### Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: **NEW ASSIGNMENT** NATURE OF CONVEYANCE: **ASSIGNMENT** 

#### **CONVEYING PARTY DATA**

Name	Execution Date
Dallas James	06/09/2006

#### **RECEIVING PARTY DATA**

Name:	Armorflex Limited
Street Address:	8 Paul Mathews Drive
City:	Albany, Auckland
State/Country:	NEW ZEALAND

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12299512

#### **CORRESPONDENCE DATA**

Fax Number: (312)360-9315

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: (312) 360-0080 Email: docket@gbclaw.net Correspondent Name: Lawrence J. Crain Address Line 1: 300 S. Wacker Drive

Suite 2500 Address Line 2:

Address Line 4: Chicago, ILLINOIS 60606

1209.82395 ATTORNEY DOCKET NUMBER:

NAME OF SUBMITTER: Lawrence J. Crain

Total Attachments: 9

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> PATENT REEL: 022107 FRAME: 0168

**BETWEEN:** 

**DALLAS JAMES** 

AND:

**ARMORFLEX LIMITED** 

#### **DESCRIPTION:**

By this deed DALLAS JAMES assigns
ARMORFLEX LIMITED his rights in an
invention relating to a BARRIER POST
and IMPROVEMENTS IN AND
RELATING TO CABLE-BARRIERS

James & Wells

Level 11, PricewaterhouseCoopers Centre 119 Armagh Street P O Box 2201 CHRISTCHURCH

J. P. ROGERS
BARRISTER AND SOLICITOR OF THE
HIGH COURT OF NEW ZEALAND
CHRISTCHURCH

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PATENT REEL: 022107 FRAME: 0169

BETWEEN Dallas JAMES a Ne

Dallas JAMES, a New Zealand citizen of & Paul Mathews Drive, Ba.

Albany, Auckland

("Assignor")

**AND** 

Armorflex Limited, a New Zealand company of 8 Paul Mathews

Drive, Albany, Auckland

("Assignee")

ON THE BASIS THAT-

1.0 DEFINED TERMS

1.1. Copyright shall mean the property rights which exist in any Copyright Work.

1.2. Copyright Works shall mean:

**1.2-1.** a work of any of the types described in Section 14 of the New Zealand Copyright Act 1994; and/or

1.2-2. a work in which copyright exists; and

which relates to the Invention.

- 1.3. Design Rights shall mean the right to apply for a registered design relating to the Invention or equivalent protection in any country of the world and to claim priority under international convention from any such applications and the rights conferred by such registered designs or equivalent protection when granted.
- 1.4. Intellectual Property Rights shall mean all intellectual property rights whatsoever relating to the Invention including without limitation the Patent, the Patent Rights, the Design Rights, the Copyright and the Technical Information created by the Assignor.

1.5. Invention shall mean the invention the subject of the Patent.

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PATENT to

1.6. Patent shall mean the patent application and/or letters patent set out in the Schedule and any patent application or letters patent claiming priority from same, and any letters patent granted upon any of the foregoing patent applications.

#### 1.7. Patent Rights shall mean:

- 1.7-1. the right to apply for any patent relating to the *Invention* or equivalent protection in any country of the world and to claim priority under any international convention from any such application(s) and the rights conferred by such patents or equivalent protection when granted; and
- 1.7-2. the rights conferred by the *Patent* including the right to claim priority under any international convention and the right conferred by such *Patent* now and/or when granted.
- 1.8. Technical Information shall mean all inventions, designs, drawings, tests, reports and procedures, models, manuals, formulae, tables of operating conditions and the like relating to the *Invention* and all other knowledge, know-how and show-how relating to the foregoing, whether or not capable of being protected by patent or otherwise.

#### 2.0 BACKGROUND

- 2.1. The Assignor owns the Invention and Intellectual Property Rights.
- 2.2. The Assignor acknowledges that he was employed by the Assignee at the time the *Invention* was devised.
- 2.3. The Assignor acknowledges that the Assignee is or should be the owner of the *Invention* and the *Intellectual Property Rights*, and hereby agrees to assign same to the Assignee on the terms described below.

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#### BY THIS DEED THE PARTIES AGREE -

#### 3.0 THE ASSIGNMENT

- 3.1. The Assignor hereby assigns all his right, title and interest in and to the Invention and Intellectual Property Rights to the Assignee.
- 3.2. The assignment will take effect on the date this Deed is fully executed by the Assignor or on the date the first patent application relating to the *Invention* is filed in the name of one or more of the parties, whichever is the earlier lawful date.

#### 4.0 CONSIDERATION

- 4.1. In consideration for the assignment detailed in clause 3.1, the Assignee will pay to the Assignor upon execution of this Agreement the sum of one New Zealand dollar (NZ\$1.00), the receipt and sufficiency of which is acknowledged by the Assignor.
- **4.2.** Any and all rights of the **Assignor** with respect to the *Invention* and *Intellectual Property Rights* will pass to the **Assignee** upon execution of this Agreement.

#### 5.0 ASSIGNOR'S OBLIGATIONS

- 5.1. The Assignor undertakes to (at the Assignee's cost) execute any documents and authorisations, and depose to or swear any declarations or oaths as may be requested by the Assignee for vesting absolutely all his right, title and interest to the Invention and Intellectual Property Rights in favour of the Assignee, and for conferring on the Assignee the right to take action against any third party who copies the Invention or infringes the Intellectual Property Rights.
- 5.2. The Assignor shall forthwith disclose to the Assignee all improvements in, modifications of or additions to the *Invention* devised or created by the Assignor while in the employ of the Assignee and/or under a commission for money or money's worth from the Assignee, and the intellectual property in all such improvements, modifications or additions will be owned by the Assignee.

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- 5.2-1. The Assignor shall assign to the Assignee upon request all intellectual property relating to all improvements in, modifications of or additions to the *Invention* devised or otherwise created while in the employ and/or under a commission for money or money's worth from the Assignee.
- 5.3. The Assignor hereby waives all his moral rights in relation to the Copyright.
- 5.4. At the request of the Assignee, the Assignor shall at Assignee's expense execute all documents and do all acts necessary or convenient to enable Assignee to:
  - 5.4-1. make, prosecute or register in Assignee's name an application for a patent, registered design, registered trade mark, plant variety rights or other intellectual property protection in respect of any of the *Intellectual Property Rights*;
  - 5.4-2. defend opposition proceedings in respect of any of the Intellectual Property Rights against a third party or conduct opposition proceedings against a third party in respect of any application for intellectual property protection that may adversely affect Assignee's ability to exploit the Intellectual Property Rights;
  - 5.4-3. defend proceedings in any court, tribunal or other forum which relate to the validity of any of protection obtained in respect of the *Intellectual Property Rights*;
  - **5.4-4.** enforce the *Intellectual Property Rights* including obtaining all such remedies as may be available for infringement of the *Intellectual Property Rights*.
- 5.5. The Assignor shall, at the request of the Assignee, and to the extent outstanding, furnish the Assignee with full details of and relating to the Invention, and the Intellectual Property Rights (including the circumstances of invention, creation and/or design of same) and where possible all original versions of the Invention and the Copyright Works.

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5.6. The Assignor agrees to treat as confidential all information relating to the Invention and/or the Intellectual Property Rights and shall not use, disclose or publish same without the express prior written consent of the Assignee. Such obligations shall not extend to information which already is in or which enters the public domain through no fault of the Assignor. The Assignor agrees to seek prior clearance from the Assignee in any case of uncertainty.

#### 6.0 ASSIGNOR'S WARRANTIES

#### 6.1. The Assignor warrants:

- **6.1-1.** The **Assignor** has absolute title to the *Invention* and *Intellectual Property Rights*;
- 6.1-2. There are no encumbrances or other matters affecting the Assignor's capacity to assign the *Invention* and/or the *Intellectual Property Rights* to the Assignee free of any encumbrances or interests whatsoever; and
- **6.1-3.** Any Copyright Works are the **Assignor's** original works and are not copied in whole or in part from any other work.

#### 7.0 GOVERNING LAW

7.1. This Deed and any disputes relating to it shall be governed by and construed in all respects in accordance with the laws of New Zealand.

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7.2. Each party to this Deed submits to the exclusive jurisdiction of the courts of New

Zealand. 9th day of June Signed as a Deed this **DALLAS JAMES** 

Witnessed by:

Name

\*\*LReader\*\*

Signature

Occupation Administrator

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Initialed by: \_\_\_\_\_\_\_

Signed as a Deed this  ARMORFLEX LIMITED by its du	day of lly authorised officers*	2006 for and on behalf of
Greg Piddle Name)	Name	
Signature Director. Position	SignaturePosition	· · · · · · · · · · · · · · · · · · ·
Name  Signature  Occupation	Reader Reader  lie Administrator  bany Anckland	
* This Deed must be signed by:		

- b)
- c)

Two or more directors of the company; or
A single director of the company and a witness; or
(If the company's constitution allows it), any other person and a witness; or
One or more persons with a power of attorney to act on the company's behalf.

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initialed by: \_

#### **SCHEDULE**

Patent (clause 1.6):

Title	Country	Application Number	Filing Date
Barrier Post	New Zealand	546969	4 May 2006
Improvements In and Relating to Cable-Barriers	New Zealand	546970	4 May 2006

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**RECORDED: 01/14/2009**