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1. A. Name of conveying party(ies):
 Masahiro GOTOH
 Kenji SAKANE
- B. Additional name(s) of conveying party(ies) attached?
☐ Yes ☒ No

2. A. Name and address of receiving party(ies):
- NICHIA CORPORATION
 491 OKA, KAMINAKA-CHO,
 ANAN-SHI,
 TOKUSHIMA 774-8601, JAPAN
- DOWA ELECTRONICS MATERIALS CO.,
 LTD.
 14-1, SOTOKANDA, 4-CHOME,
 CHIYODA-KU,
 TOKYO 101-0021 JAPAN

3. A. Nature of conveyance:
- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____
- B. Execution Date: April 1, 2009

- B. Additional name(s) & address(es) attached?
☐ Yes ☒ No

4. A. Patent Application No.(s) 12/310,673 B. Patent No.(s)
- Additional numbers attached? ☐ Yes ☒ No

C. Title of Application: MANUFACTURING METHOD OF NITRIDE PHOSPHOR OR OXYNITRIDE PHOSPHOR

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James A. Oliff

Address: **OLIFF & BERRIDGE, PLC**
P.O. Box 320850
Alexandria, VA 22320-4850
Phone Number: 703-836-6400
Fax Number: 703-836-2787

6. Total number of applications and patents involved: 1

7. Please charge Deposit Account No. 15-0461 the total fee (37 CFR 3.41) in the amount of \$40.00.

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.



James A. Oliff Registration No. 27,075
 Nicolas A. Brentlinger Registration No. 62,211

Date: April 20, 2009

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2009年 4月16日 11時55分

優和特許事務所

NO. 1876 P. 7/14

ASSIGNMENT **(Two Assignees)**

(1-8) Insert Name(s) of Inventor(s)

(1) <u>Masahiro GOTOH</u>	(4) _____
(2) <u>Kenji SAKANE</u>	(5) _____
(3) _____	(6) _____

In consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, each undersigned agrees to assign, and hereby does assign, transfer and set over to

(9A) Insert Name of First Assignee	(9A) <u>NICHIA CORPORATION</u>
(10A) Insert Address of First Assignee	(10A) <u>491 Oka, Kaminaka-Cho, Anan-Shi, TOKUSHIMA 774-8601, JAPAN</u>
(9B) Insert Name of Second Assignee	(9B) <u>DOWA ELECTRONICS MATERIALS CO., LTD.</u>
(10B) Insert Address of Second Assignee	(10B) <u>14-1, Sotokanda, 4-chome, Chiyoda-ku, Tokyo 101-0021 Japan</u>

(hereinafter designated as the Assignee) and Assignee's heirs, successors, assigns and legal representatives, the entire right, title and interest for the United States of America as defined in 35 U.S.C. §100, in the invention, and in all applications for patent including any and all provisional, non-provisional, divisional, continuation, international, confirmation, substitute and reissue application(s), and all Letters Patent, extensions, reissues and reexamination certificates that may be granted on the invention known as

(11) Insert Identification, such as Title, Case Number or Foreign Application Number

(11) MANUFACTURING METHOD OF NITRIDE PHOSPHOR OR OXYNITRIDE PHOSPHOR

Attorney Docket No. 140728

for which the undersigned has (have) executed an application for patent in the United States of America on even date herewith or

(12) Insert Date of Signing of Application

(12) on April 1, 2009

(13) Alternative Identification for filed applications

(13) U.S. Application Serial Number 12/310,673

filed March 4, 2009

- 1) Each undersigned agrees to execute all papers necessary in connection with the application and any continuing, divisional or reissue applications for the invention, and any patent(s) issuing thereon, and also to execute separate assignments in connection with such applications and patents as the Assignees may deem necessary.
- 2) Each undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof, or any patent or reissue application based thereon, and to cooperate with the Assignees in every way possible in obtaining evidence and going forward with such interference.
- 3) Each undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
- 4) Each undersigned agrees to perform all affirmative acts which may be necessary to obtain, maintain or confirm by reissue or reexamination a grant of a valid United States patent to the Assignees.
- 5) Each undersigned authorizes and requests the Commissioner of the U.S. Patent and Trademark Office to issue any and all Letters Patents of the United States resulting from said application or any divisional, continuing or reissue applications to the said Assignees, as Assignees of the entire interest, and covenants that he has full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreements in conflict herewith, and agrees that this assignment is binding on him and his heirs, successors, assigns and legal representatives.
- 6) Each undersigned hereby grants the firm of OLIFF & BERRIDGE, PLC the power to insert on this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date <u>April 1, 2009</u>	Inventor Signature <u>Masahiro GOTOH</u>	(SEAL)
Date <u>April 1, 2009</u>	Inventor Signature <u>Kenji SAKANE</u>	(SEAL)
Date _____	Inventor Signature _____	(SEAL)
Date _____	Inventor Signature _____	(SEAL)
Date _____	Inventor Signature _____	(SEAL)
Date _____	Inventor Signature _____	(SEAL)

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

PATENT

RECORDED: 04/20/2009

Witness _____

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