

Form PTO-1595 (Rev. 01-09)
OMB No. 0651-0027 (exp. 02/28/2009)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

UTC Power, LLC

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) January 1, 2007

- ☐ Assignment ☒ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: UTC Fuel Cells, LLC

Internal Address: _____

Street Address: 195 Governor's Highway

City: South Windsor

State: CT

Country: USA Zip: 06074

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

7038329; 7174716; 6880344; 7013644; 6986251; 6962051; 6989989;
7100380; 7146813; 7043912

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Robert H. Kelly

Internal Address: UTC Power Corporation

MS 601-03

Street Address: 195 Governor's Highway

City: South Windsor

State: CT Zip: 06074

Phone Number: (860) 727-2160

Fax Number: (860) 727-2399

Email Address: robert.kelly@utcpower.com

6. Total number of applications and patents involved: 10

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 400

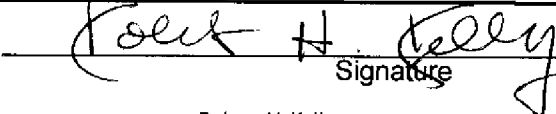
- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-1307

Authorized User Name Robert H. Kelly

9. Signature:



Signature

Robert H. Kelly

Name of Person Signing

10 February 2009

Date

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

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PATENT
REEL: 022235 FRAME: 0638

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Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"UTC POWER, LLC", A DELAWARE LIMITED LIABILITY COMPANY,
WITH AND INTO "UTC FUEL CELLS, LLC" UNDER THE NAME OF "UTC FUEL CELLS, LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-FIRST DAY OF DECEMBER, A.D. 2006, AT 6:05 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE FIRST DAY OF JANUARY, A.D. 2007, AT 12:01 O'CLOCK A.M.

2888666 8100M
061177640



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State
AUTHENTICATION: 5312442

DATE: 12-27-06

PATENT
REEL: 022235 FRAME: 0639

State of Delaware
Secretary of State
Division of Corporations
Delivered 06:56 PM 12/21/2006
FILED 06:05 PM 12/21/2006
SRV 061177640 - 2888666 FILE

CERTIFICATE OF MERGER

OF

UTC POWER, LLC, a Delaware limited liability company

with and into

UTC FUEL CELLS, LLC, a Delaware limited liability company

The undersigned limited liability company organized and existing under and by virtue of the Limited Liability Company Act of the State of Delaware does hereby certify:

FIRST: That the names and states of formation or incorporation of each of the constituent business entities participating in the merger are as follows:

(i) **UTC Power, LLC**, a limited liability company organized and existing under the laws of the State of Delaware; and

(ii) **UTC Fuel Cells, LLC**, a limited liability company organized and existing under the laws of the State of Delaware.

SECOND: That an Agreement and Plan of Merger has been approved, adopted, certified, executed and acknowledged by written consent of each of the constituent business entities in accordance with the requirements of Section 18-209 of the Delaware Limited Liability Company Act.

THIRD: That the surviving domestic limited liability company is UTC Fuel Cells, LLC (the "Surviving Company").

FOURTH: That the Certificate of Formation of UTC Fuel Cells, LLC shall be the Certificate of Formation of the Surviving Company until further amended or changed in accordance with the terms thereof and pursuant to the provisions of the Delaware Limited Liability Company Act.

FIFTH: The merger shall become effective at 12:01 a.m. on January 1, 2007.

SIXTH: That the executed Agreement and Plan of Merger among the constituent business entities is on file at the office of the Surviving Company, the address of which is as follows:

UTC Fuel Cells, LLC
195 Governor's Highway
South Windsor, CT 06074

41761143.5

SEVENTH: That a copy of the Agreement and Plan of Merger will be furnished by the Surviving Company, on request and without cost, to any member of either constituent business entity.

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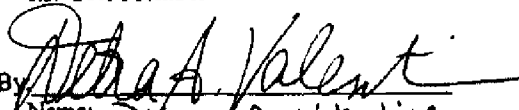
IN WITNESS WHEREOF, the undersigned has caused this Certificate of Merger to be signed by its duly authorized officer.

Dated: December 21, 2006

UTC FUEL CELLS, LLC

United Technologies Corporation,

Its: Sole Member

By 
Name: Debra A. Valentine
Title: Secretary