

# PATENT ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	MERGER
EFFECTIVE DATE:	01/01/2008
<b>CONVEYING PARTY DATA</b>	
Name	Execution Date
Alcon Manufacturing, LTD.	01/01/2008
<b>RECEIVING PARTY DATA</b>	
Name:	Alcon Research, LTD.
Street Address:	6201 SOUTH FREEWAY
Internal Address:	TB4-8 (KDB)
City:	Fort Worth
State/Country:	TEXAS
Postal Code:	76134
<b>PROPERTY NUMBERS Total: 1</b>	
Property Type	Number
Patent Number:	6196989
<b>CORRESPONDENCE DATA</b>	
Fax Number:	(817)551-4610
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Email:	kenneth.bassinger@alconlabs.com
Correspondent Name:	ALCON RESEARCH, LTD.
Address Line 1:	6201 SOUTH FREEWAY
Address Line 2:	TB4-8 (KDB)
Address Line 4:	Fort Worth, TEXAS 76134
ATTORNEY DOCKET NUMBER:	1624 I US
NAME OF SUBMITTER:	Kenneth D. Bassinger, Reg. #43,484
Total Attachments: 4 source=Recordation-Merger-04-08-09#page1.tif	

CH \$40.00 6196989

**500829530**

**PATENT**  
**REEL: 022510 FRAME: 0927**

source=Recordation-Merger-04-08-09#page2.tif  
source=Recordation-Merger-04-08-09#page3.tif  
source=Recordation-Merger-04-08-09#page4.tif

RECORDATION FORM COVER SHEET  
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Alcon Manufacturing, LTD.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) January 1, 2008

- ☐ Assignment ☒ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

2. Name and address of receiving party(ies)

Name: Alcon Research, LTD.

Internal Address: \_\_\_\_\_

Street Address: 6201 South Freeway

City: Fort Worth

State: Texas

Country: US Zip: 76134-2099

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

US 6,196,989 B1

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Kenneth D. Bassinger

Internal Address: JP Legal TB4-8

Street Address: 6201 South Freeway

City: Fort Worth

State: Texas Zip: 76134

Phone Number: 817-551-8260

Fax Number: 817-551-4610

Email Address: kenneth.bassinger@alconlabs.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 010682

Authorized User Name Kenneth D. Bassinger

9. Signature:

  
Signature

4/8/08  
Date

Gregg C. Brown  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

# Delaware

PAGE 1

## The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"ALCON MANUFACTURING, LTD.", A DELAWARE CORPORATION,  
WITH AND INTO "ALCON RESEARCH, LTD." UNDER THE NAME OF  
"ALCON RESEARCH, LTD.", A CORPORATION ORGANIZED AND EXISTING  
UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED  
IN THIS OFFICE THE TWENTY-SIXTH DAY OF DECEMBER, A.D. 2007, AT  
11:02 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF  
THE AFORESAID CERTIFICATE OF MERGER IS THE FIRST DAY OF JANUARY,  
A.D. 2008, AT 12:01 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE  
NEW CASTLE COUNTY RECORDER OF DEEDS.

4360497 8100M

071359407

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 6278726

DATE: 01-02-08

PATENT  
REEL: 022510 FRAME: 0930

**STATE OF DELAWARE  
CERTIFICATE OF MERGER OF  
DOMESTIC CORPORATIONS**

Pursuant to Title 8, Section 251(c) of the Delaware General Corporation Law, the undersigned corporation executed the following Certificate of Merger:

**FIRST:** The name of the surviving corporation is Alcon Research, Ltd., a Delaware corporation, and the name of the corporation being merged into this surviving corporation is Alcon Manufacturing, Ltd., a Delaware corporation.

**SECOND:** The Agreement of Merger has been approved, adopted, certified, executed, and acknowledged by each of the constituent corporations.

**THIRD:** The name of the surviving corporation is Alcon Research, Ltd., a Delaware corporation.

**FOURTH:** The Certificate of Incorporation of the surviving corporation shall be its Certificate of Incorporation.

**FIFTH:** The merger is to become effective at a later date, which is not more than 90 days from the date of signing. The delayed effective date is January 1, 2008, at 12:01 A.M. Eastern Standard Time.


**SIXTH:** The Agreement of Merger is on file at 6201 South Freeway, Fort Worth, Texas, 76134-2099, the place of business of the surviving corporation.

**SEVENTH:** A copy of the Agreement of Merger will be furnished by the surviving corporation on request, without cost, to any stockholder of the constituent corporations.

*[Signature page follows.]*

**IN WITNESS WHEREOF**, said surviving corporation has caused this Certificate to be signed by an authorized officer, the 14<sup>th</sup> day of December, A.D., 2007.

Alcon Research, Ltd.,  
a Delaware corporation

By   
Elaine E. Whitbeck, Senior Vice  
President, General Counsel, and  
Corporate Secretary

PATENT

RECORDED: 04/08/2009

REEL: 022510 FRAME: 0932