# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: **NEW ASSIGNMENT** NATURE OF CONVEYANCE: **ASSIGNMENT** 

### **CONVEYING PARTY DATA**

Name	Execution Date
SPX Corporation	03/20/2009

### **RECEIVING PARTY DATA**

Name:	SPX Filtran LLC		
Street Address:	3515 Ballantyne Corporate Place		
Internal Address:	3rd Floor		
City:	Charlotte		
State/Country:	NORTH CAROLINA		
Postal Code:	28277		

# PROPERTY NUMBERS Total: 37

Property Type	Number
Patent Number:	D421025
Patent Number:	D422600
Patent Number:	D420682
Patent Number:	6139737
Patent Number:	D466969
Patent Number:	6193833
Patent Number:	6432307
Patent Number:	6394162
Patent Number:	D458276
Patent Number:	D458275
Patent Number:	D463803
Patent Number:	D457893
Patent Number:	D464062
Patent Number:	6782917
	DATENT

PATENT

**REEL: 022427 FRAME: 0913** 

500815049

Patent Number:	5607637
Application Number:	11050867
Application Number:	11366662
Patent Number:	6582593
Patent Number:	6972087
Patent Number:	D491194
Patent Number:	7014772
Patent Number:	D496669
Patent Number:	7261814
Patent Number:	7258789
Patent Number:	D452896
Application Number:	11344601
Application Number:	11171185
Application Number:	11394182
Patent Number:	D551319
Patent Number:	7090773
Application Number:	11335832
Application Number:	11471548
Application Number:	11471549
Patent Number:	D570950
Application Number:	11521402
Application Number:	61042144
Patent Number:	7087160

#### **CORRESPONDENCE DATA**

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Address Line 4: Washington, DISTRICT OF COLUMBIA 20036-5304

ATTORNEY DOCKET NUMBER:	87295.0804
NAME OF SUBMITTER:	Stephen S. Fabry

**Total Attachments: 9** 

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RECORDATION FORM COVER SHEET Atty Dkt. No. 87295.0804					
PATENTS ONLY					
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.					
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)				
SPX Corporation	Name: SPX Filtran LLC				
	Internal Address:				
Additional name(s) of conveying party(ies) attached? ☐Yes ☒ No					
3. Nature of conveyance/Execution Date(s): Execution Date: March 20, 2009	Street Address: 13515 Ballantyne Corporate Place, 3rd Floor				
☐ Security Agreement ☐ Change of Name	City: Charlotte				
☐ Joint Research Agreement	State/Province: NC				
Government Interest Assignment	Country: <u>US</u> Zip: <u>28277</u>				
Executive Order 9424, Confirmatory License					
Other:	Additional names & <u>address(es) attached?</u> ⊠ Yes ☐ No				
. , , ,	See Appendix A				
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 37				
Name: BAKER & HOSTETLER LLP	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 1,480.00				
Internal Address:	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 1.480.00 Authorized to be charged by credit card				
Street Address: Washington Square, Suite 1100	Authorized to be charged to deposit account				
1050 Connecticut Avenue, N.W.	☐ Enclosed ☐ Other				
City: Washington					
State: District of Columbia Zip: 20036-5304	8. Payment Information				
Phone Number: (202) 861-1500	a. Credit Card Last 4 Numbers Expiration Date				
Fax Number: (202) 861-1783	b. Deposit Account Number 50-2036				
Email Address: patents@bakerlaw.com	Authorized User Name				
9. Signature:	March 24, 2009				
Signature	Date				
Stephen S. Fabry, Registration No. 51,6	Total number of pages including cover				

#### PATENT ASSIGNMENT

This PATENT ASSIGNMENT AGREEMENT is between SPX CORPORATION, a corporation organized and existing under the laws of the State of Delaware, United States of America, and having an office at 13515 Ballantyne Corporate Place, Charlotte, NC 28277, United States of America (the "Assignor"), and SPX Filtran LLC, a Delaware limited liability company, having an office at 13515 Ballantyne Corporate Place, Charlotte, NC 28277, United States of America (the "Assignee").

WHEREAS, Assignor desires to assign and otherwise transfer its right, title and interest in and to the Letters Patents and patent applications listed on Appendix A attached hereto. For good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, and on the terms set forth herein, Assignor desires to assign and Assignee desires to acquire Assignor's right, title and interest in and to the Letters Patent and patent applications set forth in Appendix A, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent in any or all countries or reissues thereof that may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with the right to claim the priority of said applications in all countries in accordance with the International Convention for the Protection of Industrial Property, as it may be amended (the "International Convention"), the same to be held and enjoyed by Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment and sale had not been made.

WHEREAS, Assignor does hereby assign and transfer to Assignee and its successors, assigns and nominees, as the assignee, without any restrictions, reservations or limitations, (a) its right, title and interest in and to the grants of said Letters Patent and said patent applications, and all reissues and extensions thereof; and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent in any or all countries or reissues thereof that may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with the right to claim the priority of the said applications in all countries in accordance with the International Convention, the same to be held and enjoyed by Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment and sale had not been made; and (b) the right to enforce said patents with the right to sue for and recover for its own use accrued profits or damages for any and all infringements thereof.

[Signatures continued on following page]

SIGNED AND SEALED at Charlotte, North Carolina, U.S.A. this 20th day of March, 2009.

## SPX CORPORATION

Name: Kevin 1. Lilly

Title: Vice President and Secretary

Title: Senior Vice President, Secretary and

				General Counsel
Sr.V	Kevin L. foregoing assig	mment on behalf of SPX (	Corporation who ing authorized to therein set forth.	ne personally came the above-named wn as the individual who executed the has acknowledged to me that he, as do so, signed and sealed the same on Notary Public
	SPX FILTRA	N LLC		My Commission expires December 11, 2012
			Par	Kun Hill

STATE OF North (apolina)

COUNTY OF Meckelenburg)

This 20th day of March, 2009 before me personally came the above-named keys L. Lilly, to me personally known as the individual who executed the foregoing assignment on behalf of SPX Corporation who has acknowledged to me that he, as V.P. + Secretary of SPX Filtran LLC, being authorized to do so, signed and sealed the same on behalf of SPX Corporation, for the purposes therein set forth.

(SEAL)

My Commission expires December 11, 2012

# APPENDIX A

No.	Country	<u>Title</u>	Appln. No.	Patent No.	<u>Assignee</u>
1	US	Transmission Fluid Filter	29/098,271	D421,025	SPX Corporation
2	US	Transmission Fluid Filter	29/102,047	D422,600	SPX Corporation
3	US	Transmission Filter Assembly	29/102,048	D420,682	SPX Corporation
4	US	Transmission Fluid Filter	09/148,294	6,139,737	SPX Corporation
5	US	Fuel/Water Separating Filter Assembly	29/146,604	D466,969	SPX Corporation
6	US	Method of Laser Welding Transmission Filter Housing Components Transmission Filter	09/387,807	6,193,833	SPX Corporation
7	US	with Laser Welded Housing Components	09/728,328	6,432,307	SPX Corporation
8	US	Fluid Filter Manufacturing Apparatus	09/728,322	6,394,162	IBS Filtran Kunstoff/Metallerzeugniss e GmbH and SPX Corporation
9	US	Transmission Fluid Filter	29/143,477	D458,276	SPX Corporation
10	US	Transmission Fluid Filter	29/143,457	D458,275	SPX Corporation
11	US	Transmission Fluid Filter	29/143,456	D463,803	SPX Corporation
12	US	Transmission Fluid Filter	29/145,684	D457,893	SPX Corporation
13	US	Transmission Fluid Filter	29/143,953	D464,062	SPX Corporation
14	US	Reciprocating Cone Anti-Drainback	09/983,321	6,782,917	SPX Corporation
15	US	Method of Manufacturing a Transmission Fluid Filter	08/467,579	5,607,637	IBS Filtran GmbH and Filtran, a Division of SPX Corporation
16	US	Integrated Waffle Pan Apparatus and Method	11/050,867		SPX Corporation
17	US	Integrated Waffle Pan Apparatus and Method	11/366,662		SPX Corporation

<u>No.</u>	Country	<u>Title</u>	Appln. No.	Patent No.	<u>Assignee</u>
		Integrated Waffle Pan			
18	JP	Apparatus and Method	2006-029681		SPX Corporation
		Integrated Waffle Pan			
19	KR	Apparatus and Method	1020060011897		SPX Corporation
20	DE	Integrated Waffle Pan Apparatus and Method	1020060055519		SPX Corporation
21	CN	Integrated Waffle Pan Apparatus and Method	2006100034003		SPX Corporation
		Transmission Sump Filter with Bypass			
22	US	Valve	09/870,172	6,582,593	SPX Corporation
	TIG	Transmission Sump Filter with Bypass	10/421 272	6 070 007	any a
23	US	Valve	10/431,363	6,972,087	SPX Corporation
24	CA	Oil Pan for Engines or Transmissions	2,292,686	2,292,686	IBS Filtran Kunstoff- /Metallerzeugnisse GmbH and SPX Corporation and Polytec Automotive GmbH & Co. KG
25	US	Transmission Filter	29/180,024	D491,194	SPX Corporation
26	CN	Transmission Filter	30100712.3	30100712.3	SPX Corporation
27	JP	Transmission Filter	2003-30950	1213305	SPX Corporation
	***	Fibrous Filter	40/00 5 000	- 011	anv. a
28	US	Assembly and Method	10/096,902	7,014,772	SPX Corporation
•	***	Magnetic Filter	2002 576115		ana a
29	JP	System	2003-576115		SPX Corporation
20	CD.I	Magnetic Filter	02000407.0	020004070	ODM C
30	CN	System	03800407.0	03800407.0	SPX Corporation
31	EP	Magnetic Filter System	03716566.9		SPX Corporation
31	EF	Filter Media	03/10300.9		SFA Corporation
		Supporting Apparatus and Method in an Engine or			
32	CN	Transmission Filter	2004101014900		SPX Corporation
,		Filter Media Supporting Apparatus and Method in an Engine or			
33	KR	Transmission Filter	1020040108955		SPX Corporation
		Filter Media			
34	JP	Supporting Apparatus and Method in an	2004-370315		SPX Corporation
J4	31	and Moniou in all	2007-3/0313	<u> </u>	517 Corporation

No.	Country	Title	Appln. No.	Patent No.	Assignee
		Engine or			
		Transmission Filter			
		Filter Media			
		Supporting Apparatus			
		and Method in an			
		Engine or	:		
35	DE	Transmission Filter	1020040575282		SPX Corporation
33		Transmission rate	1020010373202		STA COLDINATION
36	US	Transmission Filter	29/192,876	D496,669	SPX Corporation
	0.0	Non-Planar Media			
		Transmission Filter			
37	US	Apparatus and Method	10/827,428	7,261,814	SPX Corporation
		Non-Planar Media			
		Transmission Filter			
38	CN	Apparatus and Method	2005800162170		SPX Corporation
. ,		Non-Planar Media		'''	
		Transmission Filter			
39	KR	Apparatus and Method	1020067024328		SPX Corporation
		Plissierter Filter/Non-			
		Planar Transmission			
		Filter Apparatus and			SPX Filtran and IBS
40	AT	Method	05000689.9	1588752	Filtran
		Non-Planar Media			
		Transmission Filter			
		Apparatus and Method			
41	US	with Tray	10/827,286	7,258,789	SPX Corporation
					SPX Corporation and IBS
		3.7 701 (701 ( 1)			Filtran
10	ED	Non-Planar (Pleated)	05000600 1		Kunststoff/Metallerzeugnis se GmbH
42	EP	Suction Filter	05000688.1		
		Non-Planar (Pleated) Media Transmission			SPX Corporation and IBS Filtran
		Filter Apparatus and			Kunststoff/Metallerzeugnis
43	CN	Method with Tray	2005800162166		se GmbH
43	CIV	Non-Planar (Pleated)	2003000102100		SPX Corporation and IBS
		Media Transmission			Filtran
		Filter Apparatus and			Kunststoff/Metallerzeugnis
44	KR	Method with Tray	1020067024329		se GmbH
		Non-Planar (Pleated)			SPX Corporation & IBS
		Media Transmission			Filtran
		Filter Apparatus and			Kunststoff/Metallerzeugnis
45	DE	Method with Tray	602005007957	1588752	se GmbH
		Non-Planar (Pleated)			
		Media Transmission			
		Filter Apparatus and			
46	FR	Method with Tray	05000689.9	1588752	IBS Filtran & SPX Filtran

No.	Country	Title	Appln. No.	Patent No.	Assignee
Transcript Co.		Non-Planar (Pleated)	524-100000	20000	SPX Corporation and IBS
		Media Transmission			Filtran
		Filter Apparatus and			Kunststoff/Metallerzeugnis
47	SE	Method with Tray	05000689.9	1588752	se GmbH
		Non-Planar (Pleated)			SPX Corporation and IBS
		Media Transmission			Filtran
		Filter Apparatus and			Kunststoff/Metallerzeugnis
48	ES	Method with Tray	05000689.9	1588752	se GmbH
	·	Non-Planar (Pleated)			mc Ellen
		Media Transmission			IBS Filtran Kunststoff/Metallerzeugnis
49	IT	Filter Apparatus and Method with Tray	05000689.9	1588752	se GMBH
49	11		03000089.9	1366732	SC GIVIDI1
50		Fuel/Water Separating	20/122 992	D452 806	SDV Comparation
50	US	Filter Assembly	29/133,882	D452,896	SPX Corporation
		Filtration System with Bypass Valve Flow			
		Control Apparatus and			
51	US	Method	11/344,601		SPX Corporation
		Filtration System with	22.0,002		
		Bypass Valve Flow			
		Control Apparatus and	·		
52	AT	Method	A 165/2006	501858	SPX Corporation
		Filtration System with			
		Bypass Valve Flow		·	
		Control Apparatus and			
53	DE	Method	1020060045750		SPX Corporation
		Filtration System with			
		Bypass Valve Flow			
54	FR	Control Apparatus and Method	0600963		SPX Corporation
	110	Filtration System with	0000703		5121 Corporation
		Bypass Valve Flow			
		Control Apparatus and			
55	JР	Method	2006-02558		SPX Corporation
		Filtration System with			
		Bypass Valve Flow			
		Control Apparatus and			
56	KR	Method	1020060010102		SPX Corporation
		Filtration System with			
		Bypass Valve Flow			
	CNT	Control Apparatus and	2006100022420		SDY Corporation
57	CN	Method	2006100033439		SPX Corporation
	1.70	Lip Seal with Spring	11/171 105		CDV Compandian
58	US	Washer	11/171,185		SPX Corporation
	67.7	Filter Lip Seal and	20060000000000		CDV Company's
59	CN	Method	2006800236889		SPX Corporation

No.	Country	<u>Title</u>	Applu. No.	Patent No.	Assignee
		Filter Lip Seal and			
60	JP	Method	2006-519318		SPX Corporation
		Filter Lip Seal and			
61	KR	Method	1020087001167		SPX Corporation
		Suction Side and			
		Pressure Side Fluid			
		Filter with Internal			
62	US	By-Pass	11/394,182		SPX Corporation
		Suction Side and			
		Pressure Side Fluid			
	727	Filter with Internal	1000070140700		CDV Comment
63	DE	By-Pass	1020070149729		SPX Corporation
		Suction Side and Pressure Side Fluid			
		Filter with Internal			
64	CN	By-Pass	2007100907540		SPX Corporation
1 04	CIN	Suction Side and	2007100907540		Si A corporation
		Pressure Side Fluid			
		Filter with Internal			
65	KR	By-Pass	1020070031645		SPX Corporation
		Suction Side and	******		
		Pressure Side Fluid			
		Filter with Internal			
66	JP	By-Pass	2007-93111		SPX Corporation
67	US	Fluid Filter	29/257,769	D551,319	SPX Corporation
		Coaxial Full-Flow and			
68	US	Bypass Oil Filter	10/734,977	7,090,773	SPX Corporation
		Coaxial Full-Flow and			
		Bypass Oil Filter			
69	US	Apparatus and Method	11/335,832		SPX Corporation
		Coaxial Full-Flow and			
		Bypass Oil Filter			l anzi a
70	US	Apparatus and Method	11/471,548		SPX Corporation
		Coaxial Full-Flow and			
	110	Bypass Oil Filter	11/471 540		SDV Comparation
71	US	Apparatus and Method	11/471,549		SPX Corporation
		Coaxial Full-Flow and			
72	CN	Bypass Oil Filter Apparatus and Method	2007100003847		SPX Corporation
12	CIN	Coaxial Full-Flow and	200/10000304/		5171 Corporation
		Bypass Oil Filter			
73	JР	Apparatus and Method	2007-10462		SPX Corporation
		Coaxial Full-Flow and			
		Bypass Oil Filter			
74	EP	Apparatus and Method	07001166.3		SPX Corporation

No. Co	untry	<u>Title</u>	Appln. No.	Patent No.	<u>Assignee</u>
		Coaxial Full-Flow and			
		Bypass Oil Filter			
75 ]	KR	Apparatus and Method	1020070006152		SPX Corporation
		Coaxial Full-Flow and			
		Bypass Oil Filter			
76	IN	Apparatus and Method	129/DEL/2007		SPX Corporation
		Coaxial Full-Flow and			
		Bypass Oil Filter			
77	EP	Apparatus and Method	07012182.7		SPX Corporation
		Coaxial Full-Flow and			
		Bypass Oil Filter			
78	IN	Apparatus and Method	1341/DEL/2007		SPX Corporation
		Coaxial Full-Flow and			
		Bypass Oil Filter			
79	CN	Apparatus and Method	2007101094561		SPX Corporation
		Coaxial Full-Flow and			
		Bypass Oil Filter			
80	CN	Apparatus and Method	2007101094557		SPX Corporation
		Coaxial Full-Flow and			
		Bypass Oil Filter			
81	IN	Apparatus and Method	1342/DEL/2007		SPX Corporation
		Coaxial Full-Flow and			
		Bypass Oil Filter			
82	EP	Apparatus and Method	07012181.9		SPX Corporation
83	US	Fluid Filter	29/257,768	D570,950	SPX Corporation
		Filter Media			
		Supporting Apparatus			
		and Method in an			
	***	Engine or	11/501 400		GDV C
84	US	Transmission Filter	11/521,402		SPX Corporation
		DUAL MEDIA			
85	US	FLUID FILTER	61/042,144		SPX Corporation
		Fuel/Water Separating			
86	US	Filter Assembly	29/133,882	D452,896	SPX Corporation
		Media Bag Flow			
		Configuration &			
87	US	Outside to In	10/446,860	7,087,160	SPX Corporation
		Media Bag Flow			
		Configuration &		0410048813	
88	CN	Outside to In	2004100488134	4	SPX Corporation
		Media Bag Flow			
		Configuration &			
89	JP	Outside to In	2004-162320		SPX Corporation
		Media Bag Flow		1	
		Configuration &			GDYL G
90	KR	Outside to In	1020040038700	<u> </u>	SPX Corporation

PATENT
RECORDED: 03/24/2009 REEL: 022427 FRAME: 0924