(Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)	7-2009	U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office		
Attorney Docket: 033035 M 284 1035	45169			
Name of conveying party(ies):	Name and address of receiving party(ies) Name: SUMITOMO ELECTRIC INDUSTRIES, LTD.			
Masakazu NARITA				
Additional name of conveying party(ies) attached? ☐ Yes ☒ No	Street Address:	5-33, Kitahama 4-chome, Chuo-ku,		
		Osaka-shi, Osaka 541-0041, Japan		
Nature of conveyance:				
☐ Security Agreement ☐ Change of Name				
Other				
Execution Date: October 27, 2008	Additional Name(s) & address(es) attached? ☐ Yes ☒ No			
4. Application number(s) or patent number(s):	This document	is being filed together with a new application		
A. Patent Application No.(s) 12/289,474	B. Patent No.(s)			
Additional numbers atta	ached? 🗌 Yes 🛛	No		
Name and address of party to whom correspondence concerning this document should be mailed:	6. Total number of applications and patents involved: - 1 -			
Name: Michael A. Makuch	7. Total fee (37 CFR 3.41) \$40.00			
Internal Address: SMITH, GAMBRELL & RUSSELL, LLP		ŀ		
	☐ Authorize	ed to be charged to deposit account		
	8. Deposit accour	nt number:		
Street Address: 1130 Connecticut Avenue - Suite 1130	02	-4300		
City: Washington State: DC Zip: 20036	(Attach duplicate copy of this page if paying by deposit account)			
	THIS SPACE			
 Statement and signature. To the best of my knowledge and belief, the foregoing inform of the original document. 	nation is true and co	orrect and any attached copy is a true copy		

Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

Signature

Michael A. Makuch

Name of Person Signing

235407

January 22, 2009

Date

- 2-

ASSIGNMENT

Whereas, I/we, Name

Address

c/o Yokohama Works of Sumitomo Electric Industries, Ltd.,

i) Masakazu NARITA

1): Name: 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan

hereinafter called assignor(s), have invented certain improvements in

METHOD FOR FABRICATING OPTICAL SEMICONDUCTOR DEVICE

and executed an application for	or Letters Patent of the	United States of America	a therefor on even	date herewith unless
otherwise indicated below:				

filed on October 28,2008 , Serial No. 12/289474 ; an Whereas

SUMITOMO ELECTRIC INDUSTRIES, LTD.

5-33, Kitahama 4-chome, Chuo-ku, Osaka-shi, Osaka 541-0041 Japan

(assignee), desires to acquire the entire right, title and interest in the application and invention, and to any United States patents to be obtained therefor;

NOW THEREFORE, be it known that, for good and valuable consideration from assignee, the receipt of which is hereby acknowledged, I/WE, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with assignee, its successors and assigns, any facts known to ME/US respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

Masakaga Marita

Masakazu NARITA

DATE SIGNED

27/October/2008.

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. § 261)

Page 1 of 1

PATENT REEL: 022169 FRAME: 0653

RECORDED: 01/22/2009