

Form PTO-1595 (Rev. 06/04)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark OfficeRECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office. Please record the attached documents or the address(es) below..

1. Name of conveying party(ies)/Execution Date(s):

1. Sayda ELBASHIR
2. Winfried LENDECKEL

Execution Date(s): 1. 11/6/08; 2. 10/20/08

Additional name(s) of conveying party(ies) attached?

attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

NAME: **Max-Planck-Gesellschaft zur Foerderung
der Wissenschaften. e.V.**ADDRESS: Hofgartenstrasse 8
80539 Muenchen, Germany

3. Name of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

Additional name(s) & addresses(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):



This document is being filed together with a new application

A. Patent Application No.(s)

See Attached List

B. Patent No.(s)

See Attached List

Additional numbers attached? ☒ Yes ☐ No5. Name and address of party to whom
correspondence concerning document should be
mailed:

Name:

Address: Rothwell, Figg, Ernst & Manbeck
Suite 800

Street Address: 1425 K St., N.W.

City: Washington,

State: D.C. Zip: 20005

Telephone No.: 202/783-6040

Facsimile No.: 202/783-6031

Email Address:

Attorney Docket No.: 2923-537

6. Total number of applications
and patents involved: 97. Total fee (37 CFR 3.41): . . . \$ \$360

- ☐ Enclosed
☒ Authorized to be charged to deposit account
☐ None required (government interest not affecting title)

8. Deposit account number: 02-2135

Authorized User Name Monica Chin Kitts

DO NOT USE THIS SPACE

9. Signature.



Signature

February 11, 2009

Date

Monica Chin Kitts, Reg. 36,105
Name of Person SigningTotal number of pages including cover sheet, attachments and documents: 6

CH \$360.00 022135 10433050

ATTACHMENT

10/433,050

U.S. Patent 7,078,196

10/832,257

U.S. Patent 7,056,704

11/142,865

11/142,866

11/634,138

11/634,129

12/260,443

Attorney Docket No. 2923-537

Rothwell, Figg, Ernst & Manbeck, P.C.

**ASSIGNMENT BY INVENTOR(S) OF PATENT APPLICATION
FOR THE UNITED STATES OF AMERICA**

IN CONSIDERATION of good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR(S)) by

Name: Max-Planck-Gesellschaft zur Foerderung der Wissenschaften e.V.
Address: Hofgartenstrasse 8, 80539 Muenchen, Germany

(hereinafter ASSIGNEE), the receipt and adequacy of which hereby acknowledged, ASSIGNOR(S) hereby sell(s), assign(s) and transfer(s) to ASSIGNEE all of his/her right, title and interest in, to and under the invention and application entitled: RNA INTERFERENCE MEDIATING SMALL RNA MOLECULES for which application for Letters Patent of the United States ASSIGNOR(S) is/are the sole or a joint inventor and which application is identified herein and/or is further identified as follows

U.S. Serial No. or PCT Appln. No.: 10/433,050, Filing Date: July 26, 2004
(Rothwell, Figg, Ernst & Manbeck is hereby authorized to insert the series code, serial or application number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained on said application or any continuation, division, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted, including all rights to recover damages for any and all past infringement; and

ASSIGNOR(S) agree(s) to execute all papers necessary in connection with said application and any continuing, divisional, reissue or reexamination applications thereof and to execute separate assignments in connection with such applications as ASSIGNEE may deem necessary.

ASSIGNOR(S) agree(s) to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, division, reissue, reexamination or extension thereof or Letters Patent, reissue patent or reexamination certificate issued thereon, and all rights connected therewith, and to cooperate with ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

ASSIGNOR(S) covenant(s) that he/she has the full right to convey the entire interest herein assigned and has not executed, and will not execute, any agreement in conflict herewith.

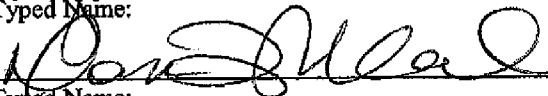
ASSIGNOR(S) acknowledge(s) that ASSIGNEE may appoint any attorney or practitioner of ASSIGNEE's choice to prosecute any application or other legal proceeding involving said invention and ASSIGNOR(S) further acknowledge(s) that any attorney or practitioner so appointed by ASSIGNEE does not represent ASSIGNOR(S) and that such appointment by ASSIGNEE does not create any attorney-client relationship between ASSIGNOR(S) and any attorney or practitioner appointed by ASSIGNEE.

IN WITNESS WHEREOF, the undersigned ASSIGNOR(S) has/ have affixed his/her signature below.

Signature: Sayda Elbashir Date: 11/06/08
Typed Name: Sayda ELBASHIR

Signature: _____ Date: _____
Typed Name: Winfried LENDECKEL

WITNESSES: (optional)

Signature:  Date: 11/6/08
Typed Name: _____Signature:  Date: 11/6/08
Typed Name: _____

NO LEGALIZATION REQUIRED. THIS DOCUMENT MAY BE NOTARIZED IF DESIRED FOR FURTHER PROOF OF EXECUTION.

1 Attorney Docket No. 2923-537

Rothwell, Figg, Ernst & Manbeck, P.C.

**ASSIGNMENT BY INVENTOR(S) OF PATENT APPLICATION
FOR THE UNITED STATES OF AMERICA**

IN CONSIDERATION of good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR(S)) by

Name: **Max-Planck-Gesellschaft zur Foerderung der Wissenschaften e.V.**

Address: Hofgartenstrasse 8, 80539 Muenchen, Germany

(hereinafter ASSIGNEE), the receipt and adequacy of which hereby acknowledged, ASSIGNOR(S) hereby sell(s), assign(s) and transfer(s) to ASSIGNEE all of his/her right, title and interest in, to and under the invention and application entitled: RNA INTERFERENCE MEDIATING SMALL RNA MOLECULES for which application for Letters Patent of the United States ASSIGNOR(S) is/are the sole or a joint inventor and which application is identified herein and/or is further identified as follows

U.S. Serial No. or PCT Appln. No.: 10/433,050, Filing Date: July 26, 2004

(Rothwell, Figg, Ernst & Manbeck is hereby authorized to insert the series code, serial or application number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained on said application or any continuation, division, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted, including all rights to recover damages for any and all past infringement; and

ASSIGNOR(S) agree(s) to execute all papers necessary in connection with said application and any continuing, divisional, reissue or reexamination applications thereof and to execute separate assignments in connection with such applications as ASSIGNEE may deem necessary.

ASSIGNOR(S) agree(s) to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, division, reissue, reexamination or extension thereof or Letters Patent, reissue patent or reexamination certificate issued thereon, and all rights connected therewith, and to cooperate with ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

ASSIGNOR(S) covenant(s) that he/she has the full right to convey the entire interest herein assigned and has not executed, and will not execute, any agreement in conflict herewith.

ASSIGNOR(S) acknowledge(s) that ASSIGNEE may appoint any attorney or practitioner of ASSIGNEE's choice to prosecute any application or other legal proceeding involving said invention and ASSIGNOR(S) further acknowledge(s) that any attorney or practitioner so appointed by ASSIGNEE does not represent ASSIGNOR(S) and that such appointment by ASSIGNEE does not create any attorney-client relationship between ASSIGNOR(S) and any attorney or practitioner appointed by ASSIGNEE.

IN WITNESS WHEREOF, the undersigned ASSIGNOR(S) has/ have affixed his/her signature below.

Signature: _____ Date: _____

Typed Name: Sayda ELBASHIR

Signature: Winfried Lendeckel Date: 20.10.2008

Typed Name: Winfried LENDECKEL

WITNESSES: (optional)

Signature: _____ Date: _____

Typed Name: _____

Signature: Birgit Lendeckel Date: 20.10.2008

Typed Name: Birgit Lendeckel

NO LEGALIZATION REQUIRED. THIS DOCUMENT MAY BE NOTARIZED IF DESIRED FOR FURTHER PROOF OF EXECUTION.