

Form PTO-1595 (Rev. 03-09)
OMB No. 0651-0027 (exp. 03/31/2009)

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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Paul Joseph Dyson (executed March 23, 2009)
Wee Han Ang (executed March 16, 2009)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) See above

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Ecole Polytechnique Federale de Lausanne (EPFL)

Internal Address: _____

Street Address: SRI-CM2, Station 10

City: 1015 Lausanne

State: _____

Country: Switzerland Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

12/227,210

☐ This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Clifford W. Browning of Krieg DeVault LLP

Internal Address: _____

Street Address: One Indiana Square, Suite 2800

City: Indianapolis

State: Indiana Zip: 46204

Phone Number: (317) 238-6203

Fax Number: (317) 636-1507

Email Address: cbrowning@kdlegal.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:

Clifford W. Browning
Signature

April 2, 2009
Date

Clifford W. Browning
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

OP \$40.00 12227210

6.0662-US-2
B-5355/40**ASSIGNMENT (PATENT)**

WHEREAS, Paul Joseph DYSON, Chemin de l'Ormet 79, 1024 Ecublens, Switzerland, hereinafter referred to as Assignor, has made a new and useful invention in

**TRANSITION METAL COMPLEXES FOR INHIBITING RESISTANCE
IN THE TREATMENT OF CANCER AND METASTASIS**

which is found in International Patent Application No. PCT/CH2007/000234, filed on May 9, 2007, and for which Assignor will make application for Letters Patent of the United States; and

WHEREAS, Ecole Polytechnique Fédérale de Lausanne (EPFL), with address at SRI-CM2, Station 10, 1015 Lausanne, Switzerland, hereinafter referred to as Assignee, desires to acquire 100% of the right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained thereof and thereon;

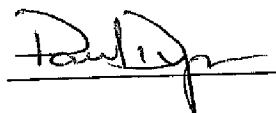
NOW, THEREFORE, to all and whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignor in hand paid, the receipt of which is hereby acknowledged, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto Assignee, 100% of the right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and any and all other applications thereon and arising therefrom, including any and all divisions and continuations thereof, and any and all divisions and continuations thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignor hereby authorizes and requests the firm of Krieg DeVault LLP, One Indiana Square, Suite 2800, Indianapolis, IN 46204-2079, to insert here in parentheses (Serial No. 12/227,210, Filed Nov. 10 2008) the date and serial number of said application for Letters Patent of the United States when officially known, and Assignor hereby authorizes and requests the Honorable Commissioner of Patents to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignor agrees to sign and execute any and all other papers necessary and desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hands this 23 day of March, 2008.

Paul Joseph DYSON



6-0662-05-2,
B-5355/00**ASSIGNMENT (PATENT)**

WHEREAS, Wee Han ANG, Chemin de Croset 1b, 1024 Ecublens, Switzerland, hereinafter referred to as Assignor, has made a new and useful invention in

**TRANSITION METAL COMPLEXES FOR INHIBITING RESISTANCE
IN THE TREATMENT OF CANCER AND METASTASIS**

which is found in International Patent Application No. PCT/CH2007/000234, filed on May 9, 2007, and for which Assignor will make application for Letters Patent of the United States; and

WHEREAS, Ecole Polytechnique Fédérale de Lausanne (EPFL), with address at SRI-CM2, Station 10, 1015 Lausanne, Switzerland, hereinafter referred to as Assignee, desires to acquire 100% of the right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained thereof and thereon;

NOW, THEREFORE, to all and whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignor in hand paid, the receipt of which is hereby acknowledged, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto Assignee, 100% of the right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and any and all other applications thereon and arising therefrom, including any and all divisions and continuations thereof, and any and all divisions and continuations thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignor hereby authorizes and requests the firm of Krieg DeVault LLP, One Indiana Square, Suite 2800, Indianapolis, IN 46204-2079, to insert here in parentheses (Serial No. 12/227,210, Filed Nov. 10 2008) the date and serial number of said application for Letters Patent of the United States when officially known, and Assignor hereby authorizes and requests the Honorable Commissioner of Patents to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignor agrees to sign and execute any and all other papers necessary and desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hands this 16 day of March, 2008.

Wee Han ANG

