

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp 5/31/2002)
P08A/REV04

01-26-2009

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



103544906

Tab settings → → → ▼ ▼

To the Director of the United States Patent and Trademark Office

Send documents or the new address(es) below.

1. Name of conveying party(ies):

- 1) Takeshi AZAMI 5) Masako YUDASAKA
2) Daisuke KASUYA 6) Sumio IJIMA
3) Tsutomu YOSHITAKE 7) Eiji FUCHITA
4) Yoshimi KUBO

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): January 9, 2009

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies):

Name: NEC CORPORATION

Address: 7-1, Shiba 5-chome, Minato-ku,

City: Tokyo

State/Prov.: _____

Country: Japan ZIP: _____

Additional name(s) & address(es) ☒ Yes ☐ No

4. Application or patent numbers(s):

A. Patent Application No. (s)
12/226,570

- ☐ This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Sean M. McGinn, Esq.

Registration No.: 34,386

Address: McGinn Intellectual Property Law Group, PLLC
8321 Old Courthouse Road

Suite 200

City: Vienna

State/Prov.: Virginia

Country: U.S.A. ZIP: 22182-3817

Phone Number: 703-761-4100

Fax Number: 703-761-2375

Email Address: admin@mcginniplaw.com

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

- a. Credit Card Last 4 Numbers _____
Expiration Date _____
b. Deposit Account Number 50-0481 (Deficiencies Only)
Authorized User Name Sean M. McGinn

9. Signature:

Signature

Sean M. McGinn, Esq.

Name of Person Signing

01/23/2009 NJAMA1 00000015 12226570
01 FC:0021 January 14, 2009
Date 40.00 DP

Total number of pages including cover sheet, attachments, and document:

Documents to be recorded (including cover sheet) should be faxed to (571)273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

RECORDATION FORM COVER SHEET**PATENTS ONLY**

2. Name and address of receiving party(ies):

Name: FUCHITA NANOTECHNOLOGY LTD.

Address: 2-25-57, Tamatsukuri,

City: Narita-shi State/Prov.: Chiba

Country: Japan ZIP: _____

ASSIGNMENT OF APPLICATION FOR PATENT**WHEREAS**

Takeshi AZAMI, Daisuke KASUYA, Tsutomu YOSHITAKE, Yoshimi KUBO,
Masako YUDASAKA, and Sumio IJIMA

NAME AND ADDRESS
OF ASSIGNOR

c/o NEC Corporation, 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan, and
Eiji FUCHITA

c/o Fuchita Nanotechnology Ltd., 2-25-57, Tamatsukuri, Narita-shi, Chiba, Japan
(HEREINAFTER REFERRED TO AS ASSIGNOR), have invented
and own a certain new and useful invention entitled:

TITLE OF INVENTION:

APPARATUS AND METHOD FOR MANUFACTURING CARBON
NANOHORNS

WHEREAS

FULL NAME AND ADDRESS
OF ASSIGNEE

NEC Corporation

7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan, and

Fuchita Nanotechnology Ltd.

2-25-57, Tamatsukuri, Narita-shi, Chiba, Japan

(hereinafter referred to as ASSIGNEE), is desirous of acquiring
the entire right, title, and interest in, to and under said
invention and the United States Letters Patent to be obtained
therefor:

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be
it known that in consideration of the payment of ASSIGNEE to
ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of
which is hereby acknowledged, and for other good and
valuable consideration, ASSIGNOR, by these presents, hereby
sells, assigns and transfers to ASSIGNEE the entire and
exclusive right, title and interest to said invention and all
Letters Patent of the United States to be obtained therefor on
said application or any continuation, division, renewal,
substitute or reissue thereof for the full term or terms for
which the same may be granted.

ASSIGNOR hereby authorizes and requests the Commissioner
of Patents and Trademarks to issue said Letters Patent of the
United States Patent obtained therefor on said application or any
(Assignment of Application for Patent -- page 1 of 2)

continuation, division, renewal, substitute or reissue thereof for the full term or terms to said ASSIGNEE, of the entire right, title, and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term or terms for which said Letters Patent obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for may be granted, as full and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.


ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.


DATE OF SIGNING

IN WITNESS WHEREOF, I/We have hereunto set
hand and seal this 9 day of January, 2009.


SIGNATURE

By: Takeshi Azami 
Takeshi AZAMI


SIGNATURE

By: Kazuyuki Kasuya 
Kazuyuki KASUYA


SIGNATURE

By: Tsutomu Yoshitake 
Tsutomu YOSHITAKE

SIGNATURE

By: Yoshimi Kubo 
Yoshimi KUBO


SIGNATURE

By: Masako Yudasaka 
Masako YUDASAKA

SIGNATURE

By: Junji Iijima 
Junji IIJIMA

SIGNATURE

By: Eiji Fuchita 
Eiji FUCHITA

WITNESSES

Mitsuo Kamei

Kazuko Fuchita

(Assignment of Application for Patent - page 2 of 2)

PATENT

RECORDED: 01/14/2009

REEL: 022168 FRAME: 0531