

RE 2/9/09

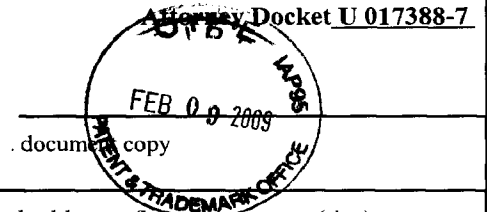
RECORDED

02-12-2009



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(With signed English translation if needed).



document copy

To the Director of P

1. Name of conveying party(ies):

1. JOSÉ LUIS NIEVA ESCANDÓN
2. MARIA JOSÉ GÓMARA ELENA
3. MAIER LORIZATE NOGALES

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement ☐ Change of Address *
☐ Government Interest Assignment (old address above)
☐ Executive Order 9424, Confirmatory License * may be by attorney
☐ Other _____

Execution Date(s): 1. ~~October 8, 2008~~ August 10, 2008
2. ~~September 8, 2008~~ August 9, 2008
3. ~~September 8, 2008~~ August 9, 2008

2. Name and address of receiving party(ies):

Name: UNIVERSIDAD DEL PAÍS VASCO

Address: OTRI-BARRIO DE SARRIENA S/N,
E-48940 LEIOA (VIZCAYA) SPAIN

Additional name(s) & addresses(es) attached?

☒ Yes ☐ No

4. Application number(s) or patent number(s)

☐ Being filed together with a new application.☒ Patent Application No.(s): 12/159,645☐ Patent No.(s):Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CLIFFORD J. MASS

Address: Ladas & Parry LLP
26 West 61st Street
New York, N.Y. 10023
(fax) (212) 246-8959

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) 1 @ \$40.00 each

☒ Check Enclosed☐ Authorized to be charged in whole or in part to:

8. Deposit account number: _____

10/22/2008 NJAAAI 00000010 12159645

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40.00 00

October 17, 2008

Date

9. Signature:

CLIFFORD J. MASS
Name of Person Signing

Signature

Total number of pages including coversheet, attachments, and documents:

8

1. Additional names of conveying party(ies):

4. NEREA HUARTE ARRAYAGO

5. ISMAEL MINGARRO MUÑOZ

6. ENRIQUE PÉREZ PAYA

7.

8.

Execution Date(s): 4. ~~September 8, 2008~~

5. ~~September 3, 2008~~

6. ~~September 3, 2008~~

7.

8.

2. Additional names and addresses of receiving party (ies):

Name: UNIVERSIDAD DE VALÉNCIA

Address: AVENIDA BLASCO IBÁÑEZ
13, E-46010 VALENCIA, SPAIN

Name:

Address

Name:

Address

August 9, 2008

March 9, 2008

March 9, 2008

4. Additional application number(s) or patent number(s)

4.

5.

6.

7.

8.

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

<u>José Luis NIEVA ESCANDÓN</u> <i>(type or print name of inventors)</i>	<u>c/o UNIVERSIDAD DEL PAÍS VASCO</u> <u>Barrio Sarriena s/n, E-48940 Leioa, Spain</u> Address <u>Spanish</u> Nationality
<u>María José GÓMARA ELENA</u>	<u>c/o UNIVERSIDAD DEL PAÍS VASCO</u> <u>Barrio Sarriena s/n, E-48940 Leioa, Spain</u> Address <u>Spanish</u> Nationality
<u>Maier LORIZATE NOGALES</u>	<u>c/o UNIVERSIDAD DEL PAÍS VASCO</u> <u>Barrio Sarriena s/n, E-48940 Leioa, Spain</u> Address <u>Spanish</u> Nationality
<u>Nerea HUARTE ARRAYAGO</u>	<u>c/o UNIVERSIDAD DEL PAÍS VASCO</u> <u>Barrio Sarriena s/n, E-48940 Leioa, Spain</u> Address <u>Spanish</u> Nationality
<u>Ismael MINGARRO MUÑOZ</u>	<u>c/o UNIVERSIDAD DE VALÈNCIA</u> <u>Avda. Blasco Ibañez 13,</u> <u>E-46010 Valencia, Spain</u> Address <u>Spanish</u> Nationality

Added page(s) for signature by subsequent inventors. Number of pages added

1

(Assignment of Invention—Multiple Inventors—page 1 of 5) 16-3.2

ASSIGNORS (Inventors):

Enrique PÉREZ PAYA	c/o UNIVERSIDAD DE VALÉNCIA
(type or print name of inventors)	Avda. Blasco Ibañez 13,
	E-46010 Valencia, Spain
	Address
	Spanish
	Nationality
	Address
	Nationality
	Address
	Nationality
	Address
	Nationality
	Address
	Nationality
	Address
	Nationality
	Address
	Nationality

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to
ASSIGNEES:

(1)

UNIVERSIDAD DEL PAÍS VASCO

(type or print name of ASSIGNEE)

OTRI-BARRIO DE SARRIENA S/N,

Address

SPANISH

Nationality

E-48940 LEIOA (VIZCAYA) SPAIN

AND

(2)

UNIVERSIDAD DE VALÉNCIA

(type or print name of ASSIGNEE)

AVENIDA BLASCO IBÁÑEZ,

Address

SPANISH

Nationality

13, E-46010 VALENCIA SPAIN

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (_____ %) right, title and interest
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority, in and to any and all
improvements which are disclosed in the invention entitled:

NON-PROTEOLYSABLE OLIGOPEPTIDES THAT INHIBIT GLYCOPROTEIN GP41
OF THE AIDS VIRUS

Name of inventor(s) José Luis NIEVA ESCANDÓN
 María José GÓMARA ELENA
 Maier LORIZATE NOGALES
 Nerea HUARTE ARRAYAGO
 Ismael MINGARRO MUÑOZ
 Enrique PÉREZ PAYA

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

- (a) ☐ Not yet filed U.S. patent application executed on even date herewith
- (b) ☐ Not yet filed U.S. patent application executed on _____
- (c) ☐ Not yet filed U.S. provisional application naming the above inventor(s) for the above-entitled invention
 - ☐ To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
- (d) ☐ U.S. patent or provisional patent application no. _____ filed on _____
- (e) ☒ International application no. PCT/ES2006/000726 filed 29 December 2006
- (f) ☐ U.S. patent no. _____ issued _____
 - ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

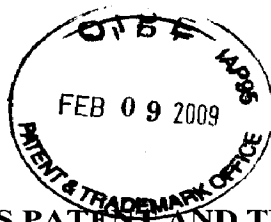
and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.



D

Practitioner's Docket No. U 017388-7

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: José Luis NIEVA ESCANDÓN

Serial No.: 12/159,645

Group No.: --

Filed: June 30, 2008

Examiner: --

For: NON-PROTEOLYSABLE OLIGOPEPTIDES THAT INHIBIT GLYCOPROTEIN
GP41 OF THE AIDS VIRUS

Mail Stop Assignments

Director of the United States Patent and Trademark Office

P. O. Box 1450

Alexandria, VA 22313-1450

REQUEST FOR CORRECTED NOTICE OF RECORDATION

The Notice of Non-Recordation of October 27, 2008, the Cover Sheet with corrected
execution dates are attached.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service
with sufficient postage as first class mail in an
envelope addressed to the Assistant Director of
the United States Patent and Trademark Office,
P. O. Box 1450, Alexandria, VA 22313-1450.

FACSIMILE

- ☐ transmitted by facsimile to the Patent and
Trademark Office, to (703) 308-7124

Date: February 5, 2009

Signature

CLIFFORD J. MASS

(type or print name of person certifying)

(Request for Corrected Notice of Recordation—page 1 of 2) 16-8a

Reg. No.: 30,086

Tel. No.: (212) 708-1890

Customer No.:



00140

PATENT TRADEMARK OFFICE


SIGNATURE OF PRACTITIONER

CLIFFORD J. MASS

(type or print name of practitioner)

P.O. Address

c/o Ladas & Parry LLP
26 West 61st Street
New York, N.Y. 10023

(Request for Corrected Notice of Recordation—page 2 of 2) 16-8a

RECORDED: 02/09/2009

PATENT
REEL: 022267 FRAME: 0261