

JAN 30 2009

1 SHEET

U.S. Department of Commerce  
Patent and Trademark Office  
Attorney Customer No. 22,852

02-04-2009



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To the Director of the U.S. P  
Please record the attached c

Mail Stop Assignment Recordation Services

1. Names of conveying  
(1) Marcel BANK; and  
(2) Michael ENGEL

2. Name and address of receiving party:

Name: USB AG

Additional name(s) of conveying party(ies) attached?  Yes  No

Internal Address:

3. Nature of conveyance:

Street Address: Bahnhofstrasse 45

Assignment

Merger

City: Zurich

Security Agreement

Change of Name

Country: Switzerland

Post Code:

CH-8001

Joint Research Agreement

Government Interest Assignment

Additional name(s) & Address(es) attached?

Executive Order 9494, Confirmatory License

Other:

Yes

No

Execution Dates: (1) January 26, 2009  
(2) January 20, 2009

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application:

A. Patent Application Number: 12/085,440

B. Patent Number(s):

Additional numbers attached?

Yes

No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved:  
-1-

Name: FINNEGAN, HENDERSON, FARABOW, (202) 408-4000  
GARRETT & DUNNER, L.L.P.

7. Total fee (37 CFR 1.21(h) and 3.41): \$40

Internal Address:

Enclosed (Please charge deficiency or credit overpayment to deposit account 06-0916)

Street Address: 901 New York Avenue, N.W.

Authorized to be charged to deposit account

City: Washington

State: DC Zip: 20001-4413

8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signed:

Peter C. Yi, Reg. No. 61,790

January 30, 2009

Date

Total number of pages including cover sheet, attachments and documents: 3

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PATENT  
REEL: 022209 FRAME: 0696

SOLE/JOINT INVENTION  
(Worldwide Rights)  
Attorney Docket No. 09107.0018-00

### ASSIGNMENT

WHEREAS we, the below named inventors (hereinafter referred to as Assignors) have made an invention entitled:

#### COMPUTER-IMPLEMENTED SYSTEM FOR PRODUCING, PROCESSING AND MANAGING STRUCTURED DATA SETS

for which we filed an application for United States Letters Patent on May 23, 2008 (Application No. 12/085,440); and


WHEREAS, UBS AG, a corporation of Switzerland whose post office address is Bahnhofstrasse 45, CH-8001, Zurich, Switzerland (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, we, as Assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

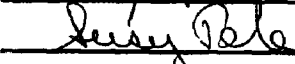
AND, WE HEREBY covenant that we have the full right to convey the interest assigned by this Assignment, and we have not executed and will not execute any agreement in conflict with this Assignment;


AND, WE HEREBY further covenant and agree that we will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.


IN TESTIMONY WHEREOF, we have hereunto set our hands.

Name: Marcel BANK  
Address: Buacherstrasse 20  
CH-5452 Oberrohrdorf, Switzerland  
Signature: x   
Date: x 26/01/2009

WITNESSED BY,

Name: (Printed) + Susy Peter  
Signature: x   
Date: x 27 Jan 2009

Name: Michael ENGEL  
Address: Im Tiergarten 56  
CH-8055 Zurich, Switzerland  
Signature: x   
Date: x 20.1.2009

WITNESSED BY,  
Name: (Printed) x Susy Peter  
Signature: x   
Date: x 27 Jan 2009