

03-03-2009

ET

To the Director of the U.S. Patent

103550552

documents or the new address(es) below.

**1. Name of conveying party(ies)**

Thomas A. Brosowski  
Aaron J. Weber  
Scott A. Snoble  
Thomas L. Atwell  
Joseph R. Derk

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 2-17-09;2-16-09;2-18-09;2-18-09;2-16-09

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

**2. Name and address of receiving party(ies)**

Name: Caterpillar, Inc.

Internal Address:

Street Address: 100 NE Adams

City: Peoria

State: IL

Country: US Zip: 61629

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☒ This document is being filed together with a new application.  
A. Patent Application No.(s)  
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Michael B. McNeil

Internal Address:

Street Address: 511 S. Madison St.

PO Box 2417

City: Bloomington

State: IN Zip: 47402

Phone Number: 812 333-5355

Fax Number: 812 333-3173

Email Address:

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$40**

- ☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number 500226

Authorized User Name Jonathan F. Yates

**9. Signature:**

*Jonathan F. Yates*  
Signature

Date

2-20-09

Jonathan F. Yates  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

6

Documents to be recorded (including cover sheet) should be faxed to 01700/2809149 or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450  
01 FC:8021 40.00 OP

# ASSIGNMENT

WHEREAS, Thomas A. Brosowske, residing at Peoria, Illinois, hereinafter referred to as ASSIGNOR has invented together with Joseph R. Derk, Thomas ~~A.~~ Atwell, Aaron J. Weber and Scott A. Snoble a new and useful

## COMPLIANT GEAR ASSEMBLY, ENGINE AND GEAR TRAIN OPERATING METHOD

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 2-17-09; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Thomas A. Brosowske  
THOMAS A. BROROWSKE

FEB 17, 2009  
Date

IN WITNESS OF the execution hereof by THOMAS A. BROROWSKE we have hereunto set our hands.

Burt Ann 2/17/2009  
Witness (1) Date  
Address: 400 S Market St  
Washington, IL 61571

K. K. Kumar 02/17/09  
Witness (2) Date  
Address: 5813 N Astorwood Dr. S104  
Peoria, IL 61615

# ASSIGNMENT

WHEREAS, Aaron J. Weber, residing at Morton, Illinois, hereinafter referred to as ASSIGNOR has invented together with Scott A. Snoble, Thomas A. Brosowske, Joseph R. Derk and Thomas ~~A~~<sup>L</sup> Atwell a new and useful

## COMPLIANT GEAR ASSEMBLY, ENGINE AND GEAR TRAIN OPERATING METHOD

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 2-16-09; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

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1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Aaron J. Weber  
AARON J. WEBER

2/16/09  
Date

IN WITNESS OF the execution hereof by AARON J. WEBER we have hereunto set our hands.

David G. Duda 16 Feb 09  
Witness (1) Date  
Address: 1231 Oak Leaf Ln  
Washington IL 61571

Anthony Moutt 16 Feb 09  
Witness (2) Date  
Address: 1551 W. Teton Dr.  
Peoria, IL 61614

ASSIGNMENT

WHEREAS, Scott A. Snoble, residing at Pekin, Illinois, hereinafter referred to as ASSIGNOR has invented together with Thomas A. Brosowske, Joseph R. Derk, Thomas A. L. Atwell and Aaron J. Weber a new and useful

COMPLIANT GEAR ASSEMBLY, ENGINE AND GEAR TRAIN OPERATING METHOD

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 2-18-09; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

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3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Scott A. Snoble  
SCOTT A. SNOBLE

18 Feb 2009  
Date

IN WITNESS OF the execution hereof by SCOTT A. SNOBLE we have hereunto set our hands.

Thomas J. Crowell 18 Feb 2009  
Witness (1) Date  
Address: 710 Devon Dr.  
Metamora IL 61548

Steven J. Zinke 18 Feb 2009  
Witness (2) Date  
Address: 7514 W. Thornridge Dr.  
Mapleton IL 61547

ASSIGNMENT

WHEREAS, Thomas L. Atwell, residing at Peoria, Illinois, hereinafter referred to as ASSIGNOR has invented together with Aaron J. Weber, Scott A. Snoble, Thomas A. Brosowski and Joseph R. Derk a new and useful

COMPLIANT GEAR ASSEMBLY, ENGINE AND GEAR TRAIN OPERATING METHOD

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 2-18-09; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

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4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Thomas L. Atwell  
THOMAS L. ATWELL

2-18-2009  
Date

IN WITNESS OF the execution hereof by THOMAS A. ATWELL we have hereunto set our hands.

Mark Stollen 2-18-2009  
Witness (1) Date  
Address: 905 Dean's Dr  
Eureka, IL 61530

Bill Kandler  
Witness (2) Date  
Address: 1709 McKinley St  
Ann Arbor, MI 48106

# ASSIGNMENT

WHEREAS, Joseph R. Derk, residing at Morton, Illinois, hereinafter referred to as ASSIGNOR has invented together with Thomas ~~A.~~<sup>L.</sup> Atwell, Aaron J. Weber, Scott A. Snoble and Thomas A. Brosowske a new and useful

## COMPLIANT GEAR ASSEMBLY, ENGINE AND GEAR TRAIN OPERATING METHOD

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 2-16-09; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

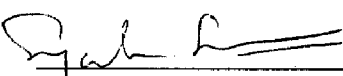
4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

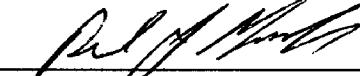
IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

  
\_\_\_\_\_  
JOSEPH R. DERK

2-16-09  
\_\_\_\_\_  
Date

IN WITNESS OF the execution hereof by JOSEPH R. DERK we have hereunto set our hands.

  
\_\_\_\_\_  
Witness (1)                      Date  
Address: SYAMALA SRINIVASAN  
6607 N. CAMELOT ROAD  
PEORIA, IL 61615

  
\_\_\_\_\_  
Witness (2)                      Date  
Address: 2 Laurel Ct  
Washington IL 61571  
Paul J Mauschaugh

05-818.1