

12-16-2008

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



103539806

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Katalin Susztak (executed on 10/31/2008)
Bernhard Bielez (executed on 11/25/2008)
Thiruvur G. Niranjani (executed on 10/31/2008)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) see above

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Albert Einstein College of Medicine of Yeshiva University

Internal Address: _____

Street Address: 1300 Morris Park Avenue

City: Bronx

State: New York

Country: US Zip: 10461

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application or patent number(s):

A. Patent Application No.(s)

PCT/US2008/010362

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Jeffrey C. Shieh

Internal Address: Amster Rothstein & Ebenstein LLP

Street Address: 90 Park Avenue

City: New York

State: NY Zip: 10016

Phone Number: 212-336-8000

Fax Number: 212-336-8001

Email Address: jshieh@arelaw.com

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name 12/15/2008 DBYRNE 00000027 PCT/US2008/010362
01 FC:0021

9. Signature:

Signature

Jeffrey C. Shieh

Name of Person Signing

December 12, 2008
Date

Total number of pages including cover sheet, attachments, and documents: 7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 021990 FRAME: 0624

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNORS of good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNORS:

-
- (1) Full Name of Inventor: **Katalin Susztak**
Residence: 14 Wright Place, Cresskill, New Jersey 07626
Citizenship: Hungary
-
- (2) Full Name of Inventor: **Bernhard Bielez**
Residence: 217 Purchase Street, Rye, New York 10580
Citizenship: Austria
-
- (3) Full Name of Inventor: **Thiruvur G. Niranjan**
Residence: 1579 Rhineland Avenue, Apt. 2V, Bronx, New York 10461
Citizenship: India
-

hereby sell, assign, and transfer to ASSIGNEE:

Albert Einstein College of Medicine of Yeshiva University
1300 Morris Park Avenue
Bronx, NY 10461

and the successors, assigns, and legal representatives of the ASSIGNEE, the entire right, title, and interest for the United States and its territorial possessions, and in all foreign countries and regions, including all rights to claim priority, in and to the invention entitled:

**USE OF GAMMA SECRETASE INHIBITORS AND NOTCH PATHWAY INHIBITORS
FOR TREATMENT AND PREVENTION OF RENAL DISEASE**

that was invented by ASSIGNORS, and which is found in PCT International Patent Application No. PCT/US2008/010362, filed September 4, 2008, and any legal equivalent thereof in a foreign

country or region, including the right to claim priority, including any and all improvements disclosed therein, and in and to all Letters Patent to be obtained for said invention by the above application, or any continuation, divisional, renewal, or substitute thereof, and, as to Letters Patent, any reissue or re-examination thereof.


ASSIGNORS hereby covenant that no assignment, sale, agreement, or encumbrance has been, or will be, made or entered into which would conflict with this Assignment.

ASSIGNORS authorize ASSIGNEE to make applications for, and to receive, Letters Patent for said invention in any of said countries or regions, in ASSIGNEE's name, or in ASSIGNORS' names, at ASSIGNEE's election.

ASSIGNORS covenant and agree to execute or procure any further necessary assurance of the title to said invention, and any Letters Patent which may issue therefor, and to, at any time, upon the request and at the expense of ASSIGNEE, deliver any testimony in any interference, litigation, or proceeding related thereto, and to execute all papers that may be necessary or desirable to perfect the title to said invention, or any Letters Patent which may be granted therefore in ASSIGNEE, its successors, assigns, or other legal representatives, and to, at any time, upon the request and at the expense of ASSIGNEE, execute any continuation, continuation-in-part, divisional, renewal, or substitute thereof, and, as to Letters Patent, any reissue or re-examination thereof, or any other additional applications for Letters Patent for said invention or any part thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, will make all rightful oaths or declarations, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns, or other legal representatives.

ASSIGNORS authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States for said invention, resulting from the aforesaid application, to said Albert Einstein College of Medicine of Yeshiva University, as their ASSIGNEE.

WITNESS our hands and seals on:



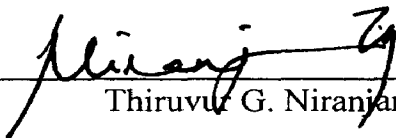
Katalin Susztak

10/31/08

Date

Bernhard Bielez

Date



Thiruvu G. Niranjan

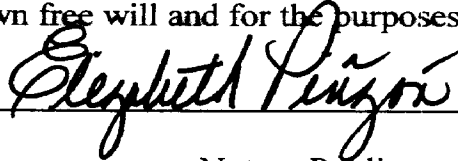
10/31/08

Date

ACKNOWLEDGMENT

State of New York }
County of BRONX }
SS:

On the 31ST day of OCTOBER, 2008, Katalin Susztak personally appeared before me, known by me to be the same person described in, and who executed, the foregoing instrument, and acknowledged that she executed the same, of her own free will and for the purposes set forth.



Notary Public

ELIZABETH PINZON
NOTARY PUBLIC, STATE OF NEW YORK
No. 01PI6133280
QUALIFIED IN BRONX COUNTY
MY COMMISSION EXPIRES SEPT. 12, 2009

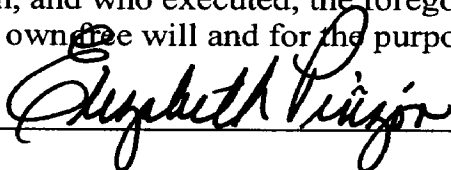
State of _____ }
County of _____ }
SS:

On the ____ day of _____, 2008, Bernhard Bielesz personally appeared before me, known by me to be the same person described in, and who executed, the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Notary Public

State of New York }
County of BRONX }
SS:

On the 3RD day of OCTOBER, 2008, Thiruvur G. Niranjan personally appeared before me, known by me to be the same person described in, and who executed, the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.



Notary Public

ELIZABETH PINZON
NOTARY PUBLIC, STATE OF NEW YORK
No. 01PI6133280
QUALIFIED IN BRONX COUNTY
MY COMMISSION EXPIRES SEPT. 12, 2009

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ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNOR:

(1) Full Name of Inventor: Bernhard Bielez
Residence: Anton Seidl-Gasse 126,
A-2345 Brunn am Gebirge, Austria
Citizenship: Austria

hereby sells, assigns and transfers to ASSIGNEE:

Albert Einstein College of Medicine of Yeshiva University
1300 Morris Park Avenue
Bronx, New York 10461, U.S.A.

and the successors, assigns, and legal representatives of the ASSIGNEE, the entire right, title, and interest for the United States and its territorial possessions, and in all foreign countries and regions, including all rights to claim priority, in and to the invention entitled:

**USE OF GAMMA SECRETASE INHIBITORS AND NOTCH PATHWAY INHIBITORS
FOR TREATMENT AND PREVENTION OF RENAL DISEASE**

that was invented by ASSIGNOR, and which is found in PCT International Patent Application No. PCT/US2008/010362, filed September 4, 2008, and any legal equivalent thereof in a foreign country or region, including the right to claim priority, including any and all improvements disclosed therein, and in and to all Letters Patent to be obtained for said invention by the above application, or any continuation, divisional, renewal, or substitute thereof, and, as to Letters Patent, any reissue or reexamination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement, or encumbrance has been, or will be, made or entered into which would conflict with this Assignment.

ASSIGNOR authorizes ASSIGNEE to make applications for, and to receive, Letters Patent for said invention in any of said countries or regions, in ASSIGNEE'S name, or in ASSIGNOR'S name, at ASSIGNEE'S election.

ASSIGNOR covenants and agrees to execute or procure any further necessary assurance of the title to said invention, and any Letters Patent which may issue therefore, and to, at any time, upon the request and at the expense of ASSIGNEE, deliver any testimony in any interference, litigation, or proceeding related thereto, and to execute all papers that may be necessary or desirable to perfect the title to said invention, or any Letters Patent which may be granted therefore to ASSIGNEE, its successors, assigns, or other legal representatives, and to, at any time, upon the request and at the expense of ASSIGNEE, execute any continuation, continuation-in-part, divisional, renewal, or substitute thereof, and, as to Letters Patent, any reissue or reexamination thereof, or any other additional applications for Letters Patent for said invention or any part thereof, in any of said countries or regions, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, will make all rightful oaths or declarations, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns, or other legal representatives.

ASSIGNOR authorizes and requests the Commissioner of Patents to issue any and all Letters Patent of the United States of America for said invention, resulting from the aforesaid application, to said Albert Einstein College of Medicine of Yeshiva University, as its ASSIGNEE.

WITNESS my hand and seal on:

/ Bernhard Bielez
Bernhard Bielez

11/25/2008
Date