### PATENT ASSIGNMENT

## Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Alvin F. Tanpoco	04/01/2009

#### **RECEIVING PARTY DATA**

Name:	Trading Technologies International, Inc.	
Street Address:	222 South Riverside Plaza	
Internal Address:	Suite 1100	
City:	Chicago	
State/Country:	ILLINOIS	
Postal Code:	60606	

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	11415888

### **CORRESPONDENCE DATA**

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ATTORNEY DOCKET NUMBER: 03-1117-Z1

NAME OF SUBMITTER: Jori R. Fuller

Total Attachments: 2

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PATENT REEL: 022489 FRAME: 0275

# ASSIGNMENT AND AGREEMENT IN AN APPLICATION FOR LETTERS PATENT OF THE UNITED STATES OF AMERICA

I hereby declare that I am the sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below) of the invention relating to "System and Method for Icon Oriented Representation for Trading Strategies" described in an application for Letters Patent of the United States of America filed on May 2, 2006, Serial No. 11/415,888, or, if not identified here by filing date and serial number, executed by me on even date with my execution of this Assignment.

For valuable consideration received, I hereby sell, assign, and transfer to Trading Technologies International, Inc., a corporation of the State of Delaware, headquartered at 222 South Riverside Plaza, Suite 1100, Chicago, Illinois 60606 (hereinafter "TT"), as well as its successors and assigns, my entire right, title, and interest, for all countries in and to said inventions and all the rights and privileges under any and all Letters Patent that may be granted therefore, and any divisions, continuations, reissues, and extensions thereof.

I agree that, when requested, I will, without charge to TT but at its expense, sign all papers, take all rightful oaths, make all rightful declarations, and do all acts which may be necessary, desirable, or convenient for securing and maintaining patents or other forms of protection for said inventions in any and all countries and for vesting title thereto in TT, its successors, assigns or nominees.

I agree that I will communicate to TT or its representatives any facts known to me respecting said inventions and when requested by TT and at its expense will testify in any legal proceedings, and generally do everything possible to aid TT, its successors, assigns, and legal representatives or nominees, to obtain or enforce proper protection for said inventions in any and all countries.

I authorize and empower TT, its successors, assigns, or nominees, to make application for patent or other form of protection for said inventions in its or their own name, or in my/our name, in any and all countries, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

I hereby consent that a copy of this Assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of TT or its successors, assigns, or nominees to apply for patent or other proper protection for said inventions, and to claim the aforesaid benefits of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

I covenant with TT, its successors, assigns, legal representatives, and nominees, that, to the best of my knowledge, the right, title, and interest herein conveyed by me are free and clear of any encumbrance, and that I have the full right to convey the same as herein expressed.

This Agreement and Assignment supersedes all other assignments, agreements, and addendums related to the above-identified application.

The undersigned hereby authorizes and requests the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

PATENT REEL: 022489 FRAME: 0276 Sole Inventor:

Alvin F. Tanpoco

Residence:

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Post Office Address:

933 W. Van Buren, Chicago, IL 60607

Citizenship:

United States of America

WITNESS my hand and seal this \_\_\_\_ day of \_April\_\_, 2009.

Alvin F. Tanpoco

State of Illerales

County of Cook

"OFFICIAL SEAL"

JILL P. FITZPATRICK

NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 12/9/2012

NOTARY PUBLIC

PATENT
RECORDED: 04/01/2009 REEL: 022489 FRAME: 0277