

# PATENT ASSIGNMENT

Electronic Version v1.1

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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
<b>CONVEYING PARTY DATA</b>											
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;">Name</th> <th style="width: 30%;">Execution Date</th> </tr> </thead> <tbody> <tr> <td>Tapas Das Gupta</td> <td>01/16/2009</td> </tr> <tr> <td>Rajendra G Mehta</td> <td>01/15/2009</td> </tr> <tr> <td>Rajeshwari Mehta</td> <td>01/15/2009</td> </tr> <tr> <td>Craig Beattie</td> <td>01/15/2009</td> </tr> </tbody> </table>		Name	Execution Date	Tapas Das Gupta	01/16/2009	Rajendra G Mehta	01/15/2009	Rajeshwari Mehta	01/15/2009	Craig Beattie	01/15/2009
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<b>RECEIVING PARTY DATA</b>											
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Name:</td> <td>CDG THERAPEUTICS, INC.</td> </tr> <tr> <td>Street Address:</td> <td>1971 Baltimore Drive</td> </tr> <tr> <td>City:</td> <td>Elk Grove Village</td> </tr> <tr> <td>State/Country:</td> <td>ILLINOIS</td> </tr> <tr> <td>Postal Code:</td> <td>60007</td> </tr> </table>		Name:	CDG THERAPEUTICS, INC.	Street Address:	1971 Baltimore Drive	City:	Elk Grove Village	State/Country:	ILLINOIS	Postal Code:	60007
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<b>PROPERTY NUMBERS Total: 1</b>											
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Application Number:	12337167										
<b>CORRESPONDENCE DATA</b>											
<p>Fax Number: (202)218-0020</p> <p><i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 202-218-0000</p> <p>Email: fsimerly@sheppardmullin.com</p> <p>Correspondent Name: Don J. Pelto</p> <p>Address Line 1: 1300 I Street NW, 11th Floor East</p> <p>Address Line 2: Sheppard Mullin Richter &amp; Hampton LLP</p> <p>Address Line 4: Washington, DISTRICT OF COLUMBIA 20005</p>											
ATTORNEY DOCKET NUMBER:	14PR-140234										
NAME OF SUBMITTER:	Don J Pelto										

CH \$40.00 12337167

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**PATENT**  
**REEL: 022586 FRAME: 0271**

Total Attachments: 3

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**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Tapas DAS GUPTA \_Jan. 16, 2009;  
Rajendra G. MEHTA \_Jan. 15, 2009;  
Rajeshwari MEHTA \_Jan. 15, 2009;  
Craig BEATTIE \_Jan. 15, 2009

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) Jan. 16, 2009; Jan. 15, 2009

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: CDG THERAPEUTICS, INC.

Internal Address: \_\_\_\_\_

Street Address: 1971 Baltimore Drive

City: Elk Grove Village

State: ILLINOIS

Country: US Zip: 60007

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

12/337,167 Filed December 17, 2008

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Don J. Pelto

Internal Address: Sheppard, Mullin, Richter & Hampton LLP

Street Address: 1300 I Street NW, 11th Floor East

City: Washington

State: District of Columbia Zip: 20005

Phone Number: 202-218-0000

Fax Number: 202-218-0020

Email Address: dpelto@sheppardmullin.com

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

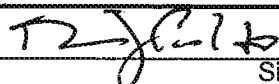
- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number 501067

Authorized User Name Don Pelto

**9. Signature:**



Signature

April 23, 2009

Date

Don J. Pelto Reg. No. 33,754

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

33,754

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

Attorney Docket No. 14PR-140234

**WORLDWIDE ASSIGNMENT**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

**CDG THERAPEUTICS, INC.**  
1971 Baltimore Drive  
Elk Grove Village, IL 60007  
US

its successors and assigns, the entire right, title and interest, so far as concerns the United States and the Territories and Possessions in and to his invention relating to

**COMPOSITIONS AND METHODS TO PREVENT CANCER WITH CUPREDOXINS**

as set forth in his United States Patent Application

- ☐ executed concurrently herewith  
☐ executed on  
☒ Serial No. 12/337,167 filed December 17, 2008

said application for United States Letters Patent, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions or upon said applications and every priority right that is or may be predicated upon or arise from said inventions, said applications, and said Letters Patent; said Assignee being hereby authorized to file patent applications in any or all countries on any or all said inventions in the name of the undersigned or in the name of said Assignee or otherwise as said Assignee may deem advisable, under the International Convention or otherwise; the Commissioner of Patents and Trademarks of the United States of America being hereby authorized to issue or transfer all said Letters Patent to said Assignee in accordance herewith; this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right theretofore given, and that the undersigned will do all acts reasonably serving to ensure that the said inventions, patent applications and Letters Patent shall be held and enjoyed by said Assignee as fully and entirely as the same could have been held and enjoyed by the undersigned if this assignment had not been made, and particularly to execute and deliver to said Assignee all lawful documents including petitions, specifications, oaths, assignments, invention disclaimers, and lawful affidavits in form and substance which may be requested by said Assignee, to furnish said Assignee with all facts relating to said inventions or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may be of said inventions, and to testify in any proceedings relating to said inventions, patent applications and Letters Patent.

Attorney Docket No. 14PR-140234

The undersigned hereby grant to the firm of Sheppard, Mullin, Richter & Hampton LLP, 1300 I Street NW, 11<sup>th</sup> Floor East, Washington, DC 20005, the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

NAMES AND SIGNATURES OF INVENTORS		
Name: <b>Tapas Das Gupta</b>	Signature: <i>Tapas K Das Gupta</i>	Date: <i>1/16/09</i>
Name: <b>Rajendra G MEHTA</b>	Signature: <i>Rajmehta</i>	Date: <i>1/15/09</i>
Name: <b>Rajeshwari MEHTA</b>	Signature: <i>R. R Mehta</i>	Date: <i>1/15/09</i>
Name: <b>Craig BEATTIE</b>	Signature: <i>Craig Beattie</i>	Date: <i>1/14/09</i>
NAMES AND SIGNATURES OF WITNESSES		
Name:	Signature:	Date:
Name:	Signature:	Date:
Name:	Signature:	Date:
Name: <b>SCOTT KENNEDY</b>	Signature: <i>Scott Kennedy</i>	Date: <i>1/19/09</i>

Note: Prima facie evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.

1PT-407:4/90(1)(modified)