### PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

### **CONVEYING PARTY DATA**

| Name                  | Execution Date |
|-----------------------|----------------|
| Polymerix Corporation | 01/01/2008     |

### **RECEIVING PARTY DATA**

| Name:             | Rutgers, The State University of New Jersey |  |
|-------------------|---|--|
| Street Address:   | Old Queens Building                         |  |
| Internal Address: | Somerset and George Streets                 |  |
| City:             | New Brunswick                               |  |
| State/Country:    | NEW JERSEY                                  |  |
| Postal Code:      | 08903                                       |  |

### PROPERTY NUMBERS Total: 1

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 10861881 |

### **CORRESPONDENCE DATA**

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Address Line 4: St. Paul, MINNESOTA 55111-1098

ATTORNEY DOCKET NUMBER: 01435.064US1

NAME OF SUBMITTER: Tanya K. Josephs

Total Attachments: 14

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PATENT **REEL: 022131 FRAME: 0781** 

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PATENT REEL: 022131 FRAME: 0782

### Rutgers, the State University of New Jersey

and

**Polymerix Corporation** 

### SETTLEMENT AND COOPERATION AGREEMENT

Effective as of January 1, 2008

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REEL: 022131 FRAME: 0783

### SETTLEMENT AGREEMENT

### Redacted

### 1. <u>DEFINITIONS</u>

1.1 "Assigned Property" means (a) all Included Intellectual Property of Polymerix (as defined in Section 1.3(i) and (ii)), (b)

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REEL: 022131 FRAME: 0784

### Redacted

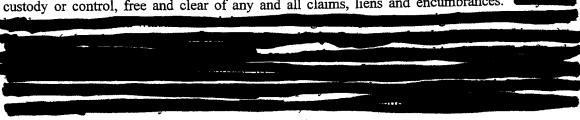
1.3 "Included Intellectual Property" means (i) all Intellectual Property Rights owned or controlled by Polymerix prior to the Effective Date (including, without limitation, the patents and patent applications listed on Schedule 1.3A attached hereto);

Redacted

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### Redacted

3.1 <u>Assignment of Rights</u>. Polymerix hereby assigns (and agrees to assign in the future as applicable) all right, title and interest in and to all Assigned Property in its possession, custody or control, free and clear of any and all claims, liens and encumbrances.



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# **Polymerix Patents and Patent Applications**

(Case numbers refer to files of IP counsel Connolly Bove Lodge & Hutz, Wilimington, DE)

**Master List** 

1 of 9

Tuesday, April 29, 2008

Case Number: 13640-00002

Title: THERAPEUTIC POLYESTERS AND POLYAMIDES

Client: POLYMERIX CORPORATION

Disclosure Status: Filed Disclosure Date:

Attorney(s): CMH

PSR

United States of America App. Client Ref.:

Owner: POLYMERIX CORPORATION

Country

Sub Case Case Type ORU D

Status Pending

Application Number

Filing Date

06-Feb-2003

**Patent Number** 

**Issue Date** 

**Expiration Date** 

**Priorities** 

United States of America United States of America Country

PRO PRO

60/354310

60/405208

Case Type Application #

07-Feb-2002 Filing Date

22-Aug-2002

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Page: 1

Resp. Office: DE

# **Polymerix Patents and Patent Applications**

(Case numbers refer to files of IP counsel Connolly Bove Lodge & Hutz, Wilimington, DE)

2 of 9

Tuesday, April 29, 2008

**Master List** 

Case Number: 13640-00003

Title: THERAPEUTIC POLYANHYDRIDE COMPOUNDS FOR DRUG DELIVERY WITH IMPROVED PHYSICAL PROPERTIES

Client: POLYMERIX CORPORATION

Disclosure Status: Filed

Disclosure Date:

Attorney(s): CMH

PSR

App. Client Ref .: App. Title: THERAPEUTIC POLYANHYDRIDE COMPOUNDS FOR DRUG DELIVERY WITH IMPROVED PHYSICAL PROPERTIES

Owner: POLYMERIX CORPORATION

United States of America

Country

Sub Case Case Type PCT Pending

Status

**Application Number** 

10/504121

Filing Date

06-Feb-2003

Patent Number

**Issue Date** 

**Expiration Date** 

Priorities

United States of America United States of America Country

> Case Type Application # PRO 60/354311

60/405209

07-Feb-2002 22-Aug-2002

**Filing Date** 

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Page: 2

Resp. Office: DE

# **Polymerix Patents and Patent Applications**

(Case numbers refer to files of IP counsel Connolly Bove Lodge & Hutz, Wilimington, DE)

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Page: 3

Tuesday, April 29, 2008

**Master List** 

Case Number: 13640-00020

Title: MEDICAL DEVICES EMPLOYING NOVEL POLYMERS

Resp. Office: DE

Client: POLYMERIX CORPORATION

Disclosure Status: Filed

Disclosure Date:

Attorney(s): CMH

PSR

Sub Case Case Type Status

ORD

Pending

United States of America

App. Client Ref.:

App. Title: MEDICAL DEVICES EMPLOYING NOVEL POLYMERS

Owner: POLYMERIX CORPORATION

Country

**Application Number** 

Filing Date

18-Nov-2003

Patent Number

Issue Date

**Expiration Date** 

**Priorities** 

United States of America Country

PRO 60/427476

Case Type Application #

**Filing Date** 

18-Nov-2002

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# **Polymerix Patents and Patent Applications**

(Case numbers refer to files of IP counsel Connolly Bove Lodge & Hutz, Wilimington, DE)

**Master List** 

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Resp. Office: DE

Tuesday, April 29, 2008

Case Number: 13640-00023

Title: MEDICAL DEVICES EMPLOYING NOVEL POLYMERS

Client: POLYMERIX CORPORATION

Disclosure Status: Filed

Disclosure Date:

Attorney(s): CMH

PSR

App. Client Ref.:

Sub Case Case Type

PCT

Pending Status

**Application Number** 

2506319

18-Nov-2003 Filing Date

Patent Number

**Issue Date** 

**Expiration Date** 

Canada Country

App. Title: MEDICAL DEVICES EMPLOYING NOVEL POLYMERS

Owner: POLYMERIX CORPORATION

**Priorities** 

Country

Case Type Application #

PCT/US2003/036925

ORD

United States of America Patent Cooperation Treaty

60/427476

18-Nov-2003 18-Nov-2002

**Filing Date** 

# **Polymerix Patents and Patent Applications**

(Case numbers refer to files of IP counsel Connolly Bove Lodge & Hutz, Wilimington, DE)

**Master List** 

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Page: 5

Tuesday, April 29, 2008

Case Number: 13640-00025

Title: MEDICAL DEVICES EMPLOYING NOVEL POLYMERS

Client: POLYMERIX CORPORATION

Disclosure Status: Filed Disclosure Date:

Attorney(s): CMH

PSR

Country.

Sub Case Case Type PCT

Status Published

Application Number

03786836.1

Filing Date 14-Jun-2005

**Patent Number** 

**Issue Date** 

**Expiration Date** 

**European Patent Convention** App. Client Ref.:

App. Title: MEDICAL DEVICES EMPLOYING NOVEL POLYMERS Owner: POLYMERIX CORPORATION

Priorities

United States of America Patent Cooperation Treaty Country

ORU ORU

Case Type Application #

**Filing Date** 

60/427476

PCT/US2003/036925

18-Nov-2003 18-Nov-2002

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Resp. Office: DE

# **Polymerix Patents and Patent Applications**

(Case numbers refer to files of IP counsel Connolly Bove Lodge & Hutz, Wilimington, DE)

**Master List** 

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Page: 6

Tuesday, April 29, 2008

Case Number: 13640-00027

Title: MEDICAL DEVICES EMPLOYING NOVEL POLYMERS

Client: POLYMERIX CORPORATION

Disclosure Status: Filed Disclosure Date:

Attorney(s): CMH

PSR

Country

App. Client Ref.:

United States of America Patent Cooperation Treaty Country **Priorities** 

Case Type Application #

ORD PRO

PCT/US2003/036925

18-Nov-2003 18-Nov-2002

Filing Date

60/427476

Sub Case Case Type

PCT

App. Title: MEDICAL DEVICES EMPLOYING NOVEL POLYMERS

Owner: POLYMERIX CORPORATION

Status Published

2004/553918

Application Number

Filing Date

18-Nov-2003

**Patent Number** 

**Issue Date** 

**Expiration Date** 

Resp. Office: DE

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# **Polymerix Patents and Patent Applications**

(Case numbers refer to files of IP counsel Connolly Bove Lodge & Hutz, Wilimington, DE)

**Master List** 

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Page: 7

Resp. Office: DE

Tuesday, April 29, 2008

Case Number: 13640-00028

Title: MEDICAL DEVICES EMPLOYING NOVEL POLYMERS

Client: POLYMERIX CORPORATION

Disclosure Status: Filed Disclosure Date:

Attorney(s): CMH

PSR

Korea, Republic of

App. Client Ref.:

Country

Sub Case Case Type PCT

Pending Status

**Application Number** 

7008964/2005

Filing Date

18-May-2005

Patent Number

**Issue Date** 

**Expiration Date** 

App. Title: MEDICAL DEVICES EMPLOYING NOVEL POLYMERS Owner: POLYMERIX CORPORATION

**Priorities** 

Patent Cooperation Treaty Country

United States of America

ORU

Case Type Application # PCT/US2003/036925

60/427476

18-Nov-2003 18-Nov-2002

**Filing Date** 

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# **Polymerix Patents and Patent Applications**

(Case numbers refer to files of IP counsel Connolly Bove Lodge & Hutz, Wilimington, DE)

Tuesday, April 29, 2008

**Master List** 

Case Number: 13640-00030

Title: THERAPEUTIC COMPOSITIONS

Disclosure Status: Filed Client: POLYMERIX CORPORATION

Disclosure Date:

Attorney(s): CMH

PSR

United States of America

App. Client Ref.:

App. Title: THERAPEUTIC COMPOSITIONS Owner: POLYMERIX CORPORATION

Country

Case Type Application #

**Priorities** 

United States of America Patent Cooperation Treaty

PRO ORD

60/421888

28-Oct-2002 28-Oct-2003 **Filing Date** 

PCT/US2003/034183

Sub Case Case Type PCT

Status Published

Application Number

Filing Date

19-Jan-2007

**Patent Number** 

**Issue Date** 

**Expiration Date** 

Resp. Office: DE

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Page: 8

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## **Polymerix Patents and Patent Applications**

(Case numbers refer to files of IP counsel Connolly Bove Lodge & Hutz, Wilimington, DE)

**Master List** 

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Page: 9

Resp. Office: DE

Case Number: 13640-00040

Tuesday, April 29, 2008

Title: HIGH MOLECULAR WEIGHT POLYMERS, DEVICES AND METHOD FOR MAKING AND USING SAME

Client: POLYMERIX CORPORATION

Disclosure Status: Filed

Disclosure Date:

Attorney(s): PSR

Canada

Country

Sub Case Case Type PCT Status Pending

Application Number

Filing Date 28-Nov-2005

Patent Number

**Issue Date** 

Expiration Date

App. Client Ref.:

App. Title: HIGH MOLECULAR WEIGHT POLYMERS, DEVICES AND METHOD FOR MAKING AND USING SAME

**European Patent Convention** Owner: POLYMERIX CORPORATION

Published

04816742.3

05-Dec-2005

App. Client Ref.: App. Title: HIGH MOLECULAR WEIGHT POLYMERS, DEVICES AND METHOD FOR MAKING AND USING SAME

Owner: POLYMERIX CORPORATION

PCT

Pending

5611/DELNP/2005

02-Dec-2005

App. Client Ref.:

App. Title: HIGH MOLECULAR WEIGHT POLYMERS, DEVICES AND METHOD FOR MAKING AND USING SAME

Pending

10/861881

04-Jun-2004

Owner: POLYMERIX CORPORATION

United States of America App. Client Ref.:

App. Title: HIGH MOLECULAR WEIGHT POLYMERS, DEVICES AND METHOD FOR MAKING AND USING SAME

Owner: POLYMERIX CORPORATION

**Priorities** 

United States of America Country

PRO

Case Type Application # 60/475766

Filing Date

04-Jun-2003

Amendment and Modification. This Agreement may only be amended or modified in writing signed by the party against whom enforcement of such amendment or modification is sought.

Waiver. Any of the terms or conditions of this Agreement may be waived at any time by the party or parties entitled to the benefit thereof, but only by a writing signed by the party or parties waiving such terms or conditions.

Severability. The invalidity of any portion hereof shall not affect the validity. force or effect of the remaining portions hereof. If it is ever held that any restriction hereunder is too broad to permit enforcement of such restriction to its fullest extent, such restriction shall be enforced to the maximum extent permitted by law.

No Strict Construction. The parties acknowledge that this Agreement has been prepared jointly by the parties hereto, and shall not be strictly construed against any party.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be duly executed as of the day and year first above written,

Polymerix Corporation

Peter Walmsley Title:

Chairman

Redacted

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