# Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:			NEW ASSIGNMENT						
NATURE OF CONVEYANCE:			ASSIGNMENT						
CONVEYING PARTY DATA									
Name Execution Date									
Robarts Research Ins	07/01/2007								
RECEIVING PARTY DATA									
Name: The University of Western Ontario									
Street Address:	1151 Richmond Street								
City:	London, Ontari								
State/Country:	CANADA								
Postal Code:	N6A 3K7								
PROPERTY NUMBERS Total: 4									
Property Type			Number						
Application Number: 6033		603389	3979						
Application Number: 10862		108626	2625						
Application Number: 10949		109497	702						
PCT Number: US02		US023	39091						
PCT Number: US0239091   CORRESPONDENCE DATA									
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Correspondence will be sent via US Mail when the fax attempt is unsuccessful.									
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NAME OF SUBMITTER:			Cheryl L. Franke						
Total Attachments: 3 source=OmnibusAssign_GeronRelated_Robarts-Western#page1.tif									

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# PATENT REEL: 022315 FRAME: 0397

PATENT REEL: 022315 FRAME: 0398

#### OMNIBUS ASSIGNMENT AND ASSUMPTION OF INTELLECTUAL PROPERTY RIGHTS AGREEMENT

This Agreement is made as of and with effect from the 1<sup>st</sup> day of July, 2007 (the "Effective Date")

between:

### **ROBARTS RESEARCH INSTITUTE,**

a company incorporated under the laws of Canada (hereinafter "Robarts")

of the First Part

### THE UNIVERSITY OF WESTERN ONTARIO,

Incorporated under the laws of the Province of Ontario (hereinafter "Western")

of the Second Part

**WHEREAS** the parties have entered into a Memorandum of Agreement dated April 20, 2007 whereby Robarts shall assign to Western, and Western shall take an assumption of, certain rights, benefits and obligations in connection with the assets and operations of Robarts;

**AND WHEREAS** certain assets of Robarts include intellectual property rights, including various technologies, patent applications as well as issued patents, amongst other matters;

**AND WHEREAS** Robarts wishes to assign to Western the rights, benefits and obligations in connection with the patent applications and issued patents (hereinafter referred to as the "Patent Rights") as more particularly described on Schedule A hereto,

**NOW THEREFORE** in consideration of the mutual covenants and promises set out herein, the parties agree as follows:

- 1. The Recitals here and before set out are true in substance and in fact.
- 2. Robarts hereby assigns to Western as of the Effective Date all of its right, title and interest in and to the Patent Rights, in consideration of the assumption by Western of all obligations arising with respect to the Patent Rights.
- 3. Western hereby assumes as of the Effective Date, all obligations in connection with the Patent Rights as assigned, and is thereafter entitled to all rights, benefits and entitlements arising from or in connection with the Patent Rights.
- 4. Robarts warrants and represents to Western that all applications, prosecutions and obligations with respect to the Patent Rights are in good standing or paid in full, as the case may be as at the Effective Date.

Omnibus Assignment and Assumption Agreement.Bhatia.Geron

PATENT REEL: 022315 FRAME: 0399

- 5. Each of Robarts and Western shall cooperate one with the other to provide all necessary and reasonable assurances as may be required to give effect to the assignment of the Patent Rights and the assumption thereof of Western, including any registration or filings with the appropriate patent offices.
- This Agreement shall be governed by and construed in accordance with the laws of the Province of Ontario.
- Each of the parties warrants and represents to the other that it has the authority and legal capacity to enter into this Agreement, and upon the execution and delivery thereof this. Agreement shall be valid, legal and binding in accordance with its terms.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of and with effect from the Effective Date.

#### ROBARTS RESEARCH INSTITUTE

By:

Name: Yohn MacDonald Title: Scientific Director (Phave authority to bind Robarts)

#### THE UNIVERSITY OF WESTERN ONTARIO

By:

Name: Paul Paolatto Title: Director, WORLDiscoveries at Western

(I have authority to bind the University)

## SCHEDULE "A"

## <u>Active Bhatia Portfolio – Embryonic Stem Cell</u> United States and Foreign Patent Applications and Issued Patents

Robarts ID	Title	Matter Type	Country	Status	Serial#
07/12/01-MB- 01	Hematopoietic Cells from Human Embryonic Stem Cells	Prov - ORG	United States of America	Complete d	60/338,9 79
07/12/01-MB- 01	Reconstructing Hematopoietic Cell Function Using Human Embryonic Stem Cells	Utility -	United States of America	Pending	10/862,6 25
24/09/04-MB- 01	Using Undifferentiated Embryonic Stem Cells to Control the Immune System	Utility - CIP	United States of America	Pending	10/949,7 02
07/12/01-MB- 01	Hematopoietic Cells from Human Embryonic Stem Cells	Utility - ORG	PCT	Complete d	РСТ/US02 /39091
07/12/01-MB- 01	Hematopoietic Cells from Human Embryonic Stem Cells	Utility - NSPCT	Australia	Pending	20023666 03
07/12/01-MB- 01	Hematopoietic Cells from Human Embryonic Stem Cells	Utility - NSPCT	Canada	Pending	2,469,48 3
07/12/01-MB- 01	Hematopoietic Cells from Human Embryonic Stem Cells	Utility - NSPCT	China	Pending	2827064. 9
07/12/01-MB- 01	Hematopoietic Cells from Human Embryonic Stem Cells	Utility - NSPCT	European Patent Office	Pending	2804740. 5
07/12/01-MB- 01	Hematopoietic Cells from Human Embryonic Stem Cells	Utility - NSPCT	United Kingdom	Issued	414957.1
07/12/01-MB- 01	Hematopoietic Cells from Human Embryonic Stem Cells	Utility - NSPCT	Israel	Pending	162130
07/12/01-MB- 01	Hematopoietic Cells from Human Embryonic Stem Cells	Utility - NSPCT	Japan	Pending	2003- 551273
07/12/01-MB- 01	Hematopoietic Cells from Human Embryonic Stem Cells	Utility - NSPCT	Republic of Korea	Pending	2004- 7008756
07/12/01-MB- 01	Hematopoietic Cells from Human Embryonic Stem Cells	Utility - NSPCT	Singapore	Issued	20040334 1-1
07/12/01-MB- 01	Hematopoietic Cells from Human Embryonic Stem Cells	Utility - CONF	Hong Kong	Pending	5108064. 9
07/12/01-MB- 01	Use of Undifferentiated Embryonic Stem Cells To Induce Immune Tolerance and Improve Allograft Acceptance	Utility - DIV	United Kingdom	Issued	503865.8

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**RECORDED: 02/26/2009**