

01-08-2009

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



ER SHEET

LY Attorney Docket No. 2459-1-003CIP

To the Director of the U.

103542790

a attached documents or the new address(es) below.

1. Name of conveying party(ies)

The Regents of the University of California

2. Name and address of receiving party(ies)

Name: J. David Gladstone Institutes

Internal Address:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 7/17/2008

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

Street Address: 43 Corporate Park

City: Irvine

State: California

Country: USA Zip: 92606

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)
09/784,553

☐ This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name:
Internal Address: Klauber & Jackson, LLC
Street Address: 411 Hackensack Avenue, 4th Floor
City: Hackensack
State: New Jersey Zip: 07601
Phone Number: (201) 487-5800
Fax Number: (201) 343-1684
Email Address:

6. Total number of applications and patents involved:

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00 PA.

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
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☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers
Expiration Date
b. Deposit Account Number 11-1153
Authorized User Name

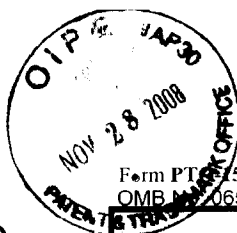
9. Signature:

J. David Smith
Signature
J. David Smith, Registration No. 39,839
Name of Person Signing

January 2, 2009
Date

Total number of pages including cover sheet, attachments, and documents: 4

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Form PT-7595 (Rev. 06/04)
OMB No. 0651-0027 (exp. 6/30/2005)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

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PATENTS ONLY Atty Docket No.: 2459-1-003 CIP

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The Regents of the University of California

respectively

Execution Date(s) 7/1/2008, 7/17/2008Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance:**

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☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)Name: J. David Gladstone Institutes

Internal Address: _____

Street Address: 43 Corporate Park

Suite 102

City: IrvineState: CaliforniaCountry: United States Zip: 92606Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**☐ This document is being filed together with a new application.

A. Patent Application No.(s)

09/784,553

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No**5. Name and address to whom correspondence concerning document should be mailed:**

Name: _____

Internal Address: _____

Street Address: Klauber & Jackson LLC411 Hackensack Avenue, 4th FloorCity: HackensackState: New Jersey Zip: 07601Phone Number: 201-487-5800Fax Number: 201-343-1684Email Address: Info@KJIPLAW.COM**6. Total number of applications and patents involved:**1**7. Total fee (37 CFR 1.21(h) & 3.41) \$** _____

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☒ None required (government interest not affecting title)

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Expiration Date _____b. Deposit Account Number 11-1153

Authorized User Name _____

9. Signature:J. David Smith

Signature

J. David Smith Reg. No. 39,839

Name of Person Signing

November 25, 2008

Date

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11-17-2008



103535144
PATENTS ONLY
Serial Docket No.: 2459-1-003 CIP

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The Regents of the University of California

respectively

Execution Date(s) _____

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☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

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Name: J. David Gladstone Institutes

Internal Address: _____

Street Address: 43 Corporate Park

Suite 102

City: Irvine

State: California

Country: United States Zip: 92606

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Fax Number: 201-343-1684

Email Address: Info@KJIPLAW.COM

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 11-1153

11/14/2008 MJAMA1 00000058 09784553

Authorized User Name _____

9. Signature:

J. David Smith
Signature
J. David Smith Reg. No. 39,839

November 11, 2008

Date

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

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Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT OF UNITED STATES PATENT APPLICATIONS

Parties:

1 **'The Assignor':** **THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**
of 1111 Franklin St. 12th Floor, Oakland, CA 94607-5200, United States of America

2 **'The Assignee':**

THE J. DAVID GLADSTONE INSTITUTES

(a non-profit organization incorporated in the State of California, USA)

of 43 Corporate Park, Suite 102, Irvine, California 92606, United States of America

Recitals:

(A) The Assignor is the applicant of the United States Patent Applications set out in the Schedule hereto ("the Applications")

(B) By Agreements dated 12 February 2001 and 27 March 2007 between The Regents of the University of California and the J. David Gladstone Institute, the Assignor agrees to assign its undivided interest in and to the Application to the Assignee.

Operative provisions:

1 In pursuance of the said agreements and for good and valuable consideration by the Assignee to the Assignor (receipt of which is hereby acknowledged by the Assignor) the Assignor HEREBY ASSIGNS to the Assignee and the Assignee accept all its right title and interest in and to the Applications to the Assignee absolutely together with all benefits and advantages thereunto belonging or accrued with the intent that any patent or patents granted thereon or on a divisional or divisionals thereof shall be granted in the names of and shall vest in the Assignee.

2 The Assignor covenants that at the request and cost of the Assignee it will at all times hereafter do all such acts and execute all such documents as may reasonably be necessary or desirable both to secure the vesting in the Assignee of all rights assigned to the Assignee hereunder and to assist in the resolution of any question concerning the Application.

IN WITNESS whereof the parties hereto have caused this Assignment to be executed in two (2) counterpart originals

SCHEDULE

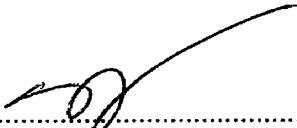
<u>US Patent Application No.</u>	<u>Date Filed</u>	<u>Title</u>
09/784,553	16 February 2001	Methods of identifying modulators of bromodomains

10/209,201

31 July 2002

Methods of identifying modulators of bromodomains

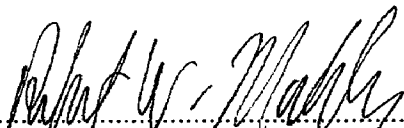
Signed for and on behalf of
**THE REGENTS OF THE
UNIVERSITY OF CALIFORNIA**
on July 17, 2008

)
)
).....

Name: John Shih

Capacity: Interim Manager, Patent Prosecution
Office of Technology Transfer

Signed for and on behalf of
THE J. DAVID GLADSTONE INSTITUTES
on July 1, 2008

)
).....

) Name:

Position: