

Form PTO-1595 (Rev. 03/05)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

HAN-080C

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Robert Sixto, Jr.
Juergen A. Kortenbach
Scott Steinmann

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: DePuy Products, Inc.

Internal Address: _____

Street Address: 700 Orthopaedic Drive

City: Warsaw

State: Indiana

Country: _____ Zip: 46581-0988

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 01/16/09, 01/15/09

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

12/261,456

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Gordon & Jacobson, P.C.

Internal Address: _____

Street Address: 60 Long Ridge Road
Suite 407

City: Stamford

State: CT Zip: 06902

Phone Number: 203 323-1800

Fax Number: 203 323-1803

Email Address: _____

6. Total number of applications and patents involved: one**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)


8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 07-1732

Authorized User Name David S. Jacobson

9. Signature:


Signature

1/27/09
Date

David S. Jacobson
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

700397479

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JAN-16-2009 12:32 From:MARKETING DEPARTMENT 13052696442

To:2033231803

P.19/28

HAN-080C

ASSIGNMENT

WHEREAS, we, Robert Sixto, Jr. and Juergen A. Kortenbach, hereinafter referred to as the "Inventors", whose post office addresses and citizenship are, respectively,

- 1) 8235 SW 99 Street, Miami, FL 33156 (US Citizen)
- 2) 122 Pinecrest Drive, Miami Springs, FL 33166 (CA Citizen)

have invented certain new and useful improvements in

Fracture Fixation Plate for the Coronoid of the Proximal Ulna

as described and set forth in an application for Letters Patent of the United States of America, filed by us on the 30th day of October, 2008, and bearing serial no.: 12/261,456 (Attorney Docket No. HAN-080C);

AND WHEREAS, DePuy Products, Inc., hereinafter referred to as the "said COMPANY", a company existing under the laws of Indiana and having a place of business at 700 Orthopaedic Drive, Warsaw IN, 46581-0988, is desirous of acquiring or confirming its acquisition of the entire right, title and interest in and to said invention, inventions or improvements, and in and to said application, and in and to any and all patents, both of the United States and of all foreign countries, that may be obtained therefor;

NOW, THEREFORE TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that we, the Inventors, for good and valuable considerations, the receipt and sufficiency of which we hereby acknowledge, have sold, assigned, transferred and conveyed, and by this assignment do sell, assign, transfer and convey, unto said COMPANY, its successors and assigns, the entire right, title and interest throughout the world, in and to our invention, inventions or improvements described or set forth in said application, in any form or embodiment thereof, and in and to said application, and in and to any applications filed in any foreign country based thereon, including the right to file foreign applications under the provisions of any convention or treaty; and in and to any confirmation, divisional, continuation, continuation-in-part, or substitute application or any other application which claims the benefit of said application which may be filed on said invention, inventions or improvements in the United States or in any foreign country; and in and to any and all patents, certificates, utility models, reissues, extensions, additions or confirmations thereof which may be granted in the United States or in any foreign country upon said invention, inventions or improvements, to have and to hold the same to the full end of the term or terms for which any and all such United States and foreign patents and grants may be issued on said invention, inventions or improvements.

AND we do hereby authorize and request the issuing authority to issue any and all of said United States and foreign patents on said application or applications to said COMPANY, its successors and assigns, as the assignees of the entire right, title and interest in and to the same, for the sole use and benefit of said COMPANY, its successors and assigns.

AND we do hereby covenant and warrant that we have full right to convey the entire right, title and interest herein assigned free and clear of all licenses, encumbrances and liens whatsoever, and that we have not executed and will not execute any instruments in conflict herewith.

AND we, for the conditions aforesaid, do hereby covenant and agree to and with the said COMPANY, its successors and assigns, that we, our executors, administrators, or other personal representatives, shall and will do all lawful acts and things, make all rightful oaths, and make, execute, and deliver any and all other instruments in writing, and any and all further applications, papers, powers, affidavits, assignments, disclaimers and other documents, which in the opinion of counsel for said COMPANY, its successors and assigns, may be required or necessary in this or in any foreign country more effectually to secure to and vest in said COMPANY, its successors and assigns, the entire right, title

JAN-16-2009 12:32 From:MARKETING DEPARTMENT 13052696442

To:2033231803

P.20/29

HAN-080C

and interest in and to said invention, inventions, or improvements, application or applications, patents, rights, titles, benefits, privileges and advantages hereby sold, assigned, confirmed, transferred and conveyed.

The undersigned hereby grant(s) David P. Gordon, Esq., David S. Jacobson, Esq., and Jay P. Sbrollini, Esq. the power to insert on this Assignment any further identification which may be necessary in order to comply with the rules of the U.S. Patent and Trademark Office for the recordation of this document and to correct any errors or defects herein.

IN WITNESS WHEREOF, we, **Robert Sixto, Jr. and Juergen A. Kortenbach**, have hereunto set our hands and seals this 16 day of January, 2009.


Robert Sixto, Jr.

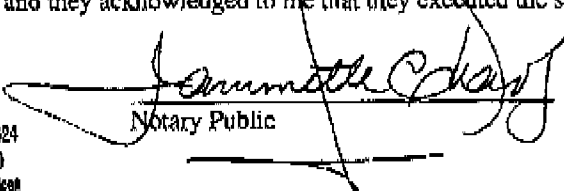

Juergen A. Kortenbach

State of Florida
County of Miami-Dade ss:

BE IT KNOWN, that on this 16 day of January, 2009, personally appeared **Robert Sixto, Jr. and Juergen A. Kortenbach**, to me known and known to me to be the individuals described in and who executed the foregoing assignment, and they acknowledged to me that they executed the same.



JANNETTE CHAVEZ
MY COMMISSION # DD 559024
EXPIRES: June 7, 2010
Bonded Third Budget Notary Services


Notary Public

SEAL

My commission expires: June 7, 2010

JAN-15-2009 THU 10:47 AM Mayo Clinic Orthopedic Surgery

FAX: +15072662533

P. 013/018

HAN-080C

ASSIGNMENT

WHEREAS, I, Scott Steinmann, hereinafter referred to as the "Inventor", a citizen of the US whose post office address is 200 1st Street SW, Rochester, MN 55905, have invented certain new and useful improvements in

Fracture Fixation Plate for the Coronoid of the Proximal Ulna

as described and set forth in an application for Letters Patent of the United States of America, filed by me on the 30th day of October, 2008, and bearing serial no.: 12/261,456 (Attorney Docket No. HAN-080C);

AND WHEREAS, DePuy Products, Inc., hereinafter referred to as the "said COMPANY", a company existing under the laws of Indiana and having a place of business at 700 Orthopaedic Drive, Warsaw IN, 46581-0988, is desirous of acquiring or confirming its acquisition of the entire right, title and interest in and to said invention, inventions or improvements, and in and to said application, and in and to any and all patents, both of the United States and of all foreign countries, that may be obtained therefor;

NOW, THEREFORE TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that I, the Inventor, for good and valuable considerations, the receipt and sufficiency of which I hereby acknowledge, have sold, assigned, transferred and conveyed, and by this assignment do sell, assign, transfer and convey, unto said COMPANY, its successors and assigns, the entire right, title and interest throughout the world, in and to my invention, inventions or improvements described or set forth in said application, in any form or embodiment thereof, and in and to said application, and in and to any applications filed in any foreign country based thereon, including the right to file foreign applications under the provisions of any convention or treaty; and in and to any confirmation, divisional, continuation, continuation-in-part, or substitute application or any other application which claims the benefit of said application which may be filed on said invention, inventions or improvements in the United States or in any foreign country; and in and to any and all patents, certificates, utility models, reissues, extensions, additions or confirmations thereof which may be granted in the United States or in any foreign country upon said invention, inventions or improvements, to have and to hold the same to the full end of the term or terms for which any and all such United States and foreign patents and grants may be issued on said invention, inventions or improvements.

AND I do hereby authorize and request the issuing authority to issue any and all of said United States and foreign patents on said application or applications to said COMPANY, its successors and assigns, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said COMPANY, its successors and assigns.

AND I do hereby covenant and warrant that I have full right to convey the entire right, title and interest herein assigned free and clear of all licenses, encumbrances and liens whatsoever, and that I have not executed and will not execute any instruments in conflict herewith.

AND I, for the conditions aforesaid, do hereby covenant and agree to and with the said COMPANY, its successors and assigns, that I, my executors, administrators, or other personal representatives, shall and will do all lawful acts and things, make all rightful oaths, and make, execute, and deliver any and all other instruments in writing, and any and all further applications, papers, powers, affidavits, assignments, disclaimers and other documents, which in the opinion of counsel for said COMPANY, its successors and assigns, may be required or necessary in this or in any foreign country more effectually to secure to and vest in said COMPANY, its successors and assigns, the entire right, title and interest in and to said invention, inventions, or improvements, application or applications, patents, rights, titles, benefits, privileges and advantages hereby sold, assigned, confirmed, transferred and conveyed.

JAN-15-2009 THU 10:47 AM Mayo Clinic Orthopedic Surgery

FAX:+15072662533

P.014/018

HAN-080C

The undersigned hereby grant(s) David P. Gordon, Esq., David S. Jacobson, Esq., and Jay P. Sbrollini, Esq. the power to insert on this Assignment any further identification which may be necessary in order to comply with the rules of the U.S. Patent and Trademark Office for the recordation of this document and to correct any errors or defects herein.

IN WITNESS WHEREOF, I, Scott Steinmann, have hereunto set my hand and seal this 15
day of Jan, 2009

Scott Steinmann
Scott Steinmann

County of Dodge)
State of Minnesota) ss:

BE IT KNOWN, that on this 15 day of January, 2009, personally appeared Scott Steinmann, to me known and known to me to be the individual described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same.



Natalie S. Price
Notary Public

My commission expires:

January 31, 2012