

02-27-2009



U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

R

103550295
PATENTS ONLY

Attorney Docket No.: 2713-1-070PCT/US

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Geoffrey Paul SMITH

Execution Date(s) February 20, 2008

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: SOLEXA LIMITED

Internal Address: _____

Street Address: Chesterford Research Park,

Little Chesterford, Nr. Saffron Walden, Essex,

CB10 1XL

City: _____

State: _____

Country: Great Britain Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

11/989,172

☐ This document is being filed together with a new application.
B. Patent No.(s)

FEB 26 2009

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Sarah J. Fashena, Ph.D.

Internal Address: _____

Street Address: KLAUBER & JACKSON
411 HACKENSACK AVE., 4TH FLOOR

City: HACKENSACK

State: NJ Zip: 07601

Phone Number: 201-487-5800

Fax Number: 201-343-1684

Email Address: Info@KJIPLAW.COM

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

02/26/2009 NJAMA1 00000036 1198917e

Authorized User Name _____

9. Signature:

Sarah J. Fashena
Signature

Sarah J. Fashena, Ph.D., Reg. No. 57,600

Name of Person Signing

February 23, 2009

Date

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

WHEREAS, WE, **Geoffrey Paul SMITH**, a citizen of Great Britain, c/o
Solexa Limited, Chesterford Research Park, Little
Chesterford, Nr. Saffron, Walden, Essex CB10 1XL, Great Britain;

have invented certain new and useful improvements in

METHOD FOR SEQUENCING A POLYNUCLEOTIDE TEMPLATE

for which we have filed an Application for Letters Patent in the United States on
January 23, 2008, and was accorded U.S. Serial No. 11/989,172 ; and

WHEREAS, **SOLEXA LIMITED**
with an office at
Chesterford Research Park, Little Chesterford,
Nr. Saffron Walden, Essex CB10 1XL
Great Britain

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said
improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which
is hereby acknowledged, I the said

Geoffrey Paul SMITH

has sold, assigned, transferred and set over, and by these presents do hereby sell, assign,
transfer and set over, to the said

SOLEXA LIMITED,

its successors, legal representatives and assigns, the entire right, title and interest in, to and
under the said improvements, and the said application and all divisions, renewals, and
continuations thereof, and all Letters Patent of the United States which may be granted thereon
and all reissues and extensions thereof, and all applications for Letters Patent which may
hereafter be filed for said improvements in any country or countries foreign to the United
States, including the right to claim priority under the terms of any appropriate International
Convention based upon said application for Letters Patent of the United States, and all Letters
Patent which may be granted from said improvements in any country or countries foreign to
the United States and extensions, renewals and reissues thereof; and we hereby authorize and
request the Commissioner of Patents and Trademarks of the United States and any official of
any country or countries foreign to the United States, whose duty is to issue patents on
applications as aforesaid, to issue all Letters Patent for said improvements to the said

SOLEXA LIMITED,

it successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that we will communicate to the said

SOLEXA LIMITED,

its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

SOLEXA LIMITED,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Date 20 Feb 08



Geoffrey Paul SMITH L.S.