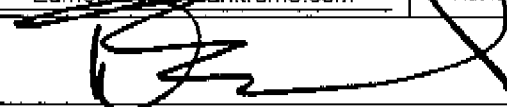


Form PTO-1595 (Rev. 11/08)
OMB No. 0851-0027 (exp. 12/31/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

<p>1. Name of conveying party(ies): Henri WAELBROECK (11/17/2008), Fred J. FEDERSPIEL (11/17/2008), Andrew ROPER (12/09/2008), Additional name(s) of conveying party(ies) attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>3. Nature of conveyance/Execution Date(s): Execution Date(s): <u>in parentheses after inventor name</u> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Change of Name <input type="checkbox"/> Security Agreement <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____</p>	<p>2. Name and address of receiving party(ies) Name: <u>Pipeline Financial Group, Inc.</u> Internal Address: _____ Street Address: _____ 60 East 42nd Street Suite 624 City: <u>New York</u> State: <u>New York</u> Country: <u>United States of America</u> Zip: <u>10165</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>4. Application or patent number(s): <input type="checkbox"/> This document is being filed together with a new application. A. Patent Application No.(s) <u>12/181,117</u> B. Patent No.(s) Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>6. Total number of applications and patents involved: 1</p> <p>7. Total fee (37 CFR 1.21(h) & 3.41) \$ <u>40.00</u> <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)</p>
<p>5. Name and address to whom correspondence concerning document should be mailed: Name: <u>David J. Edmondson</u> <u>BLANK ROME LLP</u> Internal Address: <u>Atty. Dkt.: 125091.0139</u> Street Address: <u>600 New Hampshire Ave., NW</u> City: <u>Washington</u> State: <u>DC</u> Zip: <u>20037</u> Phone Number: <u>(202) 772-5800</u> Fax Number: <u>(202) 572-1438</u> Email Address: <u>Edmondson@blankrome.com</u></p>	<p>8. Payment Information Deposit Account Number <u>23-2185</u> Authorized User Name <u>David J. Edmondson</u></p>
<p>9. Signature:  Signature _____ Date <u>December 15, 2008</u> David J. Edmondson - 35,126 Name of Person Signing _____ Total number of pages including cover sheet, attachments, and documents: <input type="checkbox"/></p>	

CH \$40.00 232185 12181117

RECORDATION FORM COVER SHEET (PTO-1595)
(supplemental sheet)

Additional Conveying Party(ies)/Execution Date(s) (1. Continued):

Howard ENGELHART (11/17/2008), and Sean KIRKPATRICK (11/17/2008)

Additional Assignees (2. Continued):

Assignee Name: _____

Internal Address:

Street Address:

City: _____ State: _____ Country: _____ Zip: _____

Assignee Name: _____

Internal Address:

Street Address:

City: _____ State: _____ Country: _____ Zip: _____

Assignee Name: _____

Internal Address:

Street Address:

City: _____ State: _____ Country: _____ Zip: _____

Additional Applications and/or Patents (4. Continued):

Additional Patent Application Numbers
4A. Continued:

Additional Patent Numbers
4B. Continued:

Additional numbers attached?

Yes No

Docket No. 125061-00139

ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made on the date set forth below by Henri WAELBROECK; Fred J. FEDERSPIEL; Andrew ROPER; Howard ENGELHART; and Sean KIRKPATRICK (hereinafter referred to as Assignors), residing at 38A Claremont Road, Scarsdale, New York 10583; 12 Gerlach Place, Larchmont, New York 10538; ~~680--~~ 49 N 8th St, Apt 1B Metropolitan Avenue, Apt 3L, Brooklyn, New York 11211; 82 Clinton Street, Apt. 4C, Hoboken, New Jersey 07030; and 22 Singleton Drive, Chesterfield, New Jersey 08515, respectively;

WHEREAS, Assignors have invented certain new and useful improvements in BLOCK TRADING SYSTEM AND METHOD PROVIDING PRICE IMPROVEMENT TO AGGRESSIVE ORDERS, set forth in a Patent application for Letters Patent of the United States, filed on July 28, 2008 as U.S. Application No. 12/181,117; and

WHEREAS, Pipeline Financial Group, Inc., a corporation duly organized under and pursuant to the laws of New York having its principal place of business at 60 East 42nd Street, Suite 624, New York, New York 10165 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the

Docket No. 125061-00139

same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

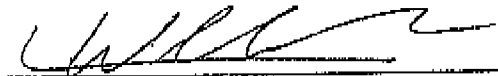
AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

Docket No. 125061-00139

BLANK ROME LLP

All practitioners at Customer Number 27557

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.



Henri Waelbroeck

Date: 11/17/2008

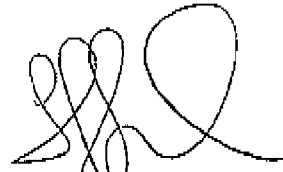
United States of America)
State of New York) ss.:
County of Suffolk)

DERYCK SINGH
Notary Public, State of New York
No. 01916185468
Qualified in Suffolk County
Commission Expires April 21, 2012

On this 17th day of November, 2008, before me personally came Henri Waelbroeck, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

Docket No. 125061-00139


Fred J. Federspiel


Date: 11/17/2008

United States of America)
State of New York) ss.:
County of Suffolk)

DERYCK SINGH
Notary Public, State of New York
No. 01SI618546B
Qualified in Suffolk County
Commission Expires April 21, 2012

On this 17th day of November, 2008, before me personally came Fred J. Federspiel, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

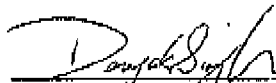

Andrew Roper

Date: 12/9/2008

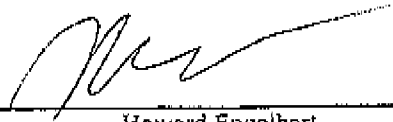
United States of America)
State of New York) ss.:
County of Suffolk)

DERYCK SINGH
Notary Public, State of New York
No. 01SI618546B
Qualified in Suffolk County
Commission Expires April 21, 2012

On this 9th day of December, 2008, before me personally came Andrew Roper, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

Docket No. 125061-00139


Howard Engelhart

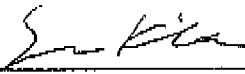
Date: 11/17/2008

United States of America)
State of New York) ss.:
County of Suffolk)

DERYCK SINGH
Notary Public, State of New York
No. 01SI6185468
Qualified in Suffolk County
Commission Expires April 21, 2012

On this 17th day of November, 2008, before me personally came Howard Engelhart, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public


Sean Kirkpatrick

Date: 11/17/2008

United States of America)
State of New York) ss.:
County of Suffolk)

DERYCK SINGH
Notary Public, State of New York
No. 01SI6185468
Qualified in Suffolk County
Commission Expires April 21, 2012

On this 17th day of November, 2008, before me personally came Sean Kirkpatrick, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public