

RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 1153.131US1

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Christopher K. Ober, Yi Yi and Ramakrishnan
Ayothi

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ OtherExecution Date: January 13, 2009, January 27, 2009,
January 19, 2009

2. Name and address of receiving party(ies):

Name: Cornell Research Foundation, Inc.

Street Address: 395 Pine Tree, Suite 310

City: Ithaca State: NY Zip: 14850Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 12/255,266, filed October 21, 2008

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:Name: Michael H. Haukaas

Address:

Schwegman, Lundberg & Woessner, P.A.
P.O. Box 2938
Minneapolis, MN 55402-09386. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account
19-07438. Please charge any additional fees or credit any over
payments to our Deposit Account No.: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy
of the original document.Michael H. Haukaas/Reg. No. 57,111
Name of Person Signing

Signature

Date

Total number of pages including cover sheet: 5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
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Alexandria, VA 22313-1450

700398535

PATENT
REEL: 022211 FRAME: 0720

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ASSIGNMENT

2016 S Orchard St
Apt B
Unban, 12/6/08
Yr Yp
Jan 27, 2009

WHEREAS, We, Christopher K. Ober, a United States of America citizen, residing at 52 Genung Circle, Ithaca, NY 14850, and Yi Yi a Chinese citizen, residing at ~~2250 N. Trihammer Road, Apt. 1A, Ithaca, NY 14850,~~ and Ramakrishnan Ayothi, a citizen of India, residing at 5210 Temer Way, Apt. No. 203, San Jose, CA 95136, have invented certain new and useful improvements in PHOTOACID GENERATOR COMPOUNDS AND COMPOSITIONS; for which an application for Letters Patent in the United States was filed as U.S. Serial No. 12/255,266 on October 21, 2008.

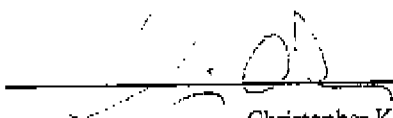
AND WHEREAS, Cornell University, with its principal place of business at Cornell Center for Technology, Enterprise & Commercialization ("CCTEC"), 395 Pine Tree Road, Suite 310, Ithaca, New York 14850 USA, is entitled to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said Cornell University, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said Cornell University, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said Cornell University, its successors, legal representatives, and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally do everything possible to aid the said Cornell University, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

Docket No: 1153,131US1
Client Ref. No. 3788-03
Serial Number: 12/255;266
Filing Date: October 21, 2008
Title: PHOTOACID GENERATOR COMPOUNDS AND COMPOSITIONS
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IN TESTIMONY WHEREOF, I have hereunto set my hand this 13th day of
January, 2009.

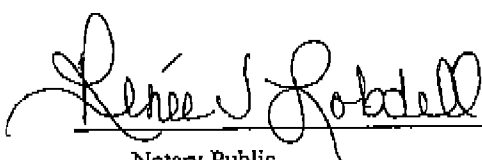


Christopher K. Ober

STATE OF New York)
) ss
COUNTY OF Tompkins)

On this 13th day of January, 2009 before me personally appeared Christopher K. Ober, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]



Notary Public

RENEE J. LOBDELL
Notary Public, State of New York
No. 01LO6120377
Qualified in Cortland County
Commission Expires Dec 20, 2012

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Serial Number: 12/255,266

Filing Date: October 21, 2008

Title: PHOTOACID GENERATOR COMPOUNDS AND COMPOSITIONS

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IN TESTIMONY WHEREOF, I have hereunto set my hand this 27 day of June, 2009.

 y_i/v_i

STATE OF Illinois)
COUNTY OF Champaign)ss

On this 27th day of January, 2009 before me personally appeared Yi Yi, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public



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Serial Number: 12/255,266
Filing Date: October 21, 2008
Title: PHOTOACID GENERATOR COMPOUNDS AND COMPOSITIONS
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IN TESTIMONY WHEREOF, I have hereunto set my hand this 19th day of JANUARY, 2009.


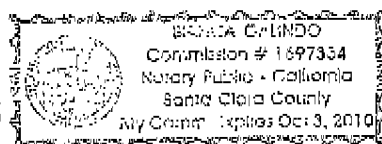


Ramakrishnan Ayothi

STATE OF California)
)ss
COUNTY OF Santa Clara)

On this 19th day of January, 2009 before me personally appeared Ramakrishnan Ayothi to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]


Notary Public