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U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Douglas George Shafer  
Peter David Toot

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: General Electric Company

Internal Address: \_\_\_\_\_

Street Address: One River Road

City: Schenectady

State: NY

Country: USA Zip: 12345

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) April 16 & 20, 2009

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**4. Application or patent number(s):**

This document is being filed together with a new application.

A. Patent Application No.(s)

127426,445

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Steven J. Rosen  
Patent Attorney

Internal Address: \_\_\_\_\_

Street Address: 4729 Cornell Rd.

City: Cincinnati

State: OH Zip: 45241

Phone Number: 513-489-5383

Fax Number: 513-489-5466

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:** 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number 07-0865

Authorized User Name \_\_\_\_\_

**9. Signature:**

Steven J. Rosen  
Signature

4/20/09  
Date

Steven J. Rosen, Patent Attorney  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 070865 12426445

ASSIGNMENTDocket No. 231163

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement and/or other agreement and/or for other good and valuable consideration, of which I/we acknowledge receipt, I/we:

Douglas George Shafer of Cincinnati, OH  
Peter David Toot of Cincinnati, OH

hereby sell and assign to General Electric Company, a New York Corporation, (hereinafter referred to as "Company"), having an address at 1 River Road, Schenectady, New York 12345, USA, its successors and assigns my/our entire respective right(s), title(s), and interest(s) in and to the invention and improvements in:

INTEGRATED BRUSHLESS STARTER/GENERATOR SYSTEM

invented and originated by me/us, and described in the application for United States Patent

[x] U.S. Serial No.: 12/426,445, filed April 20, 2009

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations, reissues, and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

4-20-09  
Date

Douglas George Shafer  
Douglas George Shafer

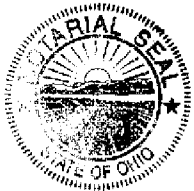
4-16-09  
Date

Peter David Toot  
Peter David Toot

ASSIGNMENT  
Docket No. 231163  
Page 2

State of Ohio  
County of Hamilton

This 20<sup>th</sup> day of April, 2009 before me personally came the above-named Douglas George Shafer to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes set forth.



KIMBERLY PENDLETON  
Notary Public, State of Ohio  
My Commission Expires April 8, 2012

*Kimberly Pendleton*  
Notary Public

This 20<sup>th</sup> day of April, 2009 before me personally came the above-named Peter David Toot to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes set forth.



KIMBERLY PENDLETON  
Notary Public, State of Ohio  
My Commission Expires April 8, 2012

*Kimberly Pendleton*  
Notary Public