# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
Zhoutao Chen	02/12/2009
Brian Christopher Godwin	03/03/2009
Gianni Calogero Ferreri	02/24/2009
David Roderick Riches	02/24/2009

#### RECEIVING PARTY DATA

Name:	454 Life Sciences Corporation
Street Address:	20 Commercial Street
City:	Branford
State/Country:	CONNECTICUT
Postal Code:	06405

#### PROPERTY NUMBERS Total: 6

Property Type	Number
Application Number:	12322119
Application Number:	61026319
Application Number:	11448462
Application Number:	60688042
Application Number:	60717964
Application Number:	60771818

### **CORRESPONDENCE DATA**

Fax Number: (212)983-3115

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 212-935-3000

Email: sabelardo@mintz.com

Correspondent Name: Mintz Levin Cohn Ferris Glovsky et al.

Address Line 1: 666 Third Avenue, 24th Floor

PATENT REEL: 022355 FRAME: 0509

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10.00

Address Line 4: New York, NEW YORK 10017	
ATTORNEY DOCKET NUMBER: 21465-537001US	
NAME OF SUBMITTER:	Michelle A. Iwamoto

#### **Total Attachments: 18**

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RECORDATION FORM COVER SHEET PATENTS ONLY			
FORM PTO-1595 (Rev. 6-93) OMB No. 0651-0011 (exp. 4/94)			J.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
Plea	ase record the attached or	riginal documents or copy thereof.	
1. Name of conveying party(ies): Execut	tion Date:	2. Name and address of receiving party(	ies)
Brian Christopher Godwin Marc Gianni Calogero Ferreri Febru	uary 12, 2009 ch 3, 2009 uary 24, 2009 uary 24, 2009	454 Life Sciences Corporation 20 Commercial Street Branford, Connecticut 06405	
Additional name(s) of conveying party(ies) attack	ched? 🗌 Yes 🖾 No	Additional name(s) & address(es) attach	ed? ☐ Yes ☒ No
3. Nature of conveyance:			
	lerger hange of Name		
4. Application number(s) or patent number(s):			
If this document is being filed together with a ne	ew application, the execution d	late of the application is	_
A. Patent Application No(s):		B. Patent No(s):	
12/322,119, filed January 28, 2009 61/026,319, filed February 5, 2008 11/448,462, filed June 6, 2006 60/688,042, filed June 6, 2005 60/717,964, filed September 16, 2005 60/771,818, filed February 8, 2006			
	Additional numbers at	tached? Yes No	
5. Name and address of party to whom correspondence of the concerning document should be mailed:  Name: Ivor R. Elrifi, Esq. Address: MINTZ, LEVIN, COHN, FERGLOVSKY and POPEO, P.C. 666 Third Avenue, 24th Floor New York, New York 10017 Telephone: (212) 935-3000 Telefax: (212) 983-3115 Customer No. 35437	RRIS	<ul> <li>Total number of applications and pate</li> <li>Total fee (37 CFR 3.41) \$240.00</li> <li>☑ Charge to Deposit Account 50-03</li> <li>☑ The Commissioner is authorized the Reference No. 21465-537001US</li> </ul>	11. o charge Deposit Account No: 50-0311,
	DO NOT U	SE THIS SPACE	
9. Statement and signature To the best of my knowledge and belief, the fore	egoing information is true and c	correct and any attached copy is a true copy o	of the original document.
Michelle A. Iwamoto, Reg. No. 55,296	Muchelle &	1 Juanos	March 6, 2009
Name of Person Signing	Signatu	ire	Date
Total number of pages including cover sheet, attachments, and document: (18 pages total, including four counterparts)			

Mail documents to be recorded with required cover sheet information to:

MAIL STOP ASSIGNMENT RECORDATION SERVICES Director of the United States Patent and Trademark Office P. O. Box 1450; Alexandria, VA 22313-1450

I, Zhoutao CHEN, Brian Christopher GODWIN, Gianni Calogero FERRERI and David Roderick RICHES, the undersigned, for good and valuable consideration, the receipt of which is hereby acknowledged, hereby assign, sell and transfer to 454 Life Sciences Corporation, a Delaware corporation, with offices at 20 Commercial Street, Branford, Connecticut 06405, and its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE: (1) our entire right, title and interest for the United States and in all countries, in and to any and all inventions, discoveries and applications which are disclosed in the application for United States Letters Patent entitled:

# PAIRED END SEQUENCING

filed with the U.S. Patent and Trademark Office on **January 28, 2009** 1 and assigned , including any subsequently filed utility applications, which Serial No. 12/322,119 claim priority to U.S. Provisional Patent Application Serial No. 61/026,319, filed on February 5, 2008, U.S. Patent Application Serial No. 11/448,462 filed June 6, 2006, U.S. Provisional Patent Application Serial No. 60/688,042, filed June 6, 2005, U.S. Provisional Patent Application Serial No. 60/717,964, filed September 16, 2005 and U.S. Provisional Patent Application Serial No. 60/771,818, filed February 8, 2006, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of the ASSIGNEE, its designee, or in my/our names at the ASSIGNEE, or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any country, and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

I hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to the ASSIGNEE of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

Any attorney or agent of record and any registered attorney or agent of Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C., Chrysler Center, 666 Third Avenue, New York, NY 10017, Telephone No. (212) 935-3000, is hereby authorized to insert, when known.

I further agree at any time to execute and to deliver upon request of the ASSIGNEE such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of the ASSIGNEE under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

Date: 2/12/2009	Signature of: Zhoutao Chen
On this day of public, personally appeared satisfactory evidence of identificati the person whose name is signed	
	the document are truthful and accurate to the best of (his)(her)
Notary Public My commission expires:	<u> </u>

# **CALIFORNIA JURAT WITH AFFIANT STATEMENT**

See Attached Document (Notary to cross out lines 1–6 below)  See Statement Below (Lines 1–5 to be completed only by document signer[s], <i>not</i> Notary)		
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3		
4		
<u> </u>		
Signature of Document Signer No. 1	Signature of Document Signer No. 2 (if any)	
State of California		
KATHLEEN T. MCCOY Commission # 1587965 Notary Public - California San Diego County My Comm. Expires Jun 17, 2009	Subscribed and sworn to (or affirmed) before me on this    2	
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Si	gnature of: Brian Christopher Godwin
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Notary Public My commission expires:	
Date:	gnature of: Gianni Calogero Ferreri
satisfactory evidence of identification, which we the person whose name is signed on the preaffirmed to me that the contents of the docume	2009, before me, the undersigned notary, proved to me through were, to be ceding or attached document, and who swore or nt are truthful and accurate to the best of (his)(her)
knowledge and belief.  Notary Public  My commission expires:	·

Date:		Signature of: David Roderick Ri	ches
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My commiss	ion exnires:		

I, Zhoutao CHEN, Brian Christopher GODWIN, Gianni Calogero FERRERI and David Roderick RICHES, the undersigned, for good and valuable consideration, the receipt of which is hereby acknowledged, hereby assign, sell and transfer to 454 Life Sciences Corporation, a Delaware corporation, with offices at 20 Commercial Street, Branford, Connecticut 06405, and its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE: (1) our entire right, title and interest for the United States and in all countries, in and to any and all inventions, discoveries and applications which are disclosed in the application for United States Letters Patent entitled:

### PAIRED END SEQUENCING

filed with the U.S. Patent and Trademark Office on <u>January 28, 2009</u> and assigned Serial No. <u>12/322,119</u>, including any subsequently filed utility applications, which claim priority to U.S. Provisional Patent Application Serial No. 61/026,319, filed on February 5, 2008, U.S. Patent Application Serial No. 11/448,462 filed June 6, 2006, U.S. Provisional Patent Application Serial No. 60/688,042, filed June 6, 2005, U.S. Provisional Patent Application Serial No. 60/717,964, filed September 16, 2005 and U.S. Provisional Patent Application Serial No. 60/771,818, filed February 8, 2006, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of the ASSIGNEE, its designee, or in my/our names at the ASSIGNEE, or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any country, and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

I hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to the ASSIGNEE of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

Any attorney or agent of record and any registered attorney or agent of Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C., Chrysler Center, 666 Third Avenue, New York, NY 10017, Telephone No. (212) 935-3000, is hereby authorized to insert, when known.

I further agree at any time to execute and to deliver upon request of the ASSIGNEE such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of the ASSIGNEE under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

Date:	Signature of: Zhoutao Chen
	, proved to me through
Notary Public My commission expires:	

Date: 03 - March - 2009	Rolling
	Signature of: Brian Christopher Godwin
public, personally appeared Reign Chrisatisfactory evidence of identification, whethe person whose name is signed on the affirmed to me that the contents of the doknowledge and belief.	2009, before me, the undersigned notary proved to me through inch were 454 Company Picture 10 Banger to be e preceding or attached document, and who swore or cument are truthful and accurate to the best of (his)(her)  LINDA S. BURTON Notary Public-Connecticut My Commission Expires December 31, 2009
Date:	
	Signature of: Gianni Calogero Ferreri
On this day of public, personally appeared satisfactory evidence of identification, wh the person whose name is signed on the affirmed to me that the contents of the doc knowledge and belief.	
Notary Public	· ·
My commission expires:	

Date:		Signature of: David Roderick Riches
		Signature or. David Roueriek Riches
On this	day of	2009, before me, the undersigned nota
public, personally	y appeared	, proved to me throu
satisfactory evide	ence of identification	n, which were , to
the person whos	e name is signed	on the preceding or attached document, and who swore
affirmed to me th	at the contents of t	he document are truthful and accurate to the best of (his)(he
knowledge and b	elief.	
Notary Public		
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Date:	Signature of: Zhoutao Chen
Notary Public My commission expires:	

Date:	
Sig	nature of: Brian Christopher Godwin
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Notary Public My commission expires:	
Date: 24 - Feb - 2009 (Sig	pature of: Gianni Calogero Ferreri
affirmed to me that the contents of the document knowledge and belief.	2009, before me, the undersigned notary gero Ferrer, proved to me through the 4540 mpany Photo 10 1340 ct, to be eding or attached document, and who swore or t are truthful and accurate to the best of (his)(her)
Notary Public My commission expires: December 31	
My commission expires: December 31	<u>, 2009</u>

LINDA S. BURTON
Notary Public-Connecticut
My Commission Expires
December 31, 2009

public, personally appeared satisfactory evidence of identification, which were the person whose name is signed on the preceding or attached documents.	
satisfactory evidence of identification, which were the person whose name is signed on the preceding or attached documents.	e, the undersigned notary , proved to me through
the person whose name is signed on the preceding or attached documents	, proved to me unough, to be
affirmed to me that the contents of the document are truthful and accura	ment, and who swore or
knowledge and belief.	
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Notary Public My commission expires:	

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Date:		Signature of: Zhoutao Chen
•	opearede of identification, ame is signed on the contents of the	
Notary Public My commission exp	ires:	<u>.                                    </u>

Date:	Signature of: Brian Christopher Godwin
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Notary Public My commission expires:	
Date:	Signature of: Gianni Calogero Ferreri
public, personally appearedsatisfactory evidence of identification the person whose name is signed or	
Notary Public My commission expires:	· .

Date: 24 FEB 2009 Si	ignature of: David Roderick Riches
satisfactory evidence of identification, which we the person whose name is signed on the pre-	2009, before me, the undersigned notary proced to me through vere 454 company Photo ID Baoes, to be ceding or attached document, and who swore or ent are truthful and accurate to the best of (his)(her)
Sinds S. Burton	· 
Notary Public My commission expires: <u>Necember 3</u> )	2029
wiy commission expires. 1) & ('em bor 3)	<u>, 200</u> /
	LINDA S. BURTON Notary Public-Conns Out My Commission 300 es