

FORM PTO-1595 (Substitute)



U.S. DEPARTMENT OF COMMERCE

(Rev. 8-96)

Patent and Trademark Office

To the Honorable Commissioner of Patents

103543228

original documents or copy thereof.

1. Name of conveying party(ies):

Jan Grimm; Roger Nitsch; Marlen Knobloch;
Uwe Konietzko; Markus Rudin; Thomas Muggler;
and Felicitas Kranz

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Assignment☐ Change of Name☐ Other

Effective

Date(s):

October 31, 2008; December 5,
2008; November 10, 2008;
November 5, 2008; November 10,
2008; November 10, 2008; and
November 10, 2008

2. Name and address of receiving party(ies):

Name: The University of Zurich

Internal Address:

Street Address: Prorektorat Forschung

City/State/Zip:

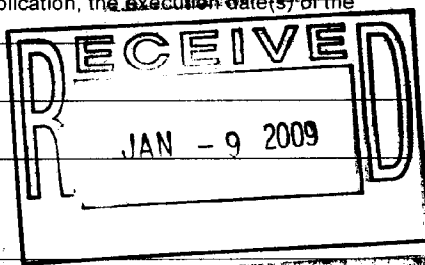
Rämistrasse 71, 8006 Zurich,
SwitzerlandAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is (are):

A. Patent Application No.(s) 12/157,664

filed June 11, 2008

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John P. White

Internal Address:

Street Address: Cooper & Dunham LLP

30 Rockefeller Plaza

City/State/Zip: New York, New York 10112

6. Total number of applications and patents involved: One (1)

7. Total fee (37 CFR § 5.41) \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

03-3125

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John P. White

Name of Person Signing

Reg. No. 28,678

Signature

January 5, 2009

Date

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:

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Total Number of pages including cover sheet, attachments and document: Five (5)

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Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned,

Jan Grimm, residing at Bürglistrasse 16, 8600 Dübendorf, Switzerland;
Roger Nitsch, residing at Langwisstrasse 27, 8126 Zumikon, Switzerland;
Marlen Knobloch, residing at Kyburgstrasse 26, 8073 Zurich, Switzerland;
Uwe Konietzko, residing at Huetlinstrasse 31, 78462 Konstanz, Germany;
Markus Rudin, residing at Tössriederenstrasse 31c, 8193 Eglisau, Switzerland;
Thomas Müggler, St. Alban Rheinweg 86, 4052 Basel, Switzerland; and
Felicita Kranz, residing at Bucheggstrasse 136, 8057 Zurich, Switzerland

Hereby sell, assign and transfer to The University of Zurich, a corporation of the State of Switzerland, having a place of business at Prorektorat Forschung, Rämistrasse 71, 8006 Zurich, Switzerland and its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned on October 31, 2008; December 5, 2008; November 10, 2008; October 31, 2008; November 10, 2008; and is entitled November 10, 2008 and November 10, 2008.

**TRANSGENIC ANIMAL MODEL FOR ALZHEIMER'S DISEASE
(U.S. SERIAL NO. 12/157,664, FILED ON JUNE 11, 2008, CLAIMING THE BENEFIT OF U.S. PROVISIONAL APPLICATION NO. 60/934,291, FILED JUNE 11, 2007**

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;

Authorize and request the Commissioner for Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

JPW Rev. April 19, 2007

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 10/31/98
 Witness: [signature] (signature)
ANDREAS WEIHOFEN (printed name)
ALBISRIEDERSTASSE 252 (address)
8047 ZÜRICH - SWITZERLAND

[signature] (LS)
 Jan Grimm

Date: 25.12.2008
 Witness: [Signature] (signature)
Jan Grimm (printed name)
Bühlstr. 16 (address)
CH-8600 Dübendorf

[Signature] (LS)
 Roger Nitsch

Date: 10.11.08
 Witness: Kranz Felicitas (signature)
Kranz F. (printed name)
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CH-8057 Zürich

[Signature] (LS)
 Marlen Knobloch

Date: 05.11.2008
 Witness: [Signature] (signature)
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 Uwe Konietzko

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 Witness: Kranz F. (signature)
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[Signature] (LS)
 Markus Rudin

Date: 10.11.08
 Witness: Kranz F. (signature)
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[Signature] (LS)
 Thomas Müggler

Date: 10. 11. 08
Witness: H. Knobloch (signature)
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Felicitas F. [LS]
Felicitas Kranz