

AIP581-40

IAP03Rec'd PCT 23 MAR 2009

Mail Stop:  
Assignment Recordation Services  
Director of the U.S. Patent  
and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

03-30-2009



103554285

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

12/311215

Attorney Docket No. 140428

Please record the attached original document or copy thereof.

1. A. Name of conveying parties:

(1) Kunihiro HIGASHIURA  
(2) Takashi OGINO  
(3) Kazuhito FURUKAWA  
(4) Yuriko YAMAZAKI

B. Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. A. Name and address of receiving party:

NIPPON ZOKI PHARMACEUTICAL  
CO., LTD.  
1-2, HIRANOMACHI 2-CHOME  
CHUO-KU, OSAKA-SHI  
OSAKA 5410046  
JAPAN

B. Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

3. A. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

B. Execution Date: February 25, 2009- ALL4. ☒ This document is being filed together with a new application.

A. Patent Application No.(s) \_\_\_\_\_

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ NoC. Title of Application: OXEPIN DERIVATIVE

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James A. Oliff

Address: **OLIFF & BERRIDGE, PLC**  
**P.O. Box 320850**  
**Alexandria, VA 22320-4850**

6. Total number of applications and patents involved: 17. A. Total fee (37 CFR 3.41).....\$ 216515B. Enclosed (Check No. 40.00)

8. Credit any overpayment or charge any underpayment to deposit account number 15-0461.

9. **Statement and signature.**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

03/21/2009 NJAMA1 00000033 12311215

01 FL:0021

40.00 01

Date: March 23, 2009

James A. Oliff, Registration No. 27,075  
Justin T. Lingard, Registration No. 61,276

Total number of pages including cover sheet, attachments, and document: 2

## ASSIGNMENT

12/311215

(1-8) Insert Name(s) of Inventor(s)

(1) Kunihiko HIGASHIURA (5) \_\_\_\_\_

(2) Takashi OGINO (6) \_\_\_\_\_

(3) Kazuhito FURUKAWA (7) \_\_\_\_\_

(4) Yuriko YAMAZAKI (8) \_\_\_\_\_

In consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, each undersigned agrees to assign, and hereby does assign, transfer and set over to

(9) Insert Name of Assignee (9) NIPPON ZOKI PHARMACEUTICAL CO., LTD.

(10) Insert Address of Assignee (10) 1-2, Hiranomachi 2-chome, Chuo-ku, Osaka-shi, Osaka, 5410046, Japan

(hereinafter designated as the Assignee) and Assignee's heirs, successors, assigns and legal representatives, the entire right, title and interest for the United States of America as defined in 35 U.S.C. §100, in the invention, and in all applications for patent including any and all provisional, non-provisional, divisional, continuation, international, confirmation, substitute and reissue application(s), and all Letters Patent, extensions, reissues and reexamination certificates that may be granted on the invention known as

(11) Insert Identification such as Title, Case Number, or Foreign Application Number (11) OXEPIN DERIVATIVE

(Attorney Docket No. 140428)

for which the undersigned has (have) executed an application for patent in the United States of America on even date herewith or

(12) Insert Date of Signing of Application (12) on February 25, 2009

(13) Alternative Identification for filed applications (13) U.S. application Serial Number March 23, 2009

- 1) Each undersigned agrees to execute all papers necessary in connection with any application and any continuing, divisional or reissue applications for the invention, and any patent(s) issuing thereon, and also to execute separate assignments in connection with such applications and patents as the Assignee may deem necessary.
- 2) Each undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning any application or continuation or division thereof, or any patent or reissue application based thereon, for the invention, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.
- 3) Each undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
- 4) Each undersigned agrees to perform all affirmative acts which may be necessary to obtain, maintain or confirm by reissue or reexamination a grant of a valid United States patent to the Assignee.
- 5) Each undersigned authorizes and requests the Commissioner of the U.S. Patent and Trademark Office to issue any and all Letters Patents of the United States resulting from said application(s) to the said Assignee, as Assignee of the entire interest, and covenants that he has full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreements in conflict herewith, and agrees that this assignment is binding on him and his heirs, successors, assigns and legal representatives.
- 6) Each undersigned hereby grants the firm of OLIFF & BERRIDGE, PLC the power to insert on this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date	<u>2 / 25 / 2009</u>	Inventor Signature	<u>Kunihiko Higashiura</u> (SEAL)
Date	<u>2 / 25 / 2009</u>	Inventor Signature	<u>Takashi Ogino</u> (SEAL)
Date	<u>2 / 25 / 2009</u>	Inventor Signature	<u>Kazuhito Furukawa</u> (SEAL)
Date	<u>2 / 25 / 2009</u>	Inventor Signature	<u>Yuriko Yamazaki</u> (SEAL)
Date	_____	Inventor Signature	_____ (SEAL)
Date	_____	Inventor Signature	_____ (SEAL)
Date	_____	Inventor Signature	_____ (SEAL)
Date	_____	Inventor Signature	_____ (SEAL)

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date	<u>2 / 25 / 2009</u>	Witness	<u>[Signature]</u>
Date	<u>2 / 25 / 2009</u>	Witness	<u>[Signature]</u>

PATENT