# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Onorato Campopiano	03/03/2009
Emily Mundorff	02/13/2009
Birthe Borup	03/04/2009
Rama Voladri	03/04/2009

#### **RECEIVING PARTY DATA**

Name:	Codexis, Inc.
Street Address:	200 Penobscot Drive
City:	Redwood City
State/Country:	CALIFORNIA
Postal Code:	94063

### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12243968

#### **CORRESPONDENCE DATA**

Fax Number: (650)813-4848

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 650-813-4800

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Correspondent Name: Dechert LLP
Address Line 1: PO Box 390460

Address Line 4: Mountain View, CALIFORNIA 94039-0460

ATTORNEY DOCKET NUMBER:	376247-022US (388443)
NAME OF SUBMITTER:	Ann M. Pease

**Total Attachments: 6** 

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PATENT REEL: 022353 FRAME: 0446

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#### ASSIGNMENT

WHEREAS, the undersigned,

Serial No. 12/243,968

Onorato CAMPOPIANO, resident of 24733 Garwood Glen Drive, Hayward, CA 94541, U.S.A; Emily MUNDORFF, resident of 2612 Belmont Canyon Road, Belmont, CA 94002 U.S.A,; Birthe BORUP, resident of 10 Gopeng Street, #26-13, Singapore 078878; Rama VOLADRI, resident of 940 Cameron Circle, Milpitas, CA 95035, U.S.A;

("referred to as "Inventor") have invented certain new and useful improvements in "KETOREDUCTASE POLYPEPTIDES FOR THE PRODUCTION OF AZETIDINONE" and have executed an application for U. S. Application having Serial No. 12/243,968, filing date of October 1, 2008, and

WHEREAS, Codexis, Inc. (hereinafter termed "Assignee"), a corporation of the State of Delaware, having a place of business at 200 Penobscot Drive, Redwood City, CA 94063, desires to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration, receipt and sufficiency of which is acknowledged by said Inventor to have been received in full from said Assignee:

- 1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- 2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other

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papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his/her respective heirs, legal representatives and assigns.
- 4. Said Inventor hereby warrants and represents that he/she has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventor has executed and delivered this instrument to said Assignee.

Date 3-Mar-2009 By: Onorato CAMPOPIANO

On this 3rd day of March, in the year 2009, before me, Angelina M. Hot Notary Public, personally appeared Onorato CAMPOPIANO who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her-signature on the instrument, the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Seal



Signature Ungelina M. Klock
(Notary Public)

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Date 2/13/09 By	Emily MUNDORFF
of satisfactory evidence to be the person instrument, and acknowledged to me that he	ar 2004, before me Macing M. Hook.  MUNDORFF, who proved to me on the basis of whose name is subscribed to the within esshe executed the same in his/her authorized the instrument, the person or the entity upon the instrument.
WITNESS my hand and official seal.	Seal

ANGELINA M. HOOK

Commission # 1824423
Notary Public - California
San Mateo County
My Comm. Expires Dec 16, 2012

Date March 4, 2009	By: Buth Bang Birthe BORUP
Witness See attach	ed certificate
Witness	
Date	By:
County of,) SS. State of)	
me on the basis of satisfactory evidence to within instrument, and acknowledged to	, in the year 200, before me,
WITNESS my hand and official seal.	Seal
Signature(Notary Public)	

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## CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT State of California County of SanMateo On Narch 4, 2009 before me, Angelina M. Hook, Not ary Put personally appeared Birthe Borup who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their-authorized capacity(ies), and that-by his/her/their signature(s) on the ANGELINA M. HOOK instrument the person(s), or the entity upon behalf of Commission # 1824423 Notary Public - California which the person(s) acted, executed the instrument. San Mateo County Comm. Expires Dec 16, 2012 I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct. WITNESS my hand and official seal. Place Notary Seal Above **OPTIONAL** Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document. **Description of Attached Document** Description of Attached Document Title or Type of Document: Assignment, Attorney Docket No: 376247-02205 (388443) Document Date: Filing Date of 10/1/2008 for App Number of Pages: 4 Signer(s) Other Than Named Above: Campopiano, Mundorff, Voladri (not signed on this copy) Capacity(ies) Claimed by Signer(s) Signer's Name: Birthe Borup Signer's Name:\_ □ Individual √ Individual ☐ Corporate Officer — Title(s): ☐ Corporate Officer — Title(s): □ Partner — □ Limited □ General ☐ Partner — ☐ Limited ☐ General ☐ Attorney in Fact ☐ Attorney in Fact Top of thumb here Top of thumb here ☐ Trustee □ Trustee ☐ Guardian or Conservator Guardian or Conservator ☐ Other: □ Other: Signer Is Representing:\_ Signer Is Representing:

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Date B	v:
	Birthe BORUP
****	
Witness	-
Witness	· -
1.11.	0. 10=00
Date 03 04 09 By	Rama VOLADRI
County of San Mateo	
County of <u>San Mateo</u> ,) State of <u>California</u> ) SS.	
On this 4th day of March	in the year 2009, before me, Angel na M. appeared Rama VOLADRI, who proved to
me on the basis of satisfactory evidence to b	appeared Rama VOLADRI, who proved to be the person whose name is subscribed to the
	me that he/she executed the same in his/her, gnature on the instrument, the person or the
entity upon behalf of which the person acted	
WITNESS my hand and official seal.	Seal
•	
1. 1	ANGELINA M. HOOK
Signature Un gelina M. Hoyle (Notary Public)	Commission # 1824423 Notary Public - California
(Notary Phone)	San Mateo County My Comm. Expires Dec 16, 2012
	The volume could be to, 2012

Serial No. 12/243,968

**RECORDED: 03/05/2009** 

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