807275 I DECORDATION E	ORM COVER SHEET
RECORDATION F PATENTS	
PAIENIS	lease record the attached documents or the new address(cs) below
	b Name and address of receiving party(ics)
1. Name of conveying party(ies)/Execution Date(s)	WHITEHEAD INSTITUTE FOR BIOMEDICAL
MICHAEL J. ROOT / 02/14/2000	Name: RESEARCH
MICHAEL S. KAY / 02/14/2000	Internal Address
DAVID C CHAN / 03/20/2000	
PETER S. KIM / 02/07/2000	
Additional name(s) of conveying party(les) attached? Yes No	Street Address: NINE CAMBRIDGE CENTER
3. Nature of conveyance:	
Assignment	City. CAMBRIDGE
Security Agreement	State. MASSACHUSETTS
Other	Country, USA Zip 02142
	Additional name(s) & address(es) attached? Yes 🗵 No
A. Patent Application No.(s) 12/321,300 Additional numbers	anached? ☐ Yes ⊠ No
Name and address of party to whom correspondence concerning document should be mailed	Total number of applications and patents involved:
Name ANNE J. COLLINS, ESQ.	7. Total Fee (37 CFR 1 21(n) & 3 41) \$40.00
Internal Address.	Enclosed
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.	Authorization to charge deposit account number 08-0380
Street Address 530 VIRGINIA ROAD, P.O. BOX 9133	Previously submitted - Doc 4D No. [] Authorized to charge any deficiencies or credit any overpayment to
City: CONCORD State MA 21P. 01742-9133	Authorized to charge any deficiencies of credit any overpayment to deposit account number 08-0380
Phone No. 978-341-0036 Fax No 978-341-0136	
Finail Address ANNE COLLINS@HBSR.COM	
8. VIVIEN J. TANNOCH- MAGIN	Signature Feb. b, 2009
Name of Person Signing Local compet of pages including	Signature Date gover sheet, attachments, and documents 9

PATENT REEL: 022233 FRAME: 0635 Feb-09-09 01:12pm From-HBSR 1-978-341-0242 T-497 P.03/10 F-233

D. last No. <u>03992002000</u>

Provisional Joint

<u>ASSIGNMENT</u>

WHEREAS, we, Michael J. Root, Michael S. Kay, David C. Chan and Peter S. Kim have invented a certain improvement in Five-Helix Protein described in a Provisional Patent Application, the specification of which:

- [] is about to be filed in the United States Patent Office,
- [X] was filed on <u>December 16, 1999</u> as Application No. 60/171,042;

WHEREAS, Whitehead Institute for Biomedical Research (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the State of Delaware, and having a usual place of business at Nine Cambridge Center, Cambridge, Massuchusetts 62142 desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have soid, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said Provisional application, together with the entire right, title and interest in and to said Provisional application and such Letters Patent as may issue on said invention, said invention, application and Letters Parent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights ansing under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein,

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AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any nonprovisional applications including substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved,

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said invention to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

	Inventor: Michael J. Root
State/Commonwealth	
of Massichusetts	_
County of Norfolk	<u> </u>
Then personally appeared before n that he/she executed the foregoingday of	ne the above-named Michael J. Root and acknowledged instrument as his/her free act and deed this <u>14</u>
	Julia Motary Public
ACT AT 1	June C-81112 (print name)
(SEAL)	My Commission expires 11 / 25 / 65

Inventor: Michael S. May Michael S. Kay _State/Commonwealth of Massachusetts County of Norfolk Then personally appeared before me the above-named Michael S. Kay and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this ______ 14 day of February, 20 3=0-(SEAL) My Commission expires 11 / 25 / 05 David C. Chan State/Commonwealth County of_____ Then personally appeared before me the above-named David C. Chan and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ _____day of_______, 19____ Notary Public _____(print name) (SEAL) My Commission expires ____/ ____/ ____

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Inventor:

Peter S. Kim for himself and as Agent for the Howard Hughes Medical Institute

State/Commonwealth	
of Wiassachusetts	<u> </u>
County of Norfell	_ _
Then personally appeared before meloshe executed the foregoing instruction of the foregoing instruction	ne the above-named Peter S. Kim and acknowledged that nument as his/her free act and deed this
(SEAL)	Notary Public Julie C. Ellis (print name) My Commission expires 25 / 55

(WHI REP No. : WHI99-34P) BUC ID NO. 109843 Feb-09-09 01:13pm From-HBSR

1-978-341-0242 T-497 P.07/10 F-233

Doc. 40 <u>0399.2002-000</u> (WHI99-34p)

Provisional Joint

<u>ASSIGNMENT</u>

WHEREAS, we, Michael J. Root, Michael S. Kay, David C. Chan and Peter S. Kim have invented a certain improvement in Five-Helix Protein described in a Provisional Patent Application, the specification of which.

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> PATENT REEL: 022233 FRAME: 0640

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AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any nonprovisional applications including substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said invention to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our nands and affixed our seals the date set forth below

Inventor	Michael J. Root	Date
Address		
		Date
Address		
 -		Date
<u></u>		

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l-arzontor		_ Date
TITACHTO!	Michael S. Kay	
Address		
		Date
		
<u> </u>		
		Date
	0 / 01	- =/-/.
Inventor	David C. Chan	Date 3/20/00
_	2764 Heritage Drive	
_	David C. Chan 2764 Heritage Drive Pasadena CA 91107	
_	2764 Heritage Drive	
_ _Address	2764 Heritage Drive Pasadena CA 91107	Date 3/20/00
Address	2764 Heritage Drive	Date 3/20/00 and J. Dashail
Address	2764 Heritage Drive Pasadena CA 91107 MANNE PAZ VIC. SRaym emont CA 91711	Date 3/20/00 and J. Dashail
Address	2764 Heritage Drive Pasadena CA 91107 MAJAGA Pazadena CA 91107 MAJAGA Paz GIO Skaym	Date 3/20/00 and J. Deshairs
		Michael S. Kay Address

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	lnventor	Peter S. Kim for himself and as Agent for the Howard Hughes Medical Institute	Date
	Address		
Witness			
Address			
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Address			

DGC ID NO 109842

PATENT REEL: 022233 FRAME: 0643 **RECORDED: 02/09/2009**