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RECOF

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U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the execution of this document at the address(es) below.

1. Name of conveying party(ies):
Mark Jamison and Mark Riepe

2. Name and address of receiving party(ies):
Name: Charles Schwab & Co., Inc.
Internal Address:
Street Address: 101 Montgomery Street
City: San Francisco
State: CA
Country: US Zip: 94104

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):
Execution Date(s) Mark Jamison: December 18, 2008
Mark Riepe: December 18, 2008
☒ Assignment
☐ Security Agreement
☐ Joint Research Agreement
☐ Government Interest Assignment ☐ Merger
☐ Executive Order 9424, Confirmatory License ☐ Change of Name
☐ Other

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s) ☐ This document is being filed together with a new application.
A. Patent Application No.(s)
12/313,191
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:
Name: Innovation Partners
Internal Address: 540 University Avenue
Suite 300
Street Address: 540 University Avenue
Suite 300
City: Palo Alto
State: CA Zip: 94301
Phone Number: 650-328-0100
Fax Number: 650-328-0199
Email Address: ceg@i-plaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40
☒ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information
a. Credit Card Last 4 Numbers 8657
Expiration Date 733407
b. Deposit Account Number 07-1738
Authorized User Name Charles E. Gottlieb

9. Signature: Charles E. Gottlieb 12/22/08
Signature Date
Charles E. Gottlieb
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

Docket 1645

12/30/2008 SSESHE1 00000052 12313191

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PATENT
REEL: 022059 FRAME: 0469

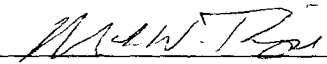
ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we, Mark Jamison and Mark Riepe do hereby sell, assign and transfer unto Charles Schwab & Co., Inc., a Delaware corporation having its principal place of business at 101 Montgomery Street, San Francisco, CA 94104 (the "Assignee"), and its successors and assigns, the entire title, interest and right, including the right of priority, in, to and under an application for Letters Patent of the United States, attorney docket number 1645, entitled, "System and Method for Assisting Individuals In Assessing and Improving Their Behavior Regarding Financial and Other-Than-Financial Planning Based On Their Personal Circumstances and Assisting with Implementing such Plans" filed by us with the United States Patent and Trademark Office on 11/17/2008, U.S. Serial Number 12/313,191 and the inventions and any of them therein set forth and described, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor or corresponding thereto;

and for the above consideration, upon request of the Assignee, its successors or assigns, we will promptly communicate any facts known to us respecting said application and the invention set forth therein, and will promptly execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to the Assignee, its heirs, successors or assigns, the inventions and any of them described in said application and all patent rights therein, in the United States and in any country foreign thereto, and will promptly cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication and re-examination of said Letters Patent, provided the expenses which may be This Assignment may be executed in one or more counterparts, each of which will be deemed an original and all of which taken together shall constitute one assignment.

IN WITNESS WHEREOF, we hereunto set our hand and seal on the date set forth below.

Dated: 12/18/2008 
Mark Jamison

Dated: December 18, 2008 
Mark Riepe