PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE: | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | CHANGE OF NAME |

CONVEYING PARTY DATA

| Name | Execution Date |
|--------------|----------------|
| Septus, Inc. | 12/18/2003 |

RECEIVING PARTY DATA

| Name: | CARDIOKINETIX, INC. |
|-----------------|---------------------|
| Street Address: | 925 Hamilton Avenue |
| City: | Menlo Park |
| State/Country: | CALIFORNIA |
| Postal Code: | 94025 |

PROPERTY NUMBERS Total: 1

| Property Type | Number |
|---------------------|----------|
| Application Number: | 12268346 |

CORRESPONDENCE DATA

Fax Number: (650)212-7562

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: (650) 212-1700

Email: mbuggie@shayglenn.com

Correspondent Name: Shay Glenn LLP

Address Line 1: 2755 Campus Drive, Suite 210 Address Line 4: San Mateo, CALIFORNIA 94403

ATTORNEY DOCKET NUMBER: 10078-701.402

NAME OF SUBMITTER: Mary Buggie

Total Attachments: 3

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> **PATENT REEL: 022451 FRAME: 0035**

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U.S. DEPARTMENT OF COMMERCE

RECORDATION FORM COVER SHEET

| RECORDATION FORM COVER SHEET PATENT AND TRADEMARK OFFICE PATENTS ONLY | | | | |
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| TAILIII ONDI | | | | |
| TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT ORIGINAL DOCUMENTS OR COPY THEREOF. | AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED | | | |
| Name of conveying party(ies): | Name and address of receiving party(ies): | | | |
| (1) Septus, Inc. 831 Bransten Avenue, Suite A San Carlos, CA 94070 | Name: CardioKinetix, Inc. 925 Hamilton Avenue Menlo Park, CA 94025 | | | |
| Additional name(s) of conveying party(ies) attached? Yes No | | | | |
| 3. Nature of Conveyance: | Name and address of receiving party(ies): | | | |
| Assignment Merger | Name: | | | |
| Security Agreement Change of Name | Street Address: | | | |
| | City: State: Zip: | | | |
| Other EXECUTION DATE: (1) 12/18/2003 | Country: Additional name(s) & address(es) attached? ☐ Yes | | | |
| 4. Application number(s) or patent number(s): | | | | |
| If this document is being filed together with a new application, the | ne execution date of the application is: | | | |
| A. Patent Application No.(s) – 12/268,346 | B. Patent No.(s) | | | |
| Title: SYSTEM FOR IMPROVING CARDIAC FUNCTION | | | | |
| Additional num | bers attached? Yes No | | | |
| Name and address of party to whom correspondence concerning document should be mailed: | Total number of applications and patents involved: | | | |
| Richard D. Shoop Shay Glenn LLP | | | | |
| 2755 Campus Drive, Suite 210 San Mateo, CA 94403 | 7. Total fee (37 CFR 3.41): \$40.00 | | | |
| | A check is enclosed that includes the total fee. | | | |
| | Charge the \$40 fee to Deposit Account 50-4050 . | | | |
| DO NOT USE | THIS SPACE | | | |
| DO NOT USE | | | | |
| 8. Statement and signature. | | | | |
| To the best of my knowledge and belief, the foregoing information | ion is true and correct and any attached copy is a true copy of the | | | |
| | 3.25.0J | | | |
| Richard D. Shoop, Reg. No. 45,763 Name of Person Signing | Signature Date | | | |
| Total number of pages including cover sheet, attachments, and documents: 3 | | | | |

PATENT

REEL: 022451 FRAME: 0036

CERTIFICATE OF AMENDMENT OF CERTIFICATE OF INCORPORATION

OF

SEPTUS, INC.

The undersigned hereby certifies that:

- 1. He is the duly elected and acting President of Septus, Inc., a Delaware corporation.
- 2. The Certificate of Incorporation of this corporation was originally filed with the Secretary of State of Delaware on February 23, 2001, under the name Septus, Inc.
- 3. Pursuant to Section 242 of the General Corporation Law of the State of Delaware, this Certificate of Amendment of Certificate of Incorporation amends Article I of this corporation's Restated Certificate of Incorporation to read in its entirety as follows:

"The name of this corporation is Cardiokinetix Inc. (the "Corporation")."

4. The foregoing Certificate of Amendment has been duly adopted by this corporation's Board of Directors and stockholders in accordance with the applicable provisions of Sections 228 and 242 of the General Corporation Law of the State of Delaware.

Executed at Redwood City, California, on December 18

Hugh R. Sherkey, President

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State of Delaware Secretary of State Division of Corporations Delivered 02:44 PM 01/12/2004 FILED 02:23 PM 01/12/2004 SRV 040020819 - 3553142 FILE

REEL: 022451 FRAME: 0037

Delaware

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "SEPTUS, INC.", CHANGING ITS NAME FROM "SEPTUS, INC." TO "CARDIOKINETIX INC.", FILED IN THIS OFFICE ON THE TWELFTH DAY OF JANUARY, A.D. 2004, AT 2:23 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

3553142 8100 040020819

RECORDED: 03/25/2009



Warriet Smith Windson

MURHENT YOUTLON ret 286 480 0

DATE: 01-12-04

PATENT

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