

A10581-40

IAP03Rec'd PCT 11 FEB 2009

12/310155

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

02-20-2009

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

103549033

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

ANDREAS MAYER (01/20/2009), HEINZ
BURTSCHER (01/27/2009), JAN CZERWINSKI
(01/21/2009), MARKUS KASPER (12/22/2008),

Execution Date(s): in parentheses after inventor name

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: MATTER ENGINEERING AG

Internal Address: _____

Street Address: _____

BREMGARTERSTRASSE 62City: WOHLEN

State: _____

Country: Switzerland Zip: CH-5610Additional name(s) & address(es) attached: ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

This application

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Thomas M. Finetti
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.:

Street Address: 600 South Avenue WestCity: WestfieldState: NJ Zip: 07090Phone Number: (908) 654-5000Fax Number: (908) 654-7866

Email Address: _____

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____b. Deposit Account Number 12-1095Authorized User Name Thomas M. Finetti

9. Signature:

Signature

February 11, 2009

Date

Thomas M. Finetti - 61,881

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

02/19/2009 DBYRNE 00000010 121095 12310155
01 FC:8021 40.00 DAPATENT
REEL: 022287 FRAME: 0302

12/310155

Form PTO-1595

**RECORDATION FORM COVER SHEET
(continued)**

Additional Conveying Party(ies)/Execution Date(s) (1. Continued):

Richard John Artley (01/08/2009), Günther Dobrauz (12/30/2008), and Gordon Edge (01/12/2009)

Additional Assignees (2. Continued):

Assignee Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ Country: _____ Zip: _____

Assignee Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ Country: _____ Zip: _____

Assignee Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ Country: _____ Zip: _____

Additional Applications and/or Patents (4. Continued):

Additional Patent Application Numbers
4A. Continued:

Additional Patent Numbers
4B. Continued:

Additional numbers attached?

☐

Yes

☐

No

12/310155

Attorney Docket No.: _____

Sole/Joint Invention
(U.S. Rights Only)**Assignment of Patent Application**

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as ASSIGNOR(S)], have made an invention entitled:

Nanoparticle filter apparatus for ambient air

for which I/We executed an application for Letters Patent of the United States,

☐ of even date herewith;

(Check one) ☐ U.S. Serial Number

filed

☒ International Application No. PCT/CH2007/000394
designating the United States Of America

filed August 13, 2007,

and

WHEREAS **Matter Engineering AG**

a corporation of

whose post office address is **Bremgarterstrasse 62
5610 Wohlen
Switzerland**

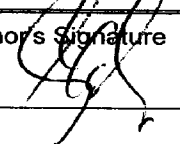
hereinafter referred to as ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$ 1.00) to me/us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I/we, the said ASSIGNOR(S), have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reexamination certificates, reissues and extensions thereof; and I/we hereby authorize and request the Commissioner of Patents of the United States, to issue all Letters Patent for said invention to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/we have the full right to convey the entire interest herein assigned, and that I/we have not executed, and will not execute, any agreement in conflict herewith.

AND, I/WE HEREBY further covenant and agree that I/we will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me/us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue application, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention at the expense of the ASSIGNEE.

Full Name of First Assignor MAYER, Andreas	Assignor's Signature 	Date 20. Jan. 2009
Address Föhrhölzlistrasse 14b 5443 Niederrohrdorf Switzerland ✓		Country of Citizenship Germany
Full Name of Second Assignor BURTSCHER, Heinz	Assignor's Signature 	Date 27. Jan. 2009
Address Herrenbergstrasse 46 8964 Rudolfstetten Switzerland		Country of Citizenship Austria
Full Name of Third Assignor CZERWINSKI, Jan	Assignor's Signature 	Date 21. Jan. 2009
Address Weiherweg 17a 2562 Port Switzerland		Country of Citizenship Austria
Full Name of Fourth Assignor KASPER, Markus	Assignor's Signature 	Date 2008-12-22
Address Dorfstrasse 42 8967 Widen Switzerland		Country of Citizenship Germany
Full Name of Fifth Assignor ARTLEY, Richard John	Assignor's Signature 	Date 5th January 2009.
Address Schlüsselgasse 3 8001 Zürich Switzerland		Country of Citizenship United Kingdom
Full Name of Sixth Assignor DOBRAUZ, Günther	Assignor's Signature 	Date 2008-12-30
Address Herzogstrasse 2 8044 Zürich Switzerland <i>Dorfstrasse 8 8702 Zollikon Switzerland</i>		Country of Citizenship Austria

Full Name of Seventh Assignor EDGE, Gordon	Assignor's Signature 	Date 12 June 2009
Address Bishops House, Church Street Great Chesterford, Essex CB10 1NP United Kingdom		Country of Citizenship United Kingdom