# PATENT ASSIGNMENT

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 SUBMISSION TYPE:
 NEW ASSIGNMENT

 NATURE OF CONVEYANCE:
 ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
Douglas Miles	11/29/2008
Jacob Ginder	11/28/2008

## **RECEIVING PARTY DATA**

Name:	GLOBALPRIVATEQUITY.COM, INC.
Street Address:	Forrestal Village
Internal Address:	116 Village Blvd., Suite 200
City:	Princeton
State/Country:	NEW JERSEY
Postal Code:	08540

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12403895

#### **CORRESPONDENCE DATA**

Fax Number: (703)744-8001

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 703-744-8000

Email: bdonovan@pattonboggs.com

Correspondent Name: PATTON BOGGS LLP
Address Line 1: 8484 WESTPARK DRIVE

Address Line 2: SUITE 900

Address Line 4: MCLEAN, VIRGINIA 22102

ATTORNEY DOCKET NUMBER: 026046.0103N1US

NAME OF SUBMITTER: Matthew J. Laskoski

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#### ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made by Douglas Miles and Jacob Ginder (hereinafter referred to as Assignors), residing at 129 Poe Road, Princeton, New Jersey 08540 and 104 Hillcrest Road, Watchung, New Jersey 07069, respectively;

WHEREAS, Assignors have invented certain new and useful improvements in INTEGRATED TRADING INFORMATION PROCESSING AND TRANSMISSION SYSTEM FOR EXEMPT SECURITIES, set forth in Provisional application in the United States, already filed on June 3, 2004 as U.S. Application No. 60/576,428, a Patent application for Letters Patent of the United States, filed June 3, 2005 as application number U.S. 11/143,710 and International Patent Application No. PCT/US2006/21775 already filed on June 5, 2006; and

WHEREAS, GLOBALPRIVATEQUITY.COM, INC., a corporation organized under and pursuant to the laws of New Jersey having its principal place of business at Forrestal Village, 116 Village Blvd., Suite 200, Princeton, NJ 08540 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefore and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

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AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent abovementioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

AND Assignors hereby grant the following individuals the power to insert on this

Assignment any further identification which may be necessary or desirable in order to comply with
the rules of the United States Patent and Trademark Office for recordation of this document:

### PATTON BOGGS LLP

All practitioners at Customer Number 32042

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

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Oun las E. Miles

Douglas Miles

Date: HOV. 2	29.200	8			
United States of Amer	ica		)		
State of	NEW 3	ERSEY	) ss.:		
County of	MERCE		)		
On this 29 <sup>+n</sup>	day of	Nov.	,	2508.	, before me
personally came		Ouglas Miles		, to me known to	be the individual
described in and who	executed the	foregoing in	strument, a	nd acknowledged	execution
of the same.				Danuta	W. Kton
			Notar	<del>y</del> Public	

DANIUTA WIAKTOR Notary Public of New Jersey My Commission Expires Dec. 11, 2011

DANGTA WIAKTOR

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		Jacob Thindy			
Date: ///28	/2008	_	Jacob (	Ginder	
United States of Ameri	ca	)			
State of	New Jersey	) ss.:			
County of	Somerset				
On this 28th	_day of Noven	aber,	2008	, before me	
personally came	Jacob Gind	der	, to me known t	o be the individual	
described in and who e	xecuted the foregoing				
of the same.			O	· · · · · · · · · · · · · · · · · · ·	
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			OIN!		
		Notary	Public		

DINA W. ABDALLA NOTARY PUBLIC OF NEW JERSEY My Commission Expires 9/12/13

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**RECORDED: 03/13/2009**