

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;">Name</th> <th style="width: 30%;">Execution Date</th> </tr> </thead> <tbody> <tr> <td>Hazel H. Szeto</td> <td>07/16/2004</td> </tr> <tr> <td>Kesheng Zhao</td> <td>07/16/2004</td> </tr> </tbody> </table>		Name	Execution Date	Hazel H. Szeto	07/16/2004	Kesheng Zhao	07/16/2004						
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RECEIVING PARTY DATA													
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Name:</td> <td>Cornell Research Foundation, Inc.</td> </tr> <tr> <td>Street Address:</td> <td>Cornell University - CCTEC</td> </tr> <tr> <td>Internal Address:</td> <td>395 Pine Tree Road, Suite 310</td> </tr> <tr> <td>City:</td> <td>Ithaca</td> </tr> <tr> <td>State/Country:</td> <td>NEW YORK</td> </tr> <tr> <td>Postal Code:</td> <td>14850</td> </tr> </table>		Name:	Cornell Research Foundation, Inc.	Street Address:	Cornell University - CCTEC	Internal Address:	395 Pine Tree Road, Suite 310	City:	Ithaca	State/Country:	NEW YORK	Postal Code:	14850
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CORRESPONDENCE DATA													
<p>Fax Number: (608)258-4258 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 6082584341 Email: ptomailmadison@foley.com</p> <p>Correspondent Name: Jason R. Dinges Address Line 1: Foley & Lardner LLP Address Line 2: P.O. Box 1497 Address Line 4: Madison, WISCONSIN 53701-1497</p>													
ATTORNEY DOCKET NUMBER:	093873-0107												
NAME OF SUBMITTER:	Jason R. Dinges												
<p>Total Attachments: 3 source=Szeto-ZhaoAssignment#page1.tif</p>													

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PATENT
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For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

<u>Hazel H. Szeto</u>	<u>Kesheng Zhao</u>
Name	Name
<u>50 East 89th Street, Apt. 25A</u>	<u>33-04 93rd Street, Apt. 4X</u>
Address	Address
<u>New York, NY 10128</u>	<u>Jackson Heights, NY 11372</u>
<u>USA</u>	<u>China</u>
Nationality	Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____ Reel _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

Cornell Research Foundation, Inc.
(Type or print name of ASSIGNEE)

418 E. 71st Street, Suite 61
Address

New York, New York 10021

USA
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

METHODS FOR PREVENTING MITOCHONDRIAL PERMEABILITY TRANSITION

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
☐ Express Mail label No.: _____, mailed: _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. application Serial No. 10/771,232 filed on February 3, 2004 and U.S. provisional applications 60/444,777 filed February 4, 2003 and 60/535,690 filed January 8, 2004.
(e) ☐ International application No. PCT/_____/_____
(f) ☐ U.S. Patent No. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said

Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X 7/16/04
Date of signing

X Hazel H. Szeto
Hazel H. Szeto

Date: X 7/16/04
Date of signing

X Kesheng Zhao
Kesheng Zhao

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.