

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Kenji TANASE
Yoshihisa SUZUKI
Atsushi YAMAGUCHI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) December 2, 4, 2008

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other Confirmatory Assignment of Patent Rights

2. Name and address of receiving party(ies)

Name: SANYO Electric Co., Ltd.

Internal Address: _____

Street Address: 5-5 Keihanondori-2chome

City: Moriguchi-shi

State: Osaka

Country: Japan Zip: 570-8677

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

5,771,211

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Masuvalley and Partners, Peter Martinez

Internal Address: _____

Street Address: 8765 Aero Drive, Suite 312

City: San Diego

State: California Zip: 92123

Phone Number: 858-715-6858

Fax Number: 858-715-6938

Email Address: info@masuvalley.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:


Signature

12.29.2008
Date

Peter Martinez
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22314-1450

PATENT

700394913

REEL: 022043 FRAME: 0281

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

12-09-2008

U.S. DEPARTMENT OF COMMERCE
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SHEET

200 DEC -5 PM 2:20

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents of the new address(es) below.

1. Name of conveying party(ies)

Kenji TANASE
Yoshihisa SUZUKI
Atsushi YAMAGUCHIAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

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Name: SANYO Electric Co., Ltd.

Internal Address: _____

Street Address: 5-5 Keihanhondori-2chomeCity: Moriguchi-shiState: OsakaCountry: JapanZip: 570-8677Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

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5,771,211

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Name: Masuvalley and Partners, Peter Martinez

Internal Address: _____

Street Address: 8765 Aero Drive, Suite 312City: San DiegoState: California Zip: 92123Phone Number: 858-715-6858Fax Number: 858-715-6938Email Address: info@masuvalley.com6. Total number of applications and patents involved: 17. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
- ☐ Authorized to be charged to deposit account
- ☒ Enclosed
- ☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

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12/08/2008 DAYNE 00000092 5771211

b. Deposit Account Number _____

01 FC:8821

48.00 DP

Authorized User Name _____

9. Signature:

Signature

Date

Peter Martinez
Name of Person SigningTotal number of pages including cover sheet, attachments, and documents: 7

CONFIRMATORY ASSIGNMENT OF PATENT RIGHTS

For valuable consideration, the receipt and sufficiency of this Confirmatory Assignment of Patent Rights (the "Assignment") which is hereby acknowledged, on this 2nd day of December, 2008, Kenji Tanase residing in Mizuho, Japan, Yoshihisa Suzuki residing in Ichinomiya, Japan, and Atsushi Yamaguchi residing in Mizuho, Japan (together, the "Assignors"), to ensure that the assignment dated June 24, 1996 and filed with the United States Patent and Trademark Office on July 12, 1996, at Reel/Frame 008038/0711, is completed, hereby sell, assign, and transfer to Sanyo Electric Co., Ltd., a Japanese corporation with offices at 2-5-5 Keihan Hondori, Moriguchi-City, Osaka 570-8677 ("Assignee"), the full extent of all right, title, and interest in and to any and all of the following (collectively, the "Rights"):

1. U.S. Patent 5,771,211 (the "Patent");
2. Patent Application Serial No. 08/639,365 filed on April 26, 1996, which issued as the Patent (the "Application");
3. All inventions claimed or described in any or all of the Patent or the Application (collectively, the "Inventions");
4. All rights with respect to the Inventions, including all U.S. patents or other governmental grants or issuances that may be granted with respect to the Inventions or from any direct or indirect divisionals, continuations, continuations-in-part, or other patent applications claiming priority rights from the Patent or the Application ("Potential Patents");
5. All reissues, reexaminations, extensions, or registrations of the Patent or Potential Patents;
6. All non-United States patents, patent applications, and counterparts relating to any or all of the Inventions, the Application, the Patent, or Potential Patents, including, without limitation, certificates of invention, utility models, industrial design protection, design patent protection, and other governmental grants or issuances ("Foreign Rights"), and including the right to file foreign applications directly in the name of Assignee, its successors and assigns;
7. The right to claim priority rights deriving from the Application;
8. All causes of action and remedies related to any or all of the Application, the Patent, the Inventions, Potential Patents, or Foreign Rights (including, without limitation, the right to sue for past, present, or future infringement, misappropriation or violation of rights related to any of the foregoing and the right to collect royalties and other payments under or on account of any of the foregoing); and
9. Any and all other rights and interests arising out of, in connection with, or in relation to the Application, the Patent, the Inventions, Potential Patents, or Foreign Rights.

Assignors will not sign any writing or do any act conflicting with this Assignment, and, without further compensation, will sign all documents and do such additional acts as Assignee, its successors, legal representatives, and assigns deem necessary or desirable to perfect enjoyment of the Rights, conduct proceedings regarding the Rights (including any

litigation or interference proceedings), or perfect or defend title to the Rights. Assignors request the respective patent office or governmental agency in each jurisdiction to issue any and all patents, certificates of invention, utility models, or other governmental grants or issuances that may be granted upon any of the Rights in the name of the Assignee, as the assignee to the entire interest therein.

The terms and conditions of this Assignment will inure to the benefit of Assignee, its successors, legal representatives, and assigns and will be binding upon Assignors, their successors, legal representatives and assigns.

By:

Kenji Tanase
Kenji Tanase

ATTESTATION

ATTESTATION OF SIGNATURE PURSUANT TO 28 U.S.C. 1746

The undersigned witnessed the signature of Kenji Tanase to the above Confirmatory Assignment of Patent Rights and makes the following statements:

1. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.
2. Kenji Tanase is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on December 2, 2008 to execute the above Confirmatory Assignment of Patent Rights.
3. Kenji Tanase subscribed to the above Confirmatory Assignment of Patent Rights.

I declare under penalty of perjury under the laws of the United States of America that the statements made in the three (3) numbered paragraphs immediately above are true and correct.

EXECUTED on December 4, 2008 (date)

Noriyuki Fujibayashi
Print Name: Noriyuki Fujibayashi

By:

Yoshihisa Suzuki
Yoshihisa Suzuki**ATTESTATION****ATTESTATION OF SIGNATURE PURSUANT TO 28 U.S.C. 1746**

The undersigned witnessed the signature of Yoshihisa Suzuki to the above Confirmatory Assignment of Patent Rights and makes the following statements:

4. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.
5. Yoshihisa Suzuki is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on December 2, 2008 to execute the above Confirmatory Assignment of Patent Rights.
6. Yoshihisa Suzuki subscribed to the above Confirmatory Assignment of Patent Rights.

I declare under penalty of perjury under the laws of the United States of America that the statements made in the three (3) numbered paragraphs immediately above are true and correct.

EXECUTED on December 4, 2008 (date)

Noriyuki Fujibayashi
Print Name: Noriyuki Fujibayashi

By: Atsushi Yamaguchi
Atsushi Yamaguchi

ATTESTATION

ATTESTATION OF SIGNATURE PURSUANT TO 28 U.S.C. 1746

The undersigned witnessed the signature of Atsushi Yamaguchi to the above Confirmatory Assignment of Patent Rights and makes the following statements:

7. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.
8. Atsushi Yamaguchi is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on December 2, 2008 to execute the above Confirmatory Assignment of Patent Rights.
9. Atsushi Yamaguchi subscribed to the above Confirmatory Assignment of Patent Rights.

I declare under penalty of perjury under the laws of the United States of America that the statements made in the three (3) numbered paragraphs immediately above are true and correct.

EXECUTED on December 4, 2008 (date)

Noriyuki Fujibayashi
Print Name: Noriyuki Fujibayashi

PTO/SB/81 (07-08)

Approved for use through 12/31/2008. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY
OR
REVOCATION OF POWER OF ATTORNEY
WITH A NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	08/639,365
Filing Date	04/26/1996
First Named Inventor	Kenji TANASE
Title	MAGNETO-OPTICAL RECORDING MEDIA
Art Unit	2752
Examiner Name	Tan X. DINH
Attorney Docket Number	13023-802US-2

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

60468

OR

☐ I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

Practitioner(s) Name	Registration Number

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

☐ Firm or Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.

OR

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on _____

SIGNATURE of Applicant or Assignee of Record

Signature	<i>Yoshiaki Yamada</i>	Date	12/5/2008
Name	Yoshiaki YAMADA	Telephone	+81-6-6994-6302
Title and Company	Manager, IP Dept of Sanyo Electric Co., Ltd.		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**PATENT
REEL: 022043 FRAME: 0287**

PTO/SB/96 (01-08)

Approved for use through 05/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: SANYO ELECTRIC CO., LTD.Application No./Patent No.: 08/639,365 Filed/Issue Date: April 26, 1996Entitled: **MAGNETO-OPTICAL RECORDING MEDIA**SANYO ELECTRIC CO., LTD., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 008038, Frame 0711, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

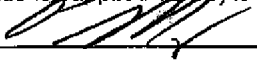
1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature
Peter R. Martinez

Printed or Typed Name
Patent Attorney

Title

12.29.2008

Date
858-715-6858

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT**RECORDED: 12/05/2008****REEL: 022043 FRAME: 0288**