

**PATENT ASSIGNMENT**

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	MERGER
EFFECTIVE DATE:	06/30/1999

**CONVEYING PARTY DATA**

Name	Execution Date
W.R. HAMBRECHT & CO., LLC	06/30/1999

**RECEIVING PARTY DATA**

Name:	W.R. HAMBRECHT + CO., LLC
Street Address:	550 15th Street
City:	San Francisco
State/Country:	CALIFORNIA
Postal Code:	94103

**PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	11479134

**CORRESPONDENCE DATA**

Fax Number: (617)443-0004  
*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*  
 Phone: 617-443-9292  
 Email: rdesalvo@bromsun.com  
 Correspondent Name: Jay Sandvos, Esq.  
 Address Line 1: 125 Summer Street  
 Address Line 2: Bromberg & Sunstein LLP  
 Address Line 4: Boston, MASSACHUSETTS 02110-1618

ATTORNEY DOCKET NUMBER:	2955/113
NAME OF SUBMITTER:	Jay Sandvos, Esq.

Total Attachments: 3  
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**PATENT  
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State of Delaware  
Office of the Secretary of State

PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"W.R. HAMBRECHT & CO., LLC", A CALIFORNIA LIMITED LIABILITY COMPANY.

WITH AND INTO "W.R. HAMBRECHT + CO., LLC" UNDER THE NAME OF "W.R. HAMBRECHT + CO., LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE FIRST DAY OF JULY, A.D. 1999, AT 9 O'CLOCK A.M.



*Edward J. Freel*

Edward J. Freel, Secretary of State

AUTHENTICATION:

3047504 8100M

DATE: 9845153

991271559

07-02-99

**CERTIFICATE OF MERGER  
OF  
W.R. HAMBRECHT & CO., LLC,  
A CALIFORNIA LLC  
INTO  
W.R. HAMBRECHT + CO., LLC,  
A DELAWARE LLC**

**(Under Section 18-209 of the Limited Liability Company Act of the State of Delaware)**

W.R. HAMBRECHT + CO., LLC, a Delaware limited liability company ("Delaware LLC"), hereby certifies that:

1. The constituent limited liability companies are:
  - a. W.R. HAMBRECHT & CO., LLC, a California limited liability company ("California LLC"); and
  - b. W.R. HAMBRECHT + CO., LLC, a Delaware limited liability company ("Delaware LLC").
2. An agreement of merger has been approved and executed by California LLC and Delaware LLC.
3. The name of the surviving limited liability company is W.R. HAMBRECHT + CO., LLC.
4. The agreement of merger is on file at the principal place of business of Delaware LLC at 550 15th Street, San Francisco, California 94103.
5. A copy of the agreement of merger will be furnished by Delaware LLC, on request and without cost, to any member of California LLC or Delaware LLC.


STATE OF DELAWARE  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
FILED 09:00 AM 07/01/1999  
991271559 - 3047504

26022/0807  
6/4/99/GK/161183.1

IN WITNESS WHEREOF, W.R. HAMBRECHT + CO., LLC has caused this certificate to be signed by its Manager on the 30<sup>th</sup> day of June, 1999.

W.R. HAMBRECHT + CO., LLC,  
a Delaware limited liability company

By: W.R. HAMBRECHT + CO., INC.,  
a Delaware corporation,  
its Manager

By:   
Name: Max H. Zwicker  
Title: President

26022/0807  
6/4/99/GK/161163.1