

PATENT ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Stephen R. Forrest	02/16/2009
Michael S. Arnold	03/26/2009
Jeramy D. Zimmerman	02/16/2009
RECEIVING PARTY DATA	
Name:	The Regents of the University of Michigan
Street Address:	1214 S. University Ave., 2nd Floor
Internal Address:	Office of Technology Transfer
City:	Ann Arbor
State/Country:	MICHIGAN
Postal Code:	48104-2592
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12351378
CORRESPONDENCE DATA	
Fax Number:	(609)631-2401
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	609-631-2400
Email:	WJKouh@duanemorris.com
Correspondent Name:	DUANE MORRIS LLP - Princeton
Address Line 1:	PO BOX 5203
Address Line 4:	PRINCETON, NEW JERSEY 08543-5203
ATTORNEY DOCKET NUMBER:	Y3422-00102
NAME OF SUBMITTER:	Won Joon Kouh
Total Attachments: 4 source=Assignment#page1.tif	

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ASSIGNMENT AND AGREEMENT

FOR VALUE RECEIVED, and to perfect the ownership of the Regents as established under Regents Bylaw 3.10 of the University of Michigan, We, **Stephen R. Forrest**, residing at 336 Rock Creek Court, Ann Arbor, Michigan 48104, **Michael S. Arnold**, residing at 3417 Ashburnam Road, Ann Arbor, Michigan 48105 and **Jeramy D. Zimmerman**, residing at 3276 Bolgos Circle, Ann Arbor, Michigan 48105, hereby sell, assign and transfer to The Regents of the University of Michigan, a not-for-profit organization duly organized and existing under the laws of the State of Michigan as assignee, and its successors, assigns and legal representatives, our entire right, title and interest for the United States of America and all countries in and to certain inventions relating to **POLYMER WRAPPED CARBON NANOTUBE NEAR-INFRARED PHOTOCOLTAIC DEVICES** that are described in the specification of a patent application having a Serial Number of 12/351,378 and a filing date of January 1, 2009, and all the rights and privileges under any United States Letters Patent and all foreign Letters Patent that may be granted therefor and any continuation, divisional, re-examination, reissue or prolongation thereof. We hereby grant permission for the attorney of record to insert the filing information into this agreement.

We request that any and all United States and foreign patents for the inventions be issued to the assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for the inventions in any and all countries, and for vesting title thereto in the assignee, its successors, assigns and legal representatives or nominees.

We authorize and empower the assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for the inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

Signed: Stephen R. Forrest
Stephen R. Forrest

Signed: Michael S. Arnold
Michael S. Arnold

Signed: Jeremy D. Zimmerman
Jeremy D. Zimmerman

STATE OF)
) ss.
COUNTY OF)

On this 16th day of February, 2009 personally appeared before me, _____
STEPHEN R. FORREST, to me known to be the persons who executed the above
instrument and acknowledged that he executed the same for the uses and purposes therein
mentioned.


June M. McCole
Notary Public
My Commission Expires: June 2, 2012

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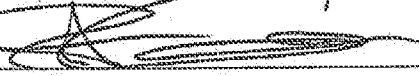
JUNE M. MCCOLM
NOTARY PUBLIC, STATE OF MI
COUNTY OF WASHTENAW
MY COMMISSION EXPIRES JUN 2, 2012
ACTING IN COUNTY OF Washtenaw

We authorize and empower the assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for the inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

Signed: 

Stephen R. Forrest

Signed: 

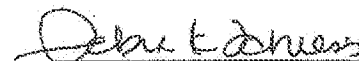
Michael S. Arnold

Signed: 

Jeremy D. Zimmerman

STATE OF Wisconsin)
) ss.
COUNTY OF Dane)

On this 26 day of March, 2009, personally appeared before me, Michael Arnold, to me known to be the persons who executed the above instrument and acknowledged that he executed the same for the uses and purposes therein mentioned.



Notary Public

My Commission Expires: Oct 31, 2010

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We authorize and empower the assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for the inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

Signed: _____
Stephen R. Forrest

Signed: _____
Michael S. Arnold

* Signed: _____
Jeremy D. Zimmerman

STATE OF MICHIGAN)
) ss.
COUNTY OF WASHTENAW)

On this 16th day of February, 2009, personally appeared before me, TRASA BURKHARDT, to me known to be the person who executed the above instrument and acknowledged that he executed the same for the uses and purposes therein mentioned.

Trasa Renee Burkhardt
Notary Public
My Commission Expires:

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