	4536229
Jin 31-13-1-29-12	9/5/1	986 04/12/88	4737112
Jin 75-5-11	3/30/1	994 09/12/95	5450372
Jon 12-1	4/17/1	997 05/11/99	5903220
Kamerman 4-9	3/21/1	995 06/03/97	5636247
Kavehrad 1	4/19/1	984 03/18/86	4577330
Kavehrad 5-1	7/24/1		4701909
Kestenbaum 9-1	8/23/1	989 11/12/91	5064685
Khoury 4	9/16/1	992 09/06/94	5345119
Kim 4-9-3	10/7/1	996 07/14/98	5779929
Kimura 1-1	4/23/1	996 06/30/98	5774019
Knauer 4	8/19/1		4751675
Kohs 3	5/4/1		4538271
Kopmeiners 1-4	12/31/1		5917865
Kostic 1	3/23		5448590
Koyama 5	4/12/1		5706311
Krause 1	7/14/1		4539434
Kriz 1-2	7/5/1		5017807
Kumar 17-7-8-3-3	8/27/1		5898689
Kustka 8	7/2/1		5226082
Lakshmikumar 9	2/27/1		5631595
Lane 1	6/24/1		5136263
Laroia 2	10/20/1		5418531
Larson 14-3	4/14/1		5481680
Larsson 4-1	8/6/1		5694423
Larsson 6-4	1/23/1		6011808
Law 10	12/31/1		5259920
Leedy 1	12/29/1		5008672
Leonowich 10	6/28/1		5434525
Leonowich 16-2	6/12/1		5949228
Leonowich 9	11/30/1		5463337
Lese 5-2-1-1-1	3/2/1		4761800
Lewandowski 2	8/21/1		5155692
Lewis 1-1	4/15/1		5255383
Lin 2	12/29/1		4937817
Lin 6	1/31/1		5935270
Ling 8-14	1/31/1		4638401
Ling 6-14 Literati 2-3-1-8	6/2/1		5596727
Losel 8	5/30/1		4642746
Losei 8 Lotfi 10-20	9/25/1		5925827
Lotfi 13-27	7/31/1		
	12/27/1		
Ludwick 1	5/27/1		4663583
Ludwick 4-3	5/2//	1960 03/03/8/	4003383

# The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"AGERE SYSTEMS GUARDIAN CORP.", A DELAWARE CORPORATION,
WITH AND INTO "AGERE SYSTEMS INC." UNDER THE NAME OF "AGERE
SYSTEMS INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE
LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS
OFFICE THE TWENTY-NINTH DAY OF AUGUST, A.D. 2002, AT 9 O'CLOCK
A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF OWNERSHIP IS THE THIRTY-FIRST DAY OF AUGUST, A.D. 2002.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windson, Secretary of State

3268412 8100M

020545223

AUTHENTICATION: 1959517

DATE: 08-29-02

# CERTIFICATE OF OWNERSHIP AND MERGER

OF

Agere Systems Guardian Corp. (a Delaware corporation)

INTO

Agere Systems Inc. (a Delaware corporation)

# UNDER SECTION 253 OF THE GENERAL CORPORATION LAW OF THE STATE OF DELAWARE

Agere Systems Inc., a corporation organized and existing under the laws of Delaware ("Corporation"), DOES HEREBY CERTIFY:

FIRST: The Corporation is the owner of all of the outstanding shares of common stock of Agere Systems Guardian Corp., which is also a business corporation of the State of Delaware.

SECOND: On August 22, 2002 the Subsidiary Governance Committee of the Board of Directors of the Corporation adopted the following resolution to merge Agere Systems Guardian Corp. into the Corporation:

RESOLVED that Agere Systems Guardian Corp., a Delaware corporation, shall be merged with and into Agere Systems Inc., a Delaware corporation, with Agere Systems Inc. being the surviving corporation, and Agere Systems Inc. shall thereupon assume all of the obligations of Agere Systems Guardian Corp."

THIRD: That the merger authorized hereby shall become effective as of 9:00 a.m. Eastern Standard Time on August 31, 2002.

Executed on August 22, 2002

AGERE SYSTEMS INC.

Paul Bento, Vice President

PATENT REEL: 021976 FRAME: 0398

**RECORDED: 12/15/2008**