

Form PTO-1595 (Rev. 07/05)
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U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Marcus Joseph Ottaviano
Robert John Parks
John Lawrence Noon
Mark Michael D'Andrea
Thomas Michael Regan
Aaron Todd Williams

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address: _____

Street Address: One River Road

City: Schenectady

State: NY

Country: USA Zip: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 1/29/09 & 2/3/09

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

4. Application or patent number(s):

A. Patent Application No.(s)

12/342,972

☐ This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Steven J. Rosen
Patent Attorney

Internal Address: _____

Street Address: 4729 Cornell Road

City: Cincinnati

State: OH Zip: 45241

Phone Number: 513-489-5383

Fax Number: 513-489-5466

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☐ Authorized to be charged by credit card

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 07-0865

Authorized User Name _____

9. Signature:

Steven J. Rosen
Signature

February 5, 2009

Date

Steven J. Rosen, Patent Attorney

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT

700398571

REEL: 022216 FRAME: 0625

CH \$40.00 070865 12342972

ASSIGNMENTDocket No. 235484-1

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement and/or other agreement and/or for other good and valuable consideration, of which I/we acknowledge receipt, I/we:

<u>Marcus Joseph Ottaviano</u>	of	<u>North Reading, MA</u>
<u>Robert John Parks</u>	of	<u>Ipswich, MA</u>
<u>John Lawrence Noon</u>	of	<u>Swampscott, MA</u>
<u>Mark Michael D'Andrea</u>	of	<u>Belmont, MA</u>
<u>Thomas Michael Regan</u>	of	<u>Marblehead, MA</u>
<u>Aaron Todd Williams</u>	of	<u>West Chester, OH</u>

hereby sell and assign to General Electric Company, a New York Corporation, (hereinafter referred to as "Company"), having an address at 1 River Road, Schenectady, New York 12345, USA, its successors and assigns my/our entire respective right(s), title(s), and interest(s) in and to the invention and improvements in:

CENTRIFUGAL COMPRESSOR FORWARD THRUST AND TURBINE
COOLING APPARATUS

invented and originated by me/us, and described in the application for United States Patent

[X] U.S. Serial No.: 12/342,972, filed 12/23/2008

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations, reissues, and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I/we will communicate to said Company or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

1/29/2009
Date

Marcus Joseph Ottaviano
Marcus Joseph Ottaviano

1/29/2009
Date

Robert John Parks
Robert John Parks

1/29/2009
Date

John Lawrence Noon
John Lawrence Noon

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1-29-09
DateMark Michael D'Andrea
Mark Michael D'Andrea1/29/09
DateThomas Michael Regan
Thomas Michael ReganState of Massachusetts
County of Essex

This 29th day of January, 2009 before me personally came the above-named Marcus Joseph Ottaviano to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes set forth.

Gilda P. Olney
Notary Public Gilda P. Olney

This 29th day of January, 2009 before me personally came the above-named Robert John Parks to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes set forth.

Gilda P. Olney
Notary Public Gilda P. Olney

This 29th day of January, 2009 before me personally came the above-named John Lawrence Noon to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes set forth.

Gilda P. Olney
Notary Public Gilda P. Olney

This 29th day of January, 2009 before me personally came the above-named Mark Michael D'Andrea to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes set forth.

Gilda P. Olney
Notary Public Gilda P. Olney

This 29th day of January, 2009 before me personally came the above-named Thomas Michael Regan to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes set forth.

Gilda P. Olney
Notary Public Gilda P. Olney

PATENT

REEL: 022216 FRAME: 0627

MASSACHUSETTS WITNESS A SIGNATURE

Commonwealth of Massachusetts

County of EssexOn this 29th day of January, 2009, before me,

the undersigned Notary Public, personally appeared

Marcus Joseph OTTAVIANO Mark Michael D'Andrea Robert John Parks
John Lawrence Noon Thomas Michael Regan
Name(s) of Document Signer(s)

proved to me through satisfactory evidence of identity, which was/were

Massachusetts Drivers Licenses
Description of Evidence of Identityto be the person(s) whose name(s) is signed on the preceding or attached
document in my presence.Shelia P. O'Gey
Signature of Notary PublicShelia P. O'Gey
Printed Name of NotaryMy Commission Expires: JANUARY 29, 2010

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2/3/09
Date

Aaron Todd Williams
Aaron Todd Williams

State of OHIO
County of HAMILTON

This 3rd day of February, 2009 before me personally came the above-named Aaron Todd Williams to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes set forth.



KIMBERLY PENDLETON
Notary Public, State of Ohio
My Commission Expires April 3, 2012

Kimberly Pendleton
Notary Public