

RECORDATION FORM COVER SHEET PATENTS ONLY

Attorney Docket U 017626-7

To the Director of Patents and Trademarks: Please record the attached document copy
(with signed English translation if needed).

1. Name of conveying party(ies):

1. Jin Woo LEE
2. Jai Wook SHIN
3. Kyoung Cheol HONG

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party (ies):

Name: VOLVO CONSTRUCTION EQUIPMENT
HOLDING SWEDEN AB

Address: SE631 85 ESKILSTUNA SWEDEN

3. Nature of conveyance:

- | | |
|---|--|
| <input type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Joint Research Agreement | <input type="checkbox"/> Change of Address * |
| <input type="checkbox"/> Government Interest Assignment | (old address above) |
| <input type="checkbox"/> Executive Order 9424, Confirmatory License | * may be by attorney |

☒ **Corrective Assignment to correct name of conveying party(ies)
previously recorded on February 20, 2008 at Reel: 022286 Frame: 0875**

Execution Date(s):
 1. 12th February 2009
 2. 12th February 2009
 3. 12th February 2009

Additional name(s) & addresses(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s)

☐ Being filed together with a new application.

☒ Patent Application No.(s): 12/389,395 / Filed: February 20, 2009
Confirmation No.: 3188

☐ Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John Richards

Address: Ladas & Parry LLP
26 West 61st Street
New York, N.Y. 10023
(fax) (212) 246-8959

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) 1 @ \$40.00 each

☐ Check Enclosed

☒ **Authorized to be charged in whole or in part to:**

8. Deposit account number: 12-0425

9. Signature:

John Richards

Name of Person Signing


Signature

February 26, 2009

Date

Total number of pages including coversheet, attachments, and documents:

7

PATENT

(Assignment Document) Cover Sheet page 1 of 1

REEL: 022325 FRAME: 0891

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CH \$40.00 120425 12389395

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Fax Server

:GERALDINE MARTI COMPANY:26 WEST 61ST STREET

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.102/20/2009
500786470

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Man Suk JEON	02/12/2009
RECEIVING PARTY DATA	
Name:	VOLVO CONSTRUCTION EQUIPMENT HOLDING SWEDEN AB
Street Address:	SE-631
City:	85 ESKILSTUNA
State/Country:	SWEDEN
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12389395
CORRESPONDENCE DATA	
Fax Number:	(212)246-8825
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	212-708-1803
Email:	martig@ladas.com
Correspondent Name:	Geraldine Marti
Address Line 1:	26 West 61st Street
Address Line 4:	New York, NEW YORK 10023
ATTORNEY DOCKET NUMBER:	U 017626-7
NAME OF SUBMITTER:	John Richards
Total Attachments: 3 source=4FL-IR5570-Exchange-02202009-102707#page1.tif source=4FL-IR5570-Exchange-02202009-102707#page2.tif source=4FL-IR5570-Exchange-02202009-102707#page3.tif	

CH \$40.00 12389395

Practitioner's Docket No. U 017626-7**PATENT**

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☐ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

(type or print name of inventors)

Jin Woo LEE

Address: 406-606 Buyoung Green Town 7 cha, Jellmimaaul,
Sammun-ri, Jangyu-myeon, Kimhae-city, Republic of Korea

Nationality: Republic of Korea

Jai Wook SHIN

Address: Ga-317, Volvo Dormitory, 33-1 Shinchon-dong,
Changwon, Republic of Korea

Nationality: Republic of Korea

Kyoung Cheol HONG

Address: Changjodong-211, Volvo Dormitory, 33-1
Shinchon-dong, Changwon, Republic of Korea

Nationality: Republic of Korea

Added page(s) for signature by subsequent inventors. Number of pages added



*(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)*

Recorded on _____

Reel
Frame

hereby sells, assigns and transfers to
ASSIGNEE

Volvo Construction Equipment Holding Sweden AB

SE-631 85 Eskilstuna Sweden

(type or print name of ASSIGNEE)

Address

Sweden

Nationality _____

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____ %) right, title and interest
 for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- ☐ and in all foreign countries, including all rights to claim priority, in and to any and all
 improvements which are disclosed in the invention entitled:

UPPER FRAME FOR CONSTRUCTION EQUIPMENT

Name of inventor(s) Jin Woo LEE, Jai Wook SHIN, Kyoung Cheol HONG

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

- (a) ☐ Not yet filed U.S. patent application executed on even date herewith
 (b) ☐ Not yet filed U.S. patent application executed on _____
 (c) ☐ Not yet filed U.S. provisional application naming the above inventor(s) for the
 above-entitled invention
☐ To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an
 ASSIGNOR signing below, hereby authorize and request my attorney
 to insert below the filing date and application number when they
 become known.
 (d) ☐ U.S. patent or provisional patent application no. _____
 filed on _____
 (e) ☐ International application no. PCT/_____/_____
 (f) ☐ U.S. patent no. _____ issued
☐ A change of address to which correspondence is to be sent regarding
 patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Jin Woo LEE
(type name of Assignor)

Jin Woo Lee
Signature of ASSIGNOR

this 12th of Feb., 2009
date of signing

Jai Wook SHIN
(type name of Assignor)

Jai Wook Shin
Signature of ASSIGNOR

this 12th of Feb., 2009
date of signing

Kyoung Cheol HONG
(type name of Assignor)

Kyoung Cheol Hong
Signature of ASSIGNOR

this 12th of Feb., 2009
date of signing

(if ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.