



**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

3-3-09

**1. Name of conveying party(ies)**

Curtis W. Shillingburg

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: RidgeRock Retaining Walls, Inc.

Internal Address: \_\_\_\_\_

Street Address: 8120 England Street

City: Charlotte

State: North Carolina

Country: USA Zip 28273

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) February 24, 2009

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**4. Application or patent number(s):**

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Schwartz Law Firm, P.C.

Internal Address: \_\_\_\_\_

Street Address: 6100 Fairview Road, Suite 1135

City: Charlotte

State: North Carolina Zip 28210

Phone Number: 704/552-1889

Fax Number: 704/552-1866

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

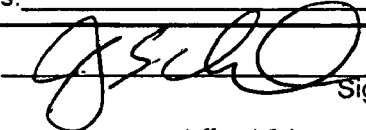
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

  
Signature

March 3, 2009

Date

Jeffrey J. Schwartz  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

## PATENT ASSIGNMENT

**THIS ASSIGNMENT**, effective as of the date indicated below, is by and between Curtis W. Shillingburg ("Assignor"), an individual, and RidgeRock Retaining Walls, Inc. ("Assignee"), a corporation.

**WHEREAS**, Assignor is the sole inventor of subject matter claimed in patent application identified in "Schedule A" hereto (hereinafter "Patent"); and

**WHEREAS**, Assignor desires to transfer his entire right, title and interest in and to said Patent to Assignee, and Assignee desires to acquire Assignor's right, title, and interest in and to said Patent;

**NOW, THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and with the intention of being legally bound hereby:

i. Assignor hereby irrevocably grants, sells, transfers, conveys, assigns and sets over to Assignee, its successors and assigns, free of all encumbrances, the entire right, title, and interest in perpetuity in and to said Patent, the inventions claimed or disclosed therein, and all embodiments owned by Assignor, in United States and worldwide, including: (a) all original, reissued, and re-examined letters patent and patents of addition, and renewals and extensions thereof, that originate therefrom in the United States and in foreign countries, and (b) all rights to apply, all rights of priority, all continuation, divisional, continuation-in-part and substitute patent applications that may be filed therefor in the United States and in foreign countries, and (c) all original, reissued, and re-examined letters patents and patents of addition, and renewals and extensions thereof, that may issue from said continuation, divisional, continuation-in-part and substitute applications, and (d) all causes of action, the right to enforce the rights to said Patent through legal or administrative proceedings, the rights to all income derived from said Patent, including the right to all unpaid royalties with respect to the use of any such Patent, and any and all interests, claims, and rights for damages, profits, and other awards by reason of any past infringement, and all other related causes of action, and the right to sue therefor, for Assignee's own use and behalf and for the use and behalf of its successors and assigns or other legal representatives; said Patents to be held and enjoyed by Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment had not been made.

ii. Assignor agrees, at the request and expense of Assignee, to perform such proper additional acts, and to execute such additional documents, (a) as are deemed necessary by Assignee or by the governmental agencies having jurisdiction over said Patent to effect the transfer of all of Assignor's right, title and interest therein to Assignee, its successors and assigns, (b) for maintaining and perfecting the Assignee's rights to the Patent, and (c) as may be or become necessary for obtaining, sustaining, re-examining, or reissuing said Patent. In furtherance thereof, Assignor hereby authorizes such governmental agencies to identify Assignee as the owner of all letters patent issuing from applications pending among said Patent.

IN WITNESS WHEREOF, Assignor and Assignee executed this Assignment on the date set forth below.

ASSIGNOR

ASSIGNEE

Signature: Curtis W. Shillingburg  
Printed Name: Curtis W. Shillingburg  
Date: 2-24-2009  
2-24-2009 CS

Company Name: RidgeRock Retaining Walls, Inc.  
By: Curtis W. Shillingburg  
Signature: Curtis W. Shillingburg  
Title: VP  
Date: 2-24-2009

**Schedule A  
Patent Application**

Country	Title	Appl./Pat No.	Filing/Issue Date
USA	MODULAR WALL BLOCK WITH BLOCK-LOCATING JUT AND SHEAR LUG	To be filed	To be filed